

# **COMMON APPLICATION FORM**

QUANTUM LONG TERM EQUITY FUND ● QUANTUM TAX SAVING FUND ● QUANTUM LIQUID FUND ● QUANTUM EQUITY FUND of FUNDS

# APPLICATION NO.

505, Regent Chambers, 5th I Nariman Point, Mumbai - 400 www.QuantumAMC.com		o. Sub Age	Dai	ate & of Receipt	
1. SOLE / 1ST APPLIC	ANT'S DETAILS			(Refer Note	1)
Name (Mr./ Ms./Mrs.)	First Name	Middle Name		Date of Birth D D M M Y Y Y	Υ
PAN Guardian (if Sole / 1st Ap Or Contact Person (For in	plicant is a Minor) stitutional applications)	If the Sole / 1st Applicant is a and state the Guardian's PAN			<u></u>
Sole / 1st Applicant's Indian	Address for Correspondence (P. O. Bo	x Address is not sufficient) <b>Over</b>	seas Address (Mandatory for NR	RI / FII applicants in addition to mailing address)	
					_
City	Pin Code	City		Postal Code	_
		_			

City					
State Country					
Email ID Email ID					
Tel. ((Res.)					
2. JOINT APPLICANT'S DETAILS (Refer Note 2)					
2nd Applicants name First Name Middle Name Last Name Date of Birth  PAN If the 2nd Applicant is a minor, please tick here (🗸)  Guardian's Name (if 2nd Applicant is a Minor)  Applicant is a Minor)					
3rd Applicants name First Name Middle Name Last Name Date of Birth D D M M Y Y Y Y Y					
PAN  If the 3rd Applicant is a minor, please tick here (*) and state the Guardian's PAN no. alongside.					
Applicant is a Minor) MODE OF HOLDING Single Anyone or Survivor Joint					
3. BANK ACCOUNT DETAILS (MANDATORY) (Refer Note 3)					
Name of Bank A/c. No.					
Branch Address					
Account Type (Please 🗸) Savings 🔲 NRE 📗 NRO 📗 Current 🔝 FCNR 🦳 Others 🔝 Please see the instructions for payment options, including Direct Credit facility. If you wish to receive a cheque payment, please tick here (🗸)					
4. KYC COMPLIANCE: Are you KYC compliant? Please (/) Yes No (Refer Note 4)  If yes, please attach copy of KYC Compliance Letter received from CDSL Ventures Ltd.					
5. E MAIL COMMUNICATION (Refer Note 5)					
1 / We wish to receive documents such as Accounts Statements, News Letters etc. through e-mail in lieu of physical documents (Please 🗸)					

# QUANTUM MUTUAL FUND Profit with Process

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400021. www.QuantumAMC.com

an application for Purchase of Units of QLTEF/QLF/QTSF/QEFoF along with Cheque / DD No.\_\_\_\_\_

Received from Mr./Ms./M/s.

# ACKNOWLEDGEMENT SLIP (To be filled by the Applicant)

Total No. of Attachments

# **QUANTUM MUTUAL FUND**

APPLICATION NO.

Acknowledgement St

Solutions Pvt. Ltd., Ph: 40741000

6. INVESTMENT & PAYMENT DETA	ILS				(Re	efer Note 6)
QUANTUM LONG TERM EQUITY FUND ☐ Option (Please ✓) ☐ Growth Plan ☐ Dividend Plan ☐ Dividend Reinvestmen ☐ Dividend Payout	Option (Please ✓)				Pa	
Investment Amount : Rs.	<u>A</u> DD	Charges (if applicable):	Rs N	et Amount (Cheque/	DD Amount):RsA	minus B
Mode of payment ( ✓) : ☐ Cheque [	☐ Demand Draft	☐ Fund Transfer	Others			
Drawn onBank						
Investment Amount : Rs.	A DD	Charges (if applicable):		•		
	☐ Demand Draft	☐ Fund Transfer				
	Branch		<u>City</u> Instrument	No	Dated	
NRI: Non Repatriation basis: (Repatriation basis: (Repatriation basis: (Repatriation basis: (Repatriation basis)	○ Current ○ NRE* ○ FCNR* ○ Non Resident Rup		S OFCNR <sup>3</sup> Inward Remittance* Inward Remittance	*	○ Foreign Inward   *Please refer it	
7. NOMINATION DETAILS					(Re	fer Note 7)
I/We						
Date of Birth (in case Nominee is a minor)			nature of Guardian ndatory) ——— ANTS MUST SIGN HERE			
x Sole / 1st Applicant	x	2nd Ap	plicant	x	3rd Applicant	
8. DECLARATION AND SIGNATURE	ES				(Re	efer Note 8)
If We have read and understood the Instructions, the Scheme Information Document and the Key Information Memorandum of the respective Scheme. I/We hereby apply for allotment / purchase of Units in the Scheme indicated above and agree to abide by the terms and conditions applicable thereto. I / We hereby declare that I / We / am / are authorised to make this investment in the above mentioned Scheme and that the amount invested in the Scheme is through legitimate sources only and legally belong to me / us and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulation, Notification or Directions issued by any regulatory authority in India from time to time. I / We hereby confirm that I / We have applied / completed the KYC registration process with your appointed agency - CVL. I / We hereby authorise Quantum Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) and Quantum Mutual Fund's bank(s) or any other regulatory authority. I / We authorise you to make available details of my investments / redemption in your scheme, in any form and any other relevant data to.  In of acilitate them to provide me value added information on my portfolio with your Fund. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the information given in this application form is correct, complete and truly stated. * I / We confirm that I am / We are Non-Resident(s) of Indian Nationality/origin but not a person residing in Canada or a United States person within the meaning of Regulation(s) under the United States person within the meaning of Regulation(s) under the United States person within the meaning of Regulation(s) under the United States person within the meaning of Regulation(s) under the United States person within the meaning of Regulation(s) under the United States person within the meaning of Regulation(s) under the United States person w						
ALL Applicants MUST SIGN HERE (Please refer to the ins	truction / checklist and en	sure that all relevant sections are o	ompleted)	D <sub>i</sub>	ated :	
X Sole / 1st Applicant	x		plicant	x	3rd Applicant	
9. LIST OF ATTACHMENTS (PLEASE TIC	K THE DOCUMEN				(Re	fer Note 9)
Resolution / Authorisation to invest List of Authorised Signatories with Specimen Signatories of Authorised Signatories with Specimen Signatories of Association Trust Deed	ature(s)	-Laws :nership Deed rseas Auditor's Certificate arised Power of Attorney		Form 49 A Copy Form 60		
10. DISCLOSURE  Quantum Mutual Fund is a direct to investor	mutual fund and doe	es not pay any commission t	o the brokers / distributor	s. Upfront commission	<u> </u>	er Note 10)  ly by the investor

to the ARN Holder (AMFI Registered Distributors) based on the investor's assessment of various factor including the services rendered by the ARN Holder.

INVESTOR SERVICE CENTRES

QUANTUM ASSET MANAGEMENT COMPANY PRIVATE LIMITED

505, Regent Chambers, 5th Floor, Nariman Point, Mumbai - 400 021

Phone: 91-22 - 22875923 Fax: 91-22 - 22854318 Website: www.QuantumAMC.com

For Information - Email: info@QuantumAMC.com For Investor Grievances / Complaints - Email: investorrelations@QuantumAMC.com

# **KEY INFORMATION MEMORANDUM**

# Quantum Equity Fund of Funds (An Open-ended Equity Fund of Funds Scheme)

# **Continuous Offer of Units at NAV based Prices**

NAME OF THE AMC: QUANTUM ASSET MANAGEMENT COMPANY PRIVATE LIMITED

NAME OF THE MUTUAL FUND: QUANTUM MUTUAL FUND

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www. QuantumAMC.com/www.QuantumMF.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Investment Objective	The investment objective of the scheme is to generate long-term capital appreciation by investing in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI. There can be no assurance of positive returns from following the stated investment strategy.			
Asset	Types of Instruments	Normal allocations (	% of Net Assets)	
Allocation		Minimum (% of Net	Maximum (% of	
Pattern of the		Assets)	Net Assets)	
scheme	Open-ended diversified equity schemes of mutual funds registered with SEBI.	90%	100%	
	Money Market Instruments	0%	10%	
	The scheme will only invest in third pa a mix of diversified equity schemes, n schemes.			
Investment Strategy	The investment strategy of the Schenended diversified equity schemes of SEBI after using intensive funda qualitative, monitor the portfolio reguchurning, and control risk by keeping	Mutual Funds, which mental analysis both larly but not so as to e	are registered with quantitative and ngage in excessive	

Risk Profile of the Scheme	Furthermore, the schemes that ge quantitative and qualitative paramet process.  Mutual Fund Units involve investm principal. Please read the SID car investment. Scheme specific Risk Factorian and the schemes of Mutual Funds, reg performance will depend upon the Any change in the investment pounderlying schemes may affect the schemes in underlying equity.	t predominantly in the diversified equity istered with SEBI. Hence the scheme's e performance of the underlying schemes. Dicies or the fundamental attributes of the ne performance of the scheme.  Schemes will have all the risks associated formance of underlying stocks, derivative
		vest at least in 5 underlying schemes, the even one of the underlying schemes may
Risk Management Strategies	Management is critical to Fund Ma Investments made by the Schem	e investment functions. Effective Risk inagement for achieving financial goals. e shall be made in accordance with and provisions of SEBI (Mutual Funds)
		Risk and designed Risk Management estment Process to manage such risks.
	The Fund has identified following	

# Portfolio concentration Risk The Fund has laid down the policy in case of portfolio holdings which has criteria for investments into schemes, limits on the per scheme investments, per mutual fund house and schemes managed by the fund managers. The Fund also calculate the stock and sector concentration based on the weighted stock holdings of the scheme invested and also take corrective action by rebalancing if necessary. Plans and Plans: Presently the scheme does not offer any Plan. **Options** Options: The Scheme offers two Options: **Growth Option** (i) (ii) **Dividend Option** The Dividend option in turn will have two facilities namely; (a) Dividend Payout Facility (b) Dividend Re-investment Facility **Applicable** The cut-off times for determining Applicable NAV's for subscription, NAV (after redemptions and switch-outs to be made at the Investor Service Centers/ the scheme Official Points of Acceptance are as per the details given below: opens for repurchase Subscriptions/Purchases including Switch Ins: and sale) In respect of valid applications received upto 3.00 p.m. on a Business (a) Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of the day of the receipt of application. (b) In respect of valid applications received after 3.00 p.m. on a Business Day with a local cheque or demand draft payable at par at the place where it is received the applicable NAV shall be closing NAV of next Business Day. (c) In respect of valid applications received with an outstation cheque or demand draft which is not payable at par at the place where it is received, the applicable NAV shall be the closing NAV of the day on which the cheque or demand draft is credited. **Redemptions including Switch Outs:** (a) In respect of valid applications received upto 3.00 p.m. the applicable NAV shall be closing NAV of the day of the receipt of application. In respect of valid applications received after 3.00 p.m. the applicable (b) NAV shall be closing NAV of next Business Day.

Minimum Application Amount/ Number of Units (for all	Where the application is received via post or courier at any of the official point of acceptance / Investor Services Centers, the date & time of receipt of the post or courier will not be considered for reckoning the application as having been accepted. An application will be considered accepted only when it is time stamped at the official point of acceptance / Investor Services Centers.  Purchase/Switch Ins Rs. 5000/- and in multiples of Re.1/- thereafter  Additional Purchase /Redemption/Switch Outs Rs. 1000/- and in multiples of Re.1/- thereafter				
the options)	Systematic Investment plan (SIP) – (Monthly)  Rs. 1000/- and in multiples of Re. 1/- thereafter. Minimum 6 installments / instructions.	less  Systematic Withdrawal Plan (SWP) – (Monthly/Quarterly)  Rs. 1000/- and in multiples of Re. 1 thereafter			
Despatch of Repurchase (Redemption) Request	Within 10 working days of the receipt of the redemption request at the Official Point of Acceptance of the Quantum Mutual Fund.  The Scheme's performance will be benchmarked against BSE 200 Index.				
Benchmark Index					
Dividend Policy	In terms of SEBI Circular No. 1, shall fix the quantum of dividend one calendar day of the decision communicating the decision, incl be 5 calendar days after the issurterms of the Circular. Declaration distributable surplus. It must declaration of dividends under the alia, depend upon the distribut assurance or guarantee to Unitho will that dividend be regularly paid surplus of the Scheme will be pappear in the register of Unitholde entitled to receive dividend within dividend. However, the Mutual Fu sooner to Unitholders. On distribution	The Trustee proposes to follow the following dividend distribution policy:  In terms of SEBI Circular No. 1/64057/06 dated April 4, 2006, the Trustees hall fix the quantum of dividend and the record date. The AMC shall, within ne calendar day of the decision by the Trustee, issue notice to the public, ommunicating the decision, including the record date. The record date shall e 5 calendar days after the issue of notice and issued in accordance with the erms of the Circular. Declaration of dividend is subject to the availability of istributable surplus. It must be distinctly understood that the actual eclaration of dividends under the Scheme and the frequency thereof will, interlia, depend upon the distributable surplus of the Scheme. There is no ssurance or guarantee to Unitholders as to the rate of dividend distribution nor will that dividend be regularly paid. The dividend that may be paid out of the net urplus of the Scheme will be paid only to those Unitholders whose names ppear in the register of Unitholders on the notified record date. Unitholders are ntitled to receive dividend within 30 days of the date of declaration of the ividend. However, the Mutual Fund will endeavour to make dividend payments onner to Unitholders. On distribution of dividends, the NAV will stand reduced y the amount of dividend distributed and statutory levy, if any, at the close of using shours on record date.			
Name of the Fund Manager	Mr. Arvind Chari				

Name of the Trustee Company	Quantum Trustee Company Private Limited			
Performance of the	Absolute Returns (Growth Option)		Scheme returns %	Benchmark Returns %
scheme (as at March 31, 2010)	Returns since incer	otion	26.36%	18.99%
,	Date of inception: J			
	-		t be sustained in future	2mt an)
	Absolute Returns	for the last fi	nancial year (Growth (	Option)
		Absolute Retu	rns for last 1 Financial Year	
	30.00% 7		□QEFOF ■BSE 200	
	25.00% -	26	:.36%	
			18.99%	
	20.00% -			
	Return 8			
	10.00% -			
	£ 000/			
	5.00% -			
	0.00% +		2009-10	
			Financial Year	
	Past performance r	may or may no	t be sustained in future	

	T				
Expenses of the Scheme					
(i) Load Structure	Entry Load: Not Applicable				
on dotars	In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 it has been notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.				
	Quantum Mutual Fund does not c	harge Entry Load since inception.			
	Exit Load: Repurchase/Redemption the date of allotment.	/Switch out Load - 1.5% within 1 year from			
(ii) Recurring expenses	The total expenses of the scheme exceed 0.75% of the daily average in	e including the management fees shall not net assets.			
	Actual expenses for the previous financial year ended March 31, 2010 - Rs. 50364.39/- (0.52% p.a.)				
Waiver of	Not Applicable				
Load for Direct Applications	Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.				
Tax treatment for the Investors	Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor.				
(Unitholders)					
Daily Net Asset Value (NAV) Publication		Calendar days and will be published in 2 be viewed on www.QuantumAMC.com/nfiindia.com.			
Fublication		tors may obtain NAV information on any Business Day by calling the of the AMC or any of the Investor Service Centers.			
For Investor Grievances please contact	Registrars & Transfer Agents Karvy Computershare Pvt Ltd Karvy Plaza, H. No. 8-2-596, Avenue 4 ,Street No. 1 Banjara Hills, Hyderabad- 500 034 Phone: (91) (040) 44677123	Mr. Malay Vora Investor Relations Officer 505, Regent Chambers,5 <sup>th</sup> Floor Nariman Point, Mumbai – 400 021 Phone: (91) (22) 61447800 Fax: (91) (22) 22854318 E-mail:			
	Fax: (91) (040) 23420802 Email:qmfprocessing@karvy.com	investorrelations@QuantumAMC.com			

# Unitholders'

## Account Statement:

- An Account Statement reflecting the number of units allotted shall be dispatched to the Unitholders by ordinary post / courier / electronic mode (if so mandated) as per the time lines given below:
  - For initial / addition subscription as well as redemption/switch of units: within 10 working days from the date of acceptance of valid request;
  - (2) For SIP / Systematic Transfer Plan (STP) / SWP within 10 working day from the end of Quarter i.e. March, June, September or December
- Investors who subscribe/redeem units in dematerialized mode, the holding statement as issued by the Depository Participant would be deemed to be adequate compliance with requirements of SEBI regarding dispatch of statements of account.

## **Annual Account Statement:**

- The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement, The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme.
- Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

# Half yearly Disclosures: Portfolio / Financial Results

# **Portfolio Disclosures:**

The Fund shall disclose the half-yearly scheme portfolios on its web site at www.QuantumAMC.com/www.QuantumMF.com and on AMFI web site (www.amfiindia.com) in the prescribed format before the expiry of one month from the close of each half-year.

The Fund shall also before the expiry of one month from the close of each half year (i.e. as on 31<sup>st</sup> March and 30<sup>th</sup> September) send to the Unitholders a complete statement of Scheme's portfolios or if such statement is not sent to the Unitholders, it will be published by way of an advertisement in one English daily circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

# **Half Yearly Results:**

The Fund shall before the expiry of 1 month from the close of each half year, (i.e.as on 31<sup>st</sup> March and 30<sup>th</sup> September), publish its Half Yearly Unaudited Financial Results in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated and update the same on the AMC's website at www.QuantumAMC.com/www.QuantumMF.com and on AMFI's website at www.amfiindia.com, within 1 month from the close of each half year, in the formats as prescribed by SEBI.

# **Annual Report:**

Scheme wise Annual Report or an abridged summary thereof shall be mailed to all unitholders within four months from the date of closure of the relevant accounts year (i.e. 31st March) each year.

# **COMPARISON CHART**

				Investment Pattern	tiation	in Crore (As on March 31, 2010)	Folios (As on March 31, 2010)
Type of Instruments	Normal allocation (% of Net Assets)	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)	To invest in shares of companies included in BSE-200	Open ended Equity Scheme	50.86	2,349
& Equity Related Securities of Companies				index.			
Unlisted Equity & Equity Related Securities of Companies		0%	5%				
Money Market Instruments Liquid Schemes of Mutual Funds	1% to 5% 0% to 5%	1% 0%	35% 5%				
				To invest in	Open	27.05	294
Type of Instrum	nents		Normal Allocation (% of Net Assets)		ended Liquid Scheme		
other short term instruments hav re-pricing tenor	n debt ing maximum of not more	100%		Instruments.			
Type of instrum	nents			To invest in Physical Gold.	Open ended Exchange	16.74	3,496
		Minimum (% of Net Assets)	Maximum (% of Net Assets)	J Gold	Traded Fund Gold		
Physical Gold  Money Market instruments, Short-term Corporate debt securities, CBLO and units of Debt and Liquid Schemes of Mutual Funds		90%					
	Listed Equity & Equity & Equity Related Securities of Companies Unlisted Equity & Equity Related Securities of Companies Money Market Instruments Liquid Schemes of Mutual Funds  Type of Instrum  Money Market In other short term instruments hav re-pricing tenor than 91 days in  Type of instrum  Physical Gold Money Market in Short-term Corp securities, CBLO Debt and Liquid	Instruments allocation (% of Net Assets)  Listed Equity & Equity Related Securities of Companies  Unlisted Equity & Equity Related Securities of Companies  Money Market Instruments  Liquid Schemes of Mutual Funds  Type of Instruments  Money Market Instruments and other short term debt instruments having maximum re-pricing tenor of not more than 91 days in maturity  Type of instruments  Physical Gold  Money Market instruments, Short-term Corporate debt securities, CBLO and units of Debt and Liquid Schemes of	Instruments   allocation (% of Net Assets)	Instruments   allocation (% of Net Assets)   allocation (% of Net Assets)   Assets)	Type of Instruments and other short term debt instruments having maximum re-pricing tenor of not more than 91 days in maturity  Type of Instruments    Normal allocation (% of Net Assets)	Type of Instruments and allocation (% of Net Assets)  Listed Equity Related Securities of Companies Unlisted Equity & Equity Related Securities of Companies Unlisted Securities of Companies Open Securities of Companies Industrial Securitie	Type of Instruments   Assets   Assets

Name of the Scheme	Asset Allocation Pattern			Primary Investment Pattern	Differen- tiation	AUM Rs. in Crore (As on March 31, 2010)	No. of Folios (As on March 31, 2010)
Quantum Index Fund	Type of Instruments  Securities covered by the S & P	Normal (% of Net A Minimum (% of Net Assets)	Allocation ssets) Maximum (% of Net Assets)	To invest in stocks of companies comprising S&P CNX Nifty Index.	Open ended Exchange Traded Fund — Index	1.22	338
	CNX Nifty Index  Money Market Instruments, other short term debt instruments as permitted under SEBI (Mutual Funds) Regulations, 1996 and Liquid Schemes of Mutual Funds	0%	10%				
Quantum Tax Saving Fund	Type of Instruments	Normal Allo (% of Net A Minimum (% of Net Assets)		To invest in shares of companies included in BSE-200 Index.	Open ended Equity Linked Savings Scheme	1.62	464
	Equity and Equity-related Securities Debt and Money Market Instruments	80%	100%				
Quantum Equity Fund of Funds	Type of Instruments	Normal Allo (% of Net A Minimum (% of Net Assets)		To invest in a portfolio of open-ended diversified equity	Open ended Equity Fund of Funds Scheme	1.27	201
	Open-ended diversified equity schemes of mutual funds registered with SEBI.  Money Market Instruments	90%	100%	schemes of mutual funds registered with SEBI.			

Dated: April 29, 2010

# INSTRUCTION FOR FILLING UP THE APPLICATION FORM

#### 1. Sole/1st Applicant's Details

- \* The Applicant's name and address including the PIN Code Number must be given in full (P.O. Box No. alone is not sufficient). Applications on behalf of minors should be signed by their guardian. Please write your name exactly as it appears in your PAN Card. In case of non-individual applicants, i.e. HUF/Companies/AOP/BOI/Trusts/Societies/FIIs etc., the name, address, E Mail ID and telephone number of the contact person to whom the correspondence should be addressed to should be provided. In case the investor is a NRI/PIO/FII, an overseas address should also be provided, along with the local address. However, all correspondence shall be at the local address.
- \* PAN of Sole/First Applicant, Second Applicant and Third Applicant must be mentioned in all cases irrespective of the amount being invested. A photo copy of the PAN Card duly certified must be attached in case of investment below Rs. 50,000/- by all applicants. The original to be provided for verification. Where original PAN Card is not provided for verification the copy of PAN Card must be certified as true by a Bank Manager or a Gazetted Officer, or an AMFI Registered Distributor or a Notary or any other Judicial Authority. Where the person making an application is a minor who does not have any income chargeable to income-tax, he shall quote the PAN No. of his/her father, mother or guardian, as the case may be. Any change in the status of the sole/ 1st applicant must be conveyed to the Fund in order to assist in accurate tax treatment of income arising from such investment. In the absence of the above PAN Copies the application shall be rejected. For investments of Rs.50,000/- and above please attach KYC acknowledgement letter of all applicants (Refer Instruction No. 4).

#### 2. Joint Applicant's Details

\* "Single" holding will entitle only the first holder to operate the account to the exclusion of the joint holders. "Anyone or Survivor" will entitle any one of the joint holders to operate the account. "Jointly" will allow operation of the account only if all the holders sign the instruction. If the mode of holding is left blank or is not clear, it will, by default, be treated as "Jointly".

#### 3. Bank Account Details

- \* As per the SEBI guidelines, it is mandatory for investors to mention the details of their bank account in which income and/or redemption proceeds are to be credited in the application form. In absence of the bank details, the application form will be rejected. The Mutual Fund/Asset Management Company will not be responsible for any loss arising out of fraudulent encashment of cheques and delaw/loss in transit.
- \* If you wish to receive the payment proceeds by cheque please tick in the space provided. In all other cases, the fund will directly credit the investor's bank account using RBI's NEFT/RTGS facility, if the IFSC code is provided by the investor in the space provided in the Form. If the correct IFSC code is not provided by the investor, the fund may remit the payment proceeds by cheque.

#### 4. KYC Compliance

\* Presently, it is mandatory for all investors who wish to make an investment of Rs.50,000/- and above to obtain a KYC acknowledgement letter from the specified Points of Service's (POS's) of CDSL Ventures Limited (Central Agency), who have been authorized by the mutual fund industry for this purpose. The applicant must attach the KYC Acknowledgement Letter of all the applicants (Guardian's letter in case the applicant is Minor), along with the application for subscription. Applications for subscriptions of value of Rs. 50,000/- and above from applicant's who are not KYC registered with CVL or have not submitted their KYC Application with CVL may be rejected. Also, applicants / unit holders intending to apply for units / currently holding units and operating their Mutual Fund folios through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA have attached the KYC Acknowledgement Letter at the time of investment above the threshold. PoA holders are not permitted to apply for a KYC registration on behalf of the issuer of the PoA. Please refer to our website www.QuantumAMC.com and AMFI website www.amfiindia.com for the KYC compliance application form and POS's of the central agency where the completed forms and the relevant documents are to be submitted.

#### 5. E-mail Communication

\* Investors who wish to receive documents such as Accounts Statements, News Letters etc. through E-mail in lieu of physical documents need to indicate the same by ticking the box (in Item 5) in the application form.

#### 6. Investment Details

\* Investors should indicate the plan / option for which the subscription is made by indicating the choice in appropriate box provided for this purpose in the application form. In case of valid applications received without indicating any choice of plan / option, the following default plan /option will be considered.

Quantum Long Term Equity Fund / Quantum Tax Saving Fund / Quantum Equity Fund of Funds	-	Growth Plan in case Growth Plan or Dividend plan is not indicated. Dividend Reinvestment in case Dividend Reinvestment or Dividend Payout is not indicated.
Quantum Liquid Fund		owth Plan in case Monthly Dividend Payout Plan or ly Dividend Re-investment Plan is not indicated

- \* Payment should be made by a single Cheque/DD payable locally, in the city where the official points of acceptance at which the application is submitted, is located. The Cheque/DD should be crossed, marked "Account payee" and drawn in favour of "Name of the Scheme" for e.g. "Quantum Long Term Equity Fund". A separate cheque or DD must accompany for each scheme. Application form along with cheque/DD/Pay Order can be submitted at any of the official points of acceptance/or Investor Services Centres of Quantum Mutual Fund listed in the Common Application Form. In case an applicant is located in a place where there is no designated collection centre, the completed application with a Bank Draft/Pay Order for the investment amount (net of Demand Draft charges) may be forwarded to the Quantum Asset Management Company Private Limited office in Mumbai. No cash, money orders, outstation cheques, post dated cheques and postal orders will be accepted.
- \* Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the Indian Banks Association, if a demand draft issued by a bank in a place where there is no ISC/Collection Centre provided for the investors. In all other cases, the

AMC will not accept any request for refund of demand draft charges. Please mention the PAN No. and name of the applicant(s) on the reverse of the cheque/demand draft tendered with the application

\* The investor can invest in maximum of 2 schemes in a single application form.

\* NRIs/FIIs

Repatriation Basis – In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their Non-Resident (External) Rupee Account (NREV) Foreign Currency (Non-Resident) Account (FCNB). Fils shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer.

Non Repatriation Basis – In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their NRE/FCNR/Non-Resident Ordinary Rupee Account (NRO)/Non-Resident (Special) Rupee Account (NRSR). Please indicate by ticking the relevant account from which payment is being made.

#### 7. Nomination Details

- Individual applicant/s can assign a nominee to be entitled to receive the investment upon the death of the sole or all unitholder(s). If the units are held jointly, all joint holders must sign at the place provided in item 7 in the application form. However persons applying on behalf of a minor or on Power of Attorney or Non Individual Applicant including HUFs cannot nominate. The Nominee would be entitled to receive the amounts to the credit of the Unitholder(s) in event of his/their death. All payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.
- \* A minor can be nominated. In such a case the unitholder must provide the name and address of the guardian of the minor nominee. Nomination shall not be a Trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. A nonresident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
- Nomination in respect of Units stands rescinded upon the transfer of units or upon cancellation of the nomination by the sole or joint unitholders who executed the original nomination.

#### 8. Declaration & Signatures

- Signatures should be in English or in any other Indian language. Thumb impressions must be attested by a Judicial Magistrate/Notary Public under his/her official seal.
- \* In case the application is made under a Power of Attorney (PoA), the original registered Power of Attorney or a certified copy of the PoA, duly notarised should be submitted with the application. The PoA document should contain the signatures of both the Applicant & the constituted Attorney. If the Power of Attorney is not received along with the application, the application is liable to be rejected.
- \* In case of HUF, the Karta should sign on behalf of the HUF. Authorised signatories, signing on behalf of a Co/Body Corp./Society/Trust etc., should sign under their official designation and stamp and a list of Authorised Signatories with their names & designations duly certified/attested by the bankers should be attached with the application form.

#### 9. List of Attachments

 You are requested to enclose the relevant documents as listed in the check list of accompanying documents given below depending on the applicant's status and tick in the box against the document being attached.

#### 10. General Instructions

- \* Please read the Key Information Memorandum, the Scheme Information Document and the Statement of Additional Information carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- \* The application form must be filled legibly in English in BLOCK letters in black or any dark coloured ink.
- \* Applicants should countersign wherever corrections or over writings are made on the application or cheque.
- All applications are accepted subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detailed scrutiny/verification at the back office of the registrars.
- Application made by a Limited Company or a Body Corporate or a registered Society or a Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the Memorandum and Articles of Association/Trust Deed/Bye Laws/Partnership Deed, whichever is applicable.
- All communications and payments will be made to the sole/first applicant or to the Karta in case of HUF.
- \* While processing the redemption/switch out request in case the AMC/Registrar come across a signature mismatch, then the AMC/Registrar reserves the right to process the redemption/switch only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by AMC from time to time on its website.
- \* In case of non-receipt of account statement within 30 days of submission of the application forms, please contact the Investor Service Centre listed in the Common Application Form. You could also send an email to investor
- \* It is expressly understood that the investor/Unitholder has the express authority from the relevant Constitution to invest in the Units of the Fund and the AMC/Trustees/Fund would not be responsible if the investment is ultra vires the relevant Constitution, such as the Memorandum & Articles of Association and/or Bye-laws and/or Trust Deed and/or Partnership Deed and Certificate of Registration.
  - New Investors wish to make Systematic Investment Plan (SIP) will need to complete and submit the Application Form alongwith the SIP Application Form.

PAN Copy must be certified by a Bank Manager, AMFI Registered Distributor, Gazetted Officer, Notary or any other Judicial authority

#### **CHECK LIST** Please ensure that: Trusts Societies Partnership FIIs Investments through Companies Constituted Attorney Firms Your Application Form is complete in all respects. Name, Address & contact details are Resolution / Authorisation to invest mentioned in full and signed by all applicants. Bank Account Details are entered completely and correctly. 2. List of Authorised Signatories with Specimen Signature(s) Permanent Account Number (PAN No.) of all Applicants is mentioned. 3. Memorandum & Articles of Association Appropriate Scheme Name, Plan & Option is mentioned clearly. 4. Trust Deed √ The Cheque / DD is drawn in the correct name as mentioned in instruction no. 6 above & duly 5. Bye-Laws . Partnership Deed Application Number is mentioned on the reverse of the Cheque / DD. П . Overseas Auditor's Certificate Documents as listed alongside are submitted along with the Application (as applicable to your 8. Notarised Power of Attorney specific case). . For all applications - PAN Notices Your investment is not less than the minimum investment amount. All documents above should be originals / true copies certified by the Director / trustee / Company Secretary / Authorised Signatory / Notary Public.

# **INVESTOR SERVICES CENTRES**

#### QUANTUM AMC'S OFFICE

# QUANTUM ASSET MANAGEMENT COMPANY PRIVATE LIMITED

505, REGENT CHAMBERS, 5TH FLOOR, NARIMAN POINT, MUMBAI - 400 021

Phone: 91-22 - 22875923 Fax: 91-22 - 22854318 Website: www.QuantumAMC.com

For Information - Email : info@QuantumAMC.com For Investor Grievances / Complaints - Email : investorrelations@QuantumAMC.com If you have any queries with regard to completing this form, call Quantum, on 1800 - 22 - 3863 (toll-free)

Lines are open from 10.00 A.M to 6.00 P.M.on all business days

s are open from 10.00 /t.m to 0.00 f.m.on an basiness day

#### KARVY COMPUTERSHARE OFFICES

KARVY COMPUTERSHARE PRIVATE LIMITED,

8-2-596 Karvy Plaza, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034;

Phone: 040 - 23312454 Ext: 124; Email: mailmanager@karvy.com

KARVY COMPUTERSHARE OFFICES: Ahmedabad, Gujarat : Karvy Computershare Private Limited, 201-202, Shail Building, 2nd Floor, Opp: Madhusudhan House, Nr. Navrangpura Telephone Exchange, Navrangpura, Ahmedabad - 380 006; Ms. Leela / Mr. Samir Dave; Tel.: 079-26402967, 079-26400 0527, 079-264000528 Bangalore, Karnataka: Karvy Computershare Private Limited, Surya Building, Ratna Avenue, No: 51/25, 1 St Floor, E-mail: ahmedabadmfd@karvy.com, Fax: 079-26565551. Richmond Road, Bangalore - 560 025; Mr. Raghu / Soumya; T el.: 080 - 32008452, 080 - 2532008 5, E-mail: bangaloremfd@karvy.com; r aghu@karvy.com, Fax: 080 - 25320086. Chennai, Tamilnadu: Karvy Computershare Private Limited, Flat No F11, First Floor, Akshya Plaza, (Erstwhile Harris Road), Opp Chief City Metropoli Salai, Egmore, Chennai - 600002; Mr. N V V Prasad / Mr. Dinesh Kumar; Tel: 044 42028512, 044-42028513, 044-28587772, 044-285877 tan Court, # 108, Adhithanar 81, E-mail: chennaimfd@karvy.com, nvvprasad@karvy.com, Fax: 044 - 42028514. Hyderabad, Andhra Pradesh: Karvy Computershare Private Limited, 8-2-596 Karvy Plaza, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034; Mr. P Nageshwara Rao / Mr. G B K Murthy, Tel: 040 - 23312454 Ext : 124, E-mail: pnrao.hyd@karvy.com; mfshy derabad@karvy.com; Kolkata, West Bengal: Karvy Computershare Private Limited, 16 Jatin Bagchi Road, Kolkata - 700 029; Mr. Raj Ramaswamy / Shushmitha; Tel: 033 - 246592 97, 033 - 39518643, 033-24659263, 033 24635432, 033-24659267, E-mail: kolkatamfd@karvy.com / rajramaswamy@karvy.com, Fax: 033-2463-5432; 033-2463-5432, Mumbai, Maharashtra: Karvy Computershare Private Limited, DAS Chambers, Ground Floor, Opp. BSE & next to Corporation Bank, Dalal Street, Fort, Mumbai - 400 023, Mr. Uma Shankar Mr. Sandeep Bhogle, Tel: 022 - 66235353, E-mail: mumbaimfd@karvy.com, sandeep@karvy.com, Fax: 022 - 66341966. New Delhi, New Delhi: Karvy Computershare Private Limited, 2E / 23, Jhandewalan Extn, New Delhi -110055; Mr. Jose Yohannan / Ms Sonaly, Mr. Michael George, Tel: 011- 43681700, E-mail: delhimfd@karvy.com, michaelg@karvy.com, jose.y@karvy.com, Fax: 011- 43681710. Pune, Maharashtra: Karvy Computershare Private Limited, Srinath Plaza, C Wing, Office No. 58 And 59, 3Rd Floor, Dyaneshwar Paduka Chowk, Survey N o. 184/4, F C Road, Pune 411004, Mr. Rakesh, Tel: 020-25533795, 020-25532783, 020-25533592, Tel: 020 - 25533742, E-mail: punemfd@karvy.com, dattaraj.w@k arvy.com.

# Simple products from Quantum Mutual Fund

### Equity

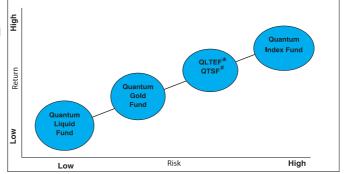
- Quantum Long Term Equity Fund
- Quantum Index Fund
- Quantum Tax Saving Fund

#### Debt

• Quantum Liquid Fund

# Gold

Quantum Gold Fund



\*Quantum Long Term Equity Fund #Quantum Tax Saving Fund

Investment Objective: Quantum Long – Term Equity Fund's (QLTEF) investment objective is to achieve long-term capital appreciation. Quantum Liquid Fund's (QLF) investment objective is to provide optimal returns with moderate levels of risk and high liquidity. Quantum Gold Fund's (QGF) investment objective is to generate returns that are in line with the performance of gold, subject to tracking errors. The principal investment objective of Quantum Index Fund (QIF) is to invest in stocks of companies comprising the \$ & P CNX Nifty and endeavour to achieve a return equivalent to Nifty by "Passive" Investment, Quantum Tax Saving Fund's (QTSF) investment objective is to achieve long term capital appreciation. Asset Allocation: QLTEF will primarily invest in Equity and Equity related securities, but may invest in money market instruments to meet liquidity needs. QLF will invest in Money Market and other short term debt instruments having maximum repricing tenor of one year. QGF will primarily invest in physical gold and if allowed under SEBI Regulations, also in gold related securities, but may invest in money market instruments to meet liquidity needs. QIF will predominantly invest in stocks constituting the S & P CNX Nifty but may invest in money market instruments to meet liquidity needs. QTSF will primarily invest in equity and Equity related securities but may invest in short-term money market instruments or other liquid instruments as permitted under the Equity Linked Saving Scheme. Terms of Issue: QLTEF is an open-ended Equity Scheme offering Growth and Dividend Plans. The units can be subscribed/redeemed at the applicable NAV, subject to applicable load on all business days. QLF is an open-ended Liquid Scheme offering Growth, Daily Dividend Re-investment and Monthly Dividend Payout Plans. The units can be subscribed /redeemed at the applicable NAV, subject to applicable load, on all business days. QGF is an open-ended Exchange Traded Fund. Each unit of QGF will be approximately equal to the price of half (1/2) gram of Gold. Units will be issued at NAV based prices. On an ongoing basis direct purchases from the Fund would be restricted to only Authorised Participants and Eligible Investors. . QIF is an open- ended Exchange Traded Fund. Each unit of QIF will be approximately equal to 1/10th (one tenth) of the S& P CNX Nifty. Units will be issued at NAV based prices. On an ongoing basis direct purchases from the Fund would be restricted to only Authorised Participants and Eligible Investors. Units of QGF and QIF can be bought/sold like any other stock on the National Stock Exchange of India Ltd (NSE) or on any other stock exchanges where it is listed. QTSF is an open ended Equity linked savings scheme offering Growth and Dividend plans. The Units can be subscribed at the applicable NAV, subject to applicable load on all business days during the continuous Offer. Entry Load: Nil in case of all schemes. Exit Load: in case of QLTEF: On redemption/ switchout within 6 months of allotment- 4%, after 6 months but within 12 months- 3%, after 12 months but within 18 months-2%, after 18 months but within 24 months-1%, after 24 months-Nil. In case of QGF: Nil in case of Authorised Participants; 0.5% in case of Eligible Investors. In case of QLF, QIF and QTSF: Nil. Statutory Details: Quantum Mutual Fund (the Fund) has been constituted as a Trust under the Indian Trusts Act, 1882. Sponsors: Quantum Advisors Private Limited. (liability of Sponsor limited to Rs. 1,00,000/-) Trustee: Quantum Trustee Company Private Limited. Investment Manager: Quantum Asset Management Company Private Limited (AMC). The Sponsor, Trustee and the Investment Manager are incorporated under the Companies Act, 1956. Risk Factors: Investments in mutual funds are subject to market risks including uncertainty of dividend distributions and the NAV of the schemes may go up or down depending upon the factors and forces affecting the gold and securities markets and there is no assurance or guarantee that the objectives of the schemes will be achieved. The past performance of the Sponsor has no bearing on the expected performance of the scheme. Quantum Long-Term Equity Fund, Quantum Liquid Fund, Quantum Gold Fund, Quantum Index Fund and Quantum Tax Saving Fund are the names of the schemes and do not in any manner indicate either the quality of the Schemes, their future prospects or returns. Scheme specific risk: QLTEF, QLF, QGF, QIF, and QTSF are the first equity, liquid, gold, Index and ELSS schemes being launched by the AMC. The AMC has no previous experience in managing equity, liquid, gold, index or ELSS schemes. Equity and Equity related instruments are by nature volatile and prone to price fluctuations due to both macro and micro factors. QLF proposes to invest the portfolio in debt and money market instruments. The QGF's NAV will react to the Gold price movements. The Investor may lose money over short or long period due to fluctuation in Scheme's NAV in response to factors such as economic and political developments, changes in interest rates and perceived trends in bullion prices, market movement and over longer periods during market downturns. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of these investments of the QLTEF, QLF, QGF, QIF and QTSF. Units issued under QTSF will not be redeemed until the expiry of 3 (three) years from the date of their allotment. Please read the Scheme Information Document of QLTEF, QLF, QGF, QIF and QTSF before investing. Scheme Information Documents /Key Information Memorandums/Application Forms are available at the Quantum AMC Office at 107, Regent Chambers, Nariman Point, Mumbai-400021. It is to be distinctly understood that the permission given by NSE should not in any way be deemed or construed that the Offer Documents for QGF and QIF have been cleared or approved by NSE nor does it certify the correctness or completeness of any of the contents of the said Offer Documents. The investors are advised to refer to the Offer Documents of QGF and QIF for full text of the 'Disclaimer Clause of NSE'. "S&P" and Standard and Poor's" are trademarks of the McGraw-hill Companies, Inc (S & P) and have licensed for use by India Services & Products Ltd in connection with the S& P Nifty Index. "The Product is not sponsored, endorsed sold or promoted by India Index services & Products Limited ("IISL") or Standard & Poor's, a division of The McGraw -Hill Companies, Inc ("S & P") regarding the advisability of investing in securities generally or in the product. Please read the full Disclaimer in relation to the S& P CNX Nifty Index in the Scheme Information Document of QIF. Mutual fund investments are subject to market risks. Please read the Scheme Information Documents and Statement of Additional Information Carefully before investing.