



# Quantum Equity Fund of Funds

(An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds)

(Continuous Offer of units at Applicable NAV)

India's 1<sup>st</sup> Direct to Investor Mutual Fund

7<sup>th</sup> Floor, Hoechst House, Nariman Point, Mumbai - 400 021. [www.QuantumMF.com](http://www.QuantumMF.com)

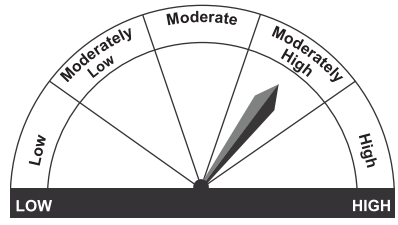
MUTUAL FUND	TRUSTEE	SPONSOR	INVESTMENT MANAGER
<b>Quantum Mutual Fund.</b>  7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021	<b>Quantum Trustee Company Private Ltd.</b>  7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021	<b>Quantum Advisors Private Ltd.</b>  6th Floor, Hoechst House, Nariman Point, Mumbai - 400 021	<b>Quantum Asset Management Company Private Ltd.</b>  7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021

## KEY INFORMATION MEMORANDUM - CUM - APPLICATION FORM

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website [www.QuantumAMC.com](http://www.QuantumAMC.com) / [www.QuantumMF.com](http://www.QuantumMF.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

### PRODUCT LABEL

Name of the Scheme	This product is suitable for investors who are seeking*	Riskometer
<b>Quantum Equity Fund Of Funds</b>  An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds	<ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Investments in portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI whose underlying investments are in equity and equity related securities of diversified companies.</li> </ul>	 <p>Investors understand that their principal will be at Moderately High Risk</p>

\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



## Quantum Equity Fund of Funds

(An Open Ended Fund of Funds Scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds)

### Investment Objective

The investment objective of the scheme is to generate long-term capital appreciation by investing in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI. There can be no assurance of positive returns from following the stated investment strategy.

### Category of Scheme

Fund of Funds - Domestic

### Asset Allocation Pattern of the scheme

Types of Instruments	Normal allocations (% of Net Assets)		
	Minimum (% of Net Assets)	Maximum (% of Net Assets)	Risk Profile
Open-ended diversified equity schemes of mutual funds registered with SEBI.	95	100	High
Money Market Instruments and Liquid Schemes of Mutual Funds	0	5	Low

The scheme will only invest in third party mutual funds. The fund shall invest in a mix of diversified equity schemes, mid cap/ small cap/ large cap oriented schemes

**The above asset allocation is only indicative and may change from time to time, keeping in view the market conditions and applicable rules and regulations.**

### Investment Strategy

The investment strategy of the Scheme will be to invest predominantly in open-ended diversified equity schemes of Mutual Funds, which are registered with SEBI after using intensive fundamental analysis both quantitative and qualitative, monitor the portfolio regularly but not so as to engage in excessive churning, and control risk by keeping portfolio adequately diversified.

The Scheme will invest a majority of its money in open-ended diversified equity schemes that have been in existence for at least 3 years at the time of making investments. The Scheme will not invest in any sector/thematic funds. Besides, it will not make any investments in the schemes of Quantum Mutual Fund. Furthermore, the schemes that get short-listed will be tested across the quantitative and qualitative parameters, set as a part of our fund selection process.

### Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

1. The scheme proposes to invest predominantly in the diversified equity schemes of Mutual Funds, registered with SEBI. Hence the scheme's performance will depend upon the performance of the underlying schemes. Any change in the investment policies or the fundamental attributes of the underlying schemes may affect the performance of the scheme.
2. Investments in underlying equity schemes will have all the risks associated with such schemes including performance of underlying stocks, derivative investments, off shore investments, stock lending, etc.
3. The changes in asset allocation may result in higher transaction costs.
4. Since the Scheme proposes to invest at least in 5 underlying schemes, the significant underperformance in even one of the underlying schemes may adversely affect the performance of the Scheme.

### Risk Management Strategies

Risk is an important part of the investment functions. Effective Risk Management is critical to Fund Management for achieving financial goals. Investments made by the Scheme shall be made in accordance with Investment Objective of the Scheme and provisions of SEBI (Mutual Funds) Regulations.

The Fund has identified following Risk and designed Risk Management Strategies which is the part of the Investment Process to manage such risks.

Type of Risks	Risk Management Strategies
Scheme Selection Risk – Risk of investing in a poor performing scheme	The Fund has laid down the criteria for quantitative and qualitative ranking for selection of the schemes which pick up schemes with consistent long-term performance with an emphasis on sound research and investment process. The criteria have been followed by meeting the fund managers of the schemes to ascertain deviation from the strategy. The rebalancing of weightage is also made if necessary. The performances of the schemes have been reviewed every six months.
Portfolio concentration Risk	The Fund has laid down the policy in case of portfolio holdings which has criteria for investments into the schemes, limits on the per scheme investments, per mutual fund house and schemes managed by the fund managers. The Fund also calculate the stock and sector concentration based on the weighted stock holdings of the scheme invested and also take corrective action by rebalancing if necessary.

### Plans and Options

**The Scheme offers two Plans : Each Plan offers two Options - Growth option & Dividend option:**

- |                   |  |
|-------------------|--|
| (I) Direct Plan   | The Dividend Option will in turn have two facilities namely, |
| (ii) Regular Plan | 1. Dividend Payout Facility and;                             |
|                   | 2. Dividend Re-investment Facility                           |

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong / invalid / incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.

### Launch of Regular Plan Effective April 01, 2017

In accordance with the SEBI Circular No. Cir / IMD / DF/21/2012 dated September 13, 2012, the Mutual Fund/AMC shall provide a separate plan for direct investment i.e. investments not routed through a distributor and such separate expense plan shall have lower expense ratio excluding distribution expenses, commission etc., and no commission shall be paid from such plans and the plan shall also have a separate NAV.

Investors are requested to note that Quantum Mutual Fund is India's First direct to investor's Mutual Fund. Quantum Mutual Fund has not charged / debited any distribution expenses to the schemes and has not paid any upfront or trail commission to distributors for investment routed through distributor whether empanelled with Quantum Mutual Fund or not till March 31, 2017.

Therefore, the Scheme did not have a Separate Plan for receiving any investment applications either through distributors or directly from investors, and had not declare separate NAV for application either through distributors or directly from investors for the Scheme till March 31, 2017. Quantum Mutual Fund has introduced a Regular Plan with effect from April 01, 2017 for investors who wish to invest in units of the Scheme through Distributors and not directly with the Fund.

The Existing Plan (plan till March 31, 2017) has been named / classified as the Direct Plan effective April 1, 2017 herein after referred to as 'Direct Plan'. The investments in the Direct Plan invested through distributors or directly with the Fund till March 31, 2017 shall remain under the Direct Plan.

If Investors wish to transfer their accumulated unit balance held under the Direct Plan (through lumpsum / systematic Investments made through distributors) to Regular Plan, they will have to switch / redeem their investments and apply under the Regular Plan.

### Applicable NAV

#### SUBSCRIPTION/PURCHASE INCLUDING SWITCH-INS:-

##### 1. Purchases for an amount of Rs. 2 lakh and above:

- a. In respect of valid application received before 3.00 p.m. on a Business Day with RTGS / NEFT / Fund Transfer / Local Cheque / Demand Draft payable at par at the place where it is received / Cash Card / Debit Card / IMPS / One Time Mandate NACH and funds for the entire amount of subscription/ purchase as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time ( 3.00 p.m.), the closing NAV of the day on which the funds are available for utilisation shall be applicable;
- b. In respect of valid application received after 3.00 p.m. on a Business Day with RTGS / NEFT / Fund Transfer / Local Cheque / Demand Draft payable at par at the place where it is received / Cash Card / Debit Card / IMPS / One Time Mandate NACH and funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Scheme and available for utilization before the cut-off time (3.00 p.m.) of the next Business Day, the closing NAV of the next Business Day shall be applicable;
- c. However, irrespective of the time of receipt of application, where the funds are not available for utilisation on the day of the application, the closing NAV of the Business Day on which the funds are available for utilisation before the cut-off time (3.00 p.m.) shall be applicable, provided the application is received prior to availability of the funds.

##### 2. Purchases/switch-in for amount of less than Rs. 2 lakh:

- a. Where the application is received upto 3.00 p.m. on a Business Day with RTGS / NEFT / Fund Transfer / Local Cheque / Demand Draft payable at par at the place where it is received / Cash Card / Debit Card / IMPS / UPI / One Time Mandate NACH – the closing NAV of the day of receipt of application shall be applicable;
- b. Where the application is received after 3.00 p.m. on a Business Day with RTGS / NEFT / Fund Transfer / Local Cheque / Demand Draft payable at par at the place where it is received / Cash Card / Debit Card / IMPS/ UPI / One Time Mandate NACH - the closing NAV of the next Business Day shall be applicable; and
- c. Where the application is received with an outstation cheque or demand draft which is not payable on par at the place where it is received – the closing NAV of day on which the funds for the cheque or demand draft is credited to the account of Scheme shall be applicable.

#### REDEMPTIONS INCLUDING SWITCH-OUTS:

1. In respect of valid applications received upto 3.00 p.m. on a Business Day - the closing NAV of the day of receipt of application shall be applicable.
2. In respect of valid applications received after 3.00 p.m. on a Business Day - the closing NAV of the next Business Day shall be applicable.

#### Minimum Application Amount/ Number of Units(under each plan / option)

Purchase/Switch Ins	Additional Purchase	Repurchase /Redemption/ Switch Outs
Rs. 500/- and in multiples of Re. 1/- thereafter	Rs. 500/- and in multiples of Re. 1/- thereafter/50 Units	Rs. 500/- and multiples of Re.1 thereafter or account balance whichever is less/ 50 units

#### Systematic Investment Plan (SIP) & Systematic Transfer Plan (STP)–:

- (I) Daily – Rs. 100 and multiple of Re. 1 thereafter
- (ii) Weekly / Fortnightly / Monthly / Quarterly – Rs. 500 and in multiples of Re. 1 thereafter

#### Systematic Withdrawal Plan (SWP):

Weekly / Fortnightly / Monthly / Quarterly – Rs. 500 and in multiples of Re. 1 thereafter

**Dispatch of Repurchase (Redemption) Request**

The Redemption / Repurchase proceeds shall be dispatched / credited to the registered bank account of the investor within 10 Working Days from the date of receipt of redemption / repurchase request.

**Benchmark Index**

S&P BSE 200 - Total Return Index.

**Dividend Policy**

The Trustee proposes to follow the following dividend distribution policy:

In terms of SEBI Circular No. 1/64057/06 dated April 4, 2006, the Trustees shall fix the quantum of dividend and the record date. The AMC shall, within one calendar day of the decision by the Trustee, issue notice to the public, communicating the decision, including the record date. The record date shall be 5 calendar days after the issue of notice and issued in accordance with the terms of the Circular. Declaration of dividend is subject to the availability of distributable surplus. It must be distinctly understood that the actual declaration of dividends under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme. There is no assurance or guarantee to Unitholders as to the rate of dividend distribution nor will that dividend be regularly paid. The dividend that may be paid out of the net surplus of the Scheme will be paid only to those Unitholders whose names appear in the register of Unitholders on the notified record date. Unitholders are entitled to receive dividend within 30 days of the date of declaration of the dividend. However, the Mutual Fund will endeavour to make dividend payments sooner to Unitholders. On distribution of dividends, the NAV will stand reduced by the amount of dividend distributed and statutory levy, if any, at the close of business hours on record date.

**Name of the Fund Manager & Tenure of Managing the Scheme**

Fund Manager - Mr. Chirag Mehta : Managing since November 1, 2013 (5.3 years)

**Name of the Trustee Company**

Quantum Trustee Company Private Limited

**Performance of the scheme (as on January 31, 2019)****Quantum Equity Fund of Funds – Direct Plan – Growth Option**

Period	Scheme Returns %	Benchmark Returns % (S&P BSE 200 Total Return Index)
1 Year*	-7.42	-3.38
3 Years**	12.34	14.32
5 Years**	17.21	15.11
7 Years**	14.61	13.41
Since Inception**	13.46	11.52

Past performance may or may not be sustained in the future.

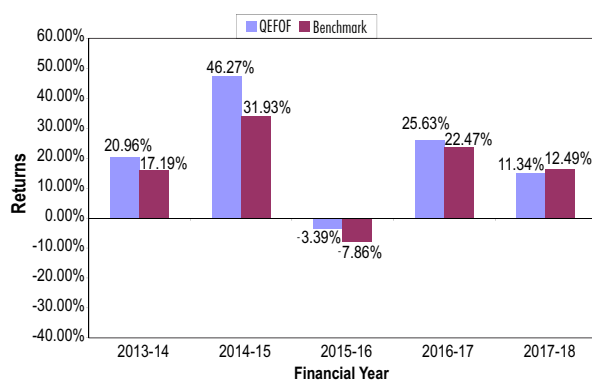
\* Annualized \*\* CAGR Date of Inception: July 20, 2009.

**Quantum Equity Fund of Funds – Regular Plan – Growth Option**

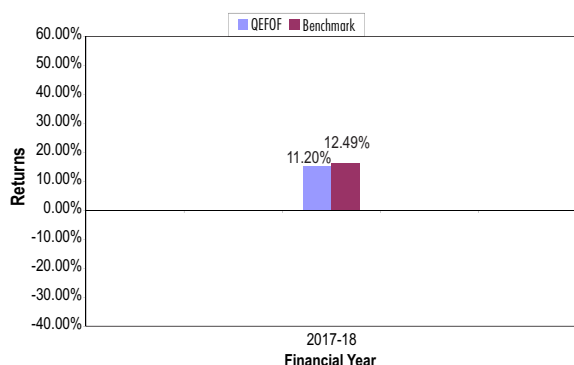
Period	Scheme Returns %	Benchmark Returns % (S&P BSE 200 Total Return Index)
1 Year*	-7.51	-3.38%
Since Inception**	5.62	9.23%

Past performance may or may not be sustained in the future.

\* Annualized \*\* CAGR Date of Inception: April 1, 2017.

**Absolute Returns for the last 5 Financial Years:****Quantum Equity Fund of Funds – Direct Plan – Growth Option**

Past performance may or may not be sustained in future

**Absolute Returns for last 1 Financial Year****Quantum Equity Fund of Funds – Regular Plan – Growth Option**

Past performance may or may not be sustained in future

**SCHEME PORTFOLIO HOLDINGS AS ON JANUARY 31, 2019**

(i) Top 10 Holdings by Issuer:

Issuer	% to NAV
Mirae Asset India Equity Fund - Direct Plan - Growth Option	14.00%
Kotak Standard Multicap Fund - Direct Plan - Growth Option	13.91%
Franklin India PRIMA Fund - Direct Plan - Growth Option	13.86%
Invesco India Growth Opportunity Fund - Direct Plan - Growth Option	13.85%
ICICI Prudential Bluechip Fund - Direct Plan - Growth Option	13.83%
Aditya Birla Sun Life Frontline Equity Fund - Direct Plan - Growth Option	13.78%
L&T Mid Cap Fund - Direct Plan Growth Option	13.74%
<b>Grand Total</b>	<b>96.97%</b>

(ii) Fund Allocation Toward Various Sectors:

Sector Allocation	% to NAV
Units of other Fund House Underlying Schemes	96.97%
Others	3.03%
<b>Grand Total</b>	<b>100.00%</b>

Note: Others include CBLO/ TRI-Party Repo and cash & cash equivalents

(iii) To obtain scheme's latest monthly portfolio please click the following link

<https://www.quantumamc.com/schemeportfolio.aspx?SchemeId=0&FactSheetType=2>

**Portfolio Turnover Ratio for last one year**

Not Applicable

**Transaction Charges**

In accordance with the SEBI Circular No. Cir / IMD / DF/13/2011 dated August 22, 2011, the AMC is allowed to deduct transaction charges of Rs. 100 for existing investors and Rs. 150 for a first time investor per subscription of Rs.10,000/- and above for the transaction / application received through distributors. The transaction charges shall be deducted by the AMC from the subscription amount received from the investor and paid to the distributor and the balance will be invested in the Scheme.

Investors are requested to note that no transaction charges shall be deducted from the investment amount for transactions / applications received from the distributor (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.

**Expenses of the Scheme****(I) Load Structure**

Entry Load: Not Applicable

Upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor.

**Quantum Mutual Fund does not charge Entry Load since inception.**

**Exit Load:** Repurchase/Redemption/Switch out Load - 1.5% If redeemed or switched out on or before 365 days from the date of allotment

The above mentioned load structure shall be equally applicable to the special product such as Systematic Withdrawal Plan (SWP), Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between plan / option within the Scheme.

**ii) Recurring expenses**

As per Regulation 52(6)(a) of SEBI (MF) Regulations, the total expenses of the Scheme, including weighted average of charges levied by the underlying schemes shall not exceed 2.50% of the daily net assets of the scheme.

The AMC has estimated that up to 0.75% of the daily net assets of the Scheme will be charged to the Scheme as permitted under Regulation 52 of SEBI (MF) regulations. For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund viz. [www.QuantumAMC.com/www.QuantumMF.com](http://www.QuantumAMC.com/www.QuantumMF.com). Investor can refer <https://www.quantumamc.com/Totalexpenratio.aspx> for total expense ratio details.

No additional expenses as per Regulation 52 (6A) (b) – for gross new inflow from specified cities and Regulation 52 (6A) (c) - recurring expense, not exceeding 0.05 per cent of daily net assets of to the scheme will be charged to the Scheme. Goods and Services Tax and Statutory Levies if any on Investment Advisory fees will be charged to scheme within the overall limit of expense as permitted under the SEBI Regulations.

Regular Plan expense ratio will be higher of upto 0.15% inclusive of Statutory Levies and Applicable Taxes (if any) in comparison of Direct Plan, wherein distributor commission for distribution of units will be paid / charged under the Regular Plan.

Actual expenses for the previous financial year ended March 31, 2018:

Direct Plan - Rs. 801,231.84 (0.50% p.a.)

Regular Plan - Rs. 23,454.92 (0.62% p.a.)

**Waiver of Load for Direct Applications**

Not Applicable

Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.

**Tax treatment for the Investors (Unitholders)**

Investors are advised to refer to the details in the Scheme Information Document & Statement of Additional Information and also independently refer to his/her/their tax advisor.

**Daily Net Asset Value (NAV) Publication**

NAV shall be calculated and disclosed on every Business Day. AMC shall update the NAV under separate head on the website of the Fund ([www.QuantumMF.com](http://www.QuantumMF.com) / [www.QuantumAMC.com](http://www.QuantumAMC.com)) and on the website of Association of Mutual Funds in India [www.amfiindia.com](http://www.amfiindia.com) by 10.00 a.m. of the next business day.

Investors may obtain latest NAV through SMS by writing to the AMC.

**For Investor Grievances please contact**

<b>Registrars &amp; Transfer Agents</b> <b>Karvy Fintech Private Limited</b> Unit: Quantum Mutual Fund, Karvy Selenium Tower B, Plot number 31 & 32 Financial District Nanakramguda Serilingampally Mandal Hyderabad - 500032 Phone: +91 – 040-23312454	Investor queries and complaints constitute an important voice of Investor, and to this effect the AMC has formulated a Grievance Redressal Policy (which can be viewed at <a href="http://www.QuantumMF.com">www.QuantumMF.com</a> ) Mr. Harshad Chetanwala – Head Customer Delight for any queries / clarifications and Ms. Meera Shetty – Investor Relation Officer for any complaint / grievance can be contacted at Quantum Asset Management Company Private Limited 7th Floor, Hoechst House, Nariman Point, Mumbai - 400021, email <a href="mailto:customercare@QuantumAMC.com">customercare@QuantumAMC.com</a> , Telephone number - 1800 209 3863 / 1800 22 3863 (Toll Free), Fax number 022 – 1800 22 3864 (Toll Free).
--	---

**Unitholders' Information****Account Statement / Allotment Confirmation :**

1. On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS (if the mobile number is not registered under Do Not Call Registry) within 5 Business Days from the date of receipt of transaction request.
2. Thereafter, a Consolidated Account Statement (CAS) for each calendar month shall be sent by mail / email on or before 10th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month.
3. The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

For more information of account statement please refer Scheme Information Document.

**Annual Report :**

The AMC / Mutual Fund shall send the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

- (i) by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.

- (ii) in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC.

A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

**Monthly / Half yearly Portfolio Disclosures:**

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website [www.QuantumMF.com](http://www.QuantumMF.com) / [www.QuantumAMC.com](http://www.QuantumAMC.com) & on the website of AMFI [www.amfiindia.com](http://www.amfiindia.com) within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively.

The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website [www.QuantumMF.com](http://www.QuantumMF.com) / [www.QuantumAMC.com](http://www.QuantumAMC.com) & on the website of AMFI [www.amfiindia.com](http://www.amfiindia.com). The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

**Half Yearly Results:**

The Fund shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website ([www.QuantumAMC.com](http://www.QuantumAMC.com) / [www.QuantumMF.com](http://www.QuantumMF.com)). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

**Dated: February 21, 2019**



## COMPARISON CHART

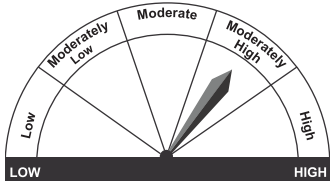
Name of the Scheme	Asset Allocation Pattern				Primary Investment Pattern	Differentiation	AUM ₹ in Crore (As on January 31, 2019)	No. of Folios (As on January 31, 2019)
Quantum Long Term Equity Fund*	Type of Instruments	Normal allocation (% of Net Assets)	Minimum allocation (% of Net Assets)	Maximum allocation (% of Net Assets)	To invest in shares of companies included in BSE-200 Index.	An Open-ended Equity Scheme following a value investment strategy	903.46	35,684
*The Quantum Long Term Equity Fund has been renamed to the Quantum Long Term Equity Value Fund w.e.f 18 April 2018.	Listed Equity & Equity Related Securities of Companies	95% to 99%	65%	99%				
	Unlisted Equity & Equity Related Securities of Companies	0% to 3%	0%	5%				
	Money Market Instruments	1% to 5%	1%	35%				
	Liquid Schemes of Mutual Funds	0% to 5%	0%	5%				
Quantum Liquid Fund	Type of Instruments		Normal Allocation (% of Net Assets)		To invest in Debt & Money Market Instruments.	Open ended Liquid Scheme	244.60	3,674
	Money Market Instruments and other short term debt instruments having maximum re-pricing tenor of not more than 91 days in maturity		100%					
Quantum Gold Fund	Type of instruments	Normal Allocation (% of Net Assets)			To invest in Physical Gold.	An Open Ended Scheme Replicating / Tracking Gold	57.62	8,168
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Physical Gold	95%	100%					
	Money Market instruments	0%	5%					
Quantum Nifty ETF	Type of instruments	Normal Allocation (% of Net Assets)		To invest in stocks of companies comprising Nifty 50 Index.	An open ended Scheme Replicating / Tracking Nifty 50 Index	5.41	349	
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Securities covered by the Nifty 50 Index	95%	100%					
	Money Market Instruments	0%	5%					
Quantum Tax Saving Fund	Type of instruments	Normal Allocation (% of Net Assets)			To invest in shares of companies included in BSE-200 Index.	An Open-ended Equity Linked Saving Scheme with a statutory lock in of 3 years and tax benefit.	72.97	9,101
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Equity and Equity-related Securities	80%	100%					
	Debt and Money Market Instruments	0%	20%					
Quantum Equity Fund of Funds	Type of instruments	Normal Allocation (% of Net Assets)			To invest in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI.	An Open Ended Fund of Funds Scheme investing in Open Ended Diversified Equity Schemes of Mutual Funds	30.17	3,560
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Open-ended diversified equity schemes of mutual funds registered with SEBI.	95%	100%					
	Money Market Instruments and Liquid Schemes of Mutual Funds	0%	5%					
Quantum Gold Savings Fund	Type of instruments	Normal Allocation (% of Net Assets)			To invest in the units of Quantum Gold Fund ETF	An Open ended Fund of Fund Scheme investing in Quantum Gold Fund.	15.75	1,571
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Units of Quantum Gold Fund ETF	95%	100%					
	Money Market instruments, Short-term Corporate debt securities, CBLO and units of Debt and Liquid Schemes of Mutual Funds	0%	10%					
Quantum Multi Asset Fund	Type of instruments	Normal Allocation (% of Net Assets)			To invest in the units of Equity, Debt/ Money Markets and Gold schemes of Quantum Mutual Fund	An Open-ended Fund of Funds Scheme investing in schemes of Quantum Mutual Fund	16.18	990
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Units of Equity Schemes	25%	65%					
	Units of Debt / Money Market Schemes	25%	65%					
	Units of Gold Scheme	10%	20%					
	Money Market instruments, Short-term Corporate debt securities, CBLO	0%	10%					
Quantum Dynamic Bond Fund	Type of instruments	Normal Allocation (% of Net Assets)			To generate income and capital appreciation through active management of portfolio consisting of short term and long term debt and money market instrument.	An Open ended Dynamic Debt Scheme Investing Across Duration.	57.12	1,488
		Minimum Allocation (% of Net Assets)	Maximum Allocation (% of Net Assets)					
	Government Bond / Bill	25%	100%					
	PSU Bond	0%	50%					
	Certificate of Deposits/ Commercial Paper/ Short Term Debt Instrument	0%	75%					
	CBLO / Repos	0%	100%					

Dated: February 21, 2019

# INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM

## 1 SCHEME FEATURES AND INVESTMENT DETAILS

### QUANTUM EQUITY FUND OF FUNDS

CATEGORY OF SCHEME	THIS PRODUCT IS SUITABLE FOR INVESTORS WHO ARE SEEKING*	Riskometer	INVESTMENT OBJECTIVE																																				
An Open Ended Fund of Funds scheme Investing in Open Ended Diversified Equity Schemes of Mutual Funds	<ul style="list-style-type: none"> <li>Long term capital appreciation</li> <li>Investments in portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI whose underlying investments are in equity and equity related securities of diversified companies.</li> </ul>	 <p>Investors understand that their principal will be at Moderately High Risk</p>	The investment objective of the scheme is to generate long-term capital appreciation by investing in a portfolio of open-ended diversified equity schemes of mutual funds registered with SEBI. There can be no assurance of positive returns from following the stated investment strategy.																																				
FUND MANAGER	FUND MANAGER TOTAL EXPERIENCE	INCEPTION DATE (DATE OF ALLOTMENT)	ENTRY LOAD																																				
Mr. Chirag Mehta (Since November 1, 2013)	13 yrs.	July 20, 2009	NA* ("In terms of SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no entry load charged to the schemes of the Mutual Fund and the upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by the distributor)																																				
EXIT LOAD	EXPENSES RATIO (as on January 31, 2019)	INVESTMENT OPTIONS	MINIMUM APPLICATION AMOUNT (Under each Option)																																				
if Redeemed or switch out on or before 365 days from the date of allotment 1.5%	Direct Plan (Inclusive of Statutory Levies and Taxes)- 0.51% Regular Plan (Inclusive of Statutory Levies and Taxes)- 0.63%	Growth & Dividend (Dividend Option will in turn have two Facilities, Dividend Payout Facility and Dividend Re-investment Facility)  <b>DEFAULT OPTION*</b>  Growth Option in case Growth Option or Dividend Option is not indicated. Dividend Reinvestment Facility in case Dividend Reinvestment Facility or Dividend Payout Facility is not indicated.	₹ 500/- and in multiples of ₹ 1/-thereafter, Additional Investment would be ₹ 500/- and in multiples of ₹ 1/- thereafter/ 50 units																																				
LOCK-IN PERIOD	NET ASSET VALUE (NAV)	BENCHMARK INDEX																																					
NIL	Every Business Day	S&P BSE 200 Total Return Index																																					
Investment Plan	Direct Plan / Regular Plan																																						
Default Plan	Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under: <table border="1"> <thead> <tr> <th>Scenario</th><th>Broker Code mentioned by the investor</th><th>Plan mentioned by the investor</th><th>Default Plan to be captured</th></tr> </thead> <tbody> <tr><td>1</td><td>Not mentioned</td><td>Not mentioned</td><td>Direct Plan</td></tr> <tr><td>2</td><td>Not mentioned</td><td>Direct</td><td>Direct Plan</td></tr> <tr><td>3</td><td>Not mentioned</td><td>Regular</td><td>Direct Plan</td></tr> <tr><td>4</td><td>Mentioned</td><td>Direct</td><td>Direct Plan</td></tr> <tr><td>5</td><td>Direct</td><td>Not mentioned</td><td>Direct Plan</td></tr> <tr><td>6</td><td>Direct</td><td>Regular</td><td>Direct Plan</td></tr> <tr><td>7</td><td>Mentioned</td><td>Regular</td><td>Regular Plan</td></tr> <tr><td>8</td><td>Mentioned</td><td>Not mentioned</td><td>Regular Plan</td></tr> </tbody> </table> In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application.			Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured	1	Not mentioned	Not mentioned	Direct Plan	2	Not mentioned	Direct	Direct Plan	3	Not mentioned	Regular	Direct Plan	4	Mentioned	Direct	Direct Plan	5	Direct	Not mentioned	Direct Plan	6	Direct	Regular	Direct Plan	7	Mentioned	Regular	Regular Plan	8	Mentioned	Not mentioned	Regular Plan
Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured																																				
1	Not mentioned	Not mentioned	Direct Plan																																				
2	Not mentioned	Direct	Direct Plan																																				
3	Not mentioned	Regular	Direct Plan																																				
4	Mentioned	Direct	Direct Plan																																				
5	Direct	Not mentioned	Direct Plan																																				
6	Direct	Regular	Direct Plan																																				
7	Mentioned	Regular	Regular Plan																																				
8	Mentioned	Not mentioned	Regular Plan																																				

\* Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, a separate Application form will have to be filled. In case applications are received where option/ sub-option for investment is not selected, the default option/ Sub option will be applicable.

\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

THIS SPACE IS INTENTIONALLY LEFT BLANK

**2 GENERAL INSTRUCTIONS**

- Please read the Key Information Memorandum, Scheme Information Document (SID) of the respective scheme(s) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing.
- Please Tick (✓) in the appropriate box (O), where boxes have been provided.
- Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
- Please strike out any section that is not applicable. Correction/Cancellation on any of the information should be countersigned by the investor.
- All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund the original Power of Attorney or the certified copy thereof duly notarised and the relevant resolution or authority to make the application, as the case may be including authority granted in favour of the officials signing the application and their specimen signature etc., or duly certified copy thereof along with a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/or partnership deed and certificate of registration must be lodged at the office of the Registrar, quoting the serial number of application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.
- All communications and payments will be made to the sole/first applicant or to the Karta in case of HUF. Confirmation may also be sent to all joint holders.
- While processing the redemption/switch out request in case Quantum AMC/Registrar come across a signature mismatch, then Quantum AMC/Registrar reserves the right to process the redemption/switch only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by Quantum AMC from time to time.
- Investors who wish to make Systematic Investment Plan (SIP) will need to complete and submit the Application Form along with the SIP Application Form.
- Quantum Mutual Fund does not levy any transaction charges to pay to the distributors and will invest the whole subscription amount received and units will be allotted accordingly.
- Quantum Mutual Fund investors will be provided with the Drop Box Facility to facilitate submission of the subscription application(s) without visiting the Official Point of Acceptance. Quantum Mutual Fund Drop Boxes will be available at various locations such as Housing Societies/ Railway Stations/ ATMs of the Bank etc. as disclosed on the homepage of our website [www.QuantumAMC.com/](http://www.QuantumAMC.com/) [www.QuantumMF.com](http://www.QuantumMF.com). Quantum Mutual Fund shall not be liable for any claims raised by the investors with regard to timing of the clearing of Cheque, incomplete documentation received, any delay arising in processing the transaction request or non-receipt of the cheque/ application form by the AMC.
- Direct Plan: An investor who has made the investment directly from the Mutual Fund or through RIA (mentioned RIA code) needs to select the Plan as 'Direct'.  
Regular Plan: Any investment made through a distributor by mentioning valid distributor details, need to select the Plan as 'Regular'. The AMC will be paying commission to the distributor for such transactions.

**3 EXISTING UNITHOLDER INFORMATION**

An existing investor should mention the correct Folio Number & go directly to Section (Scheme Details). Investors should note that there will be no change to the existing Investor Profile Details mentioned in the Existing Folio.

**4 A PERMANENT ACCOUNT NUMBER (PAN)**

Permanent Account Number (PAN) issued by the Income Tax Authorities has been made mandatory for all the applications received in the Scheme, irrespective of the amount of transaction (except MICRO SIP Investments). Accordingly it is mandatory for investor's to provide their PAN along with self-attested copy of PAN Card while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor /PoA holder, PAN details of the Guardian/ PoA holder must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. The PAN Exempt KYC Registration Number (PEKRN) needs to be provided in case of PAN exempt cases.

**B KNOW YOUR CUSTOMER (KYC)**

According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002, Mutual Funds are required to follow enhanced Know Your Customer (KYC) norms. Accordingly, it will be mandatory for all categories of investors to be KYC Compliant, irrespective of the amount of investment. Categories include:

- Individual investors.
- Non-individual investors such as Companies, Body Corporates, Association of Persons, Banks, Financial Institutions, Funds, Trusts, Societies, Hindu Undivided Family (HUF), Partnership Firms, Foreign Institutional Investors, Limited Liability Partnerships etc.
- Non-Resident Investors (NRIs), including Persons of Indian Origin (PIOs).

The above category of investors for the purpose of KYC compliance shall include their constituted Power of Attorney (POA) holder, in case of investment through a POA and each of the applicants, in case of application in joint names and also the guardian in case of a minor. Investors investing through Micro SIP or investors residing in the state of Sikkim or UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India or in case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. are exempt from the mandatory requirement of PAN proof submission, however they are required to mandatorily submit KYC Acknowledgment copy while making an investment in Quantum Mutual Fund. Please refer to our website [www.QuantumMF.com](http://www.QuantumMF.com) and AMFI website [www.amfiindia.com](http://www.amfiindia.com) and SEBI registered intermediaries for KYC compliance where the completed forms and the relevant documents are to be submitted. Applications for subscriptions without a valid KYC Compliance for the relevant category of investors may be rejected.

Provided further, where it is not possible to verify the KYC Compliance status of the investor at the time of allotment of units, Quantum AMC shall verify the KYC Compliance status of the investor within a reasonable time after the allotment of units. In case during first time purchase the investor's KYC verification/ Compliance is under process, subsequent purchase shall not be permitted till the investor is KYC Compliant.

In case of Non-individual investors, Quantum AMC will verify the status of KYC compliance of beneficiaries whose beneficiary ownership interest in the investor is more than the limit as prescribed in the Regulations, from KRA (KYC Registration Agency) on the basis of PAN No. of beneficiaries provided by the investor along with the beneficiary holding pattern and the filled application form. In case the beneficiary is not KYC compliant and such beneficiary ownership interest in the investor is more than the limit as prescribed in the Regulations, Quantum AMC reserves the right to source documents relating to identity, address and beneficiary ownership interest in the beneficiary of such beneficiary from the beneficiary directly and / or the investor. The list of valid documents in this regard is prescribed in the application form under title CHECKLIST of documents at the bottom of the application form.

In the event of non compliance of KYC requirements, Quantum AMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the Applicable NAV, subject to payment of exit load, if any. Investors, who have obtained MIN allotment letter by submitting the PAN copy, are deemed to be KYC compliant. Investors should note that on completion of KYC Compliance address details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor to the KRA. Any change in this details like change of Name / Address /Status /Signature, etc. should be given by Investor directly to KRA in the prescribed Change request form quoting PAN number. Quantum AMC reserves the right to call for any additional information from the investors/ applicant/ reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulations from time to time.

Quantum AMC further reserves the right to source data/ documents/ information/ specimen signature from third party/ KRA and that Quantum AMC has the right to use the same/ specimen signature for validation to process any future transactions that are submitted by the investor.

**5 EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIDN)**

Investor investing through Intermediary shall mandatorily mention the EUIDN on the application form, irrespective whether he/she has been advised by Sales person/ Employee/ Relationship manager of the Intermediary or not. However, in case of any exception cases, where there is no interaction by Sales person / Employee / Relationship manager of the intermediary with respect to the investment / transaction, the EUIDN box may be left blank. If left blank, AMC will seek the following declaration separately from the investor, "I/We hereby confirm that the EUIDN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

It is mandatory to obtain EUIDN for every Sales person / Employee / Relationship manager of the Intermediary for dealing (irrespective whether transactions are in the nature of execution or advisory) in mutual fund products. EUIDN will assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leaves the employment of the ARN holder / sub broker.

**6 APPLICANT INFORMATION**

- Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account & KYC records or as it appears in the incorporation document or demat account as the case may be.
- Name, Date of birth of the Minor, Name of Parent/Guardian and relationship with minor is mandatory for investment on behalf of a Minor applicant, proof of date of birth and relationship proof is mandatory.
- Name of the Contact Person, email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
- The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H. U. F., the Karta should sign on behalf of the H. U. F.
- The designated Investor Service Center/ Official Point of Acceptance will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the application. No separate receipt will be issued for the application money.
- Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application completed in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center. Official Points of Acceptance Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
- Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
- Name and address must be given in full (P.O. Box Address alone is not sufficient).  
In case of NRIs/FLIs 'Overseas Address' should also be provided failing which application may be rejected. In addition, Indian address should be stated for correspondence.
- Differently abled refers to people with Blindness, Low vision, Leprosy-cured, Hearing impairment, Loco motor disability, Mental retardation, Mental illness etc. as defined under the Persons with Disabilities Act, 1995, which ensures Participation and Equality of the People with Disabilities. This is as per the definition laid down by the Department of Empowerment of Persons with Disabilities, Government of India.  
[http://disabilityaffairs.gov.in/upload/uploads/files/PWD\\_Act.pdf](http://disabilityaffairs.gov.in/upload/uploads/files/PWD_Act.pdf).
- Some additional details are required for validating your identity for certain transactions/ Communications. Hence please fill annual income, mobile number and email id in case of all applicants.
- Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly. This is compulsory for all electronic/telecommunication facilities available now and to be introduced in future.
- In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all dividend/redemption will be paid to the first named holder.
- The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:
  1. Birth certificate of the minor, or
  2. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
  3. Passport of the minor, or
  4. Any other suitable proof evidencing the date of birth of the minor.
- Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- In case the Occupation is not specified, the default occupation will be considered as "Private Sector Service" and in case the Income slab is not selected, the default income slab (minimum income) "Upto 1 Lac" will be considered.

**7 POWER OF ATTORNEY**

Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.

**8 E-MAIL/TELEPHONIC COMMUNICATION**

You can now generate PIN online through our website to view your folio details and also to transact online. PIN is not required to purchase online and also not required for online SIP. The PIN can be generated online through our website. This will help you in keeping track of your fund via the internet and allow you to purchase, switch and redeem your units at the touch of a button. Also there is a "TPIN" which can be used to know the folio details in the IVR.  
Please refer to Electronic Communication / Transactions Terms and Conditions for details.

**9 ELECTRONIC/TELECOMMUNICATION FACILITY**

Please indicate the documents which you do NOT wish to receive in physical format, not ticking on the box would mean that a physical copy along with an email of the said document/s will be sent to you. Newsletters, addenda and other general information will be sent to you via email only. Physical copies of the same will not be sent. As per SEBI guidelines, Annual Report will be forwarded to the registered email id i.e. registered with the AMC. In case unitholder desires to receive physical copy of the same you need to inform us accordingly.

**10 BANK ACCOUNT DETAILS**

SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and Bank Account Number in their investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank accounts please fill separate Form for Registering/ Adding/cancellation of Multiple Bank Accounts. Individuals/ HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts.

Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank Statement with current entries not older than 3 months or a Certified Bank Passbook with current entries not older than 3 months or a Bank Letter/Certificate duly signed by Bank Branch Manager/Authorized Personnel.

If photocopy of any document is submitted, the copy should be certified by the Bank Manager or self attested copy along with Original documents for verification.

Additionally the AMC may call for any additional documents, wherever required on case to case basis."

In case the investor is a minor, the bank account details for redemption purpose should be of the minor i.e. the minor should be an account holder in the bank account.

**PAYMENT OF REDEMPTION/DIVIDEND:**

In case of receipt of redemption request with new bank account there will be a cooling period of 10 calendar days for validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 business days.

In case the bank change request received along with the redemption request is invalid, then the change of bank will not be processed and redemption/Dividend proceeds, if any, will be processed as per the last registered Bank account with the Fund.

In the case of NRIs, payments shall be:

- (i) Credited only to NRE account of the NRI investor where the payment for purchase of Units repurchased/redeemed was made out of funds held in NRE account or
- (ii) Credited, at the NRI investor's option, to his/her NRO account, where the payment for the purchase of the Units repurchased /redeemed was made out of funds held in NRO account or
- (iii) Remitted abroad or at the NRI investor's option, credited to his/ its NRE/FCNR/NRO account, where the Units were purchased on repatriation basis and the payment for the purchase of Units repurchased /redeemed was made by inward remittance through normal banking channels or out of funds held in NRE/FCNR account.

Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, and account type and account no.) in the application form for electronic fund transfer (EFT) of dividend / redemption amount to the unit holders bank account. Quantum AMC will automatically extend this facility to all unit holders in the bank account as communicated by the unit holder with any of the bank providing EFT facility.

The 11 digit IFSC (Indian Financial System Code). The 9-digit MICR (Magnetic Ink Character Recognition) number appearing next to the cheque number in the cheque leaf is to be mandatorily given.

Based on the above information AMC will enable secure transfer of your redemption and dividend payouts via the various electronic modes of transfers (RTGS /NEFT / Direct Credit mode that are available in the banking system).

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders residing at locations where this facility is not available. "If the remittance is delayed or not affected for reasons of incomplete or incorrect information, Quantum AMC cannot be held responsible". For validation of IFSC/MICR code, investor shall attach the cancelled cheque/ copy of cheque. If these documents are not provided the fund will not be responsible for consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

ELECTRONIC CLEARING SERVICE (ECS) - ECS facility is available only in respect of dividend payments and not in the case of Redemption of Units. Based on the bank particulars, investors will receive a direct credit of the amount due to them in their notified account with intimation to the unit holder.

### 11 MODE OF PAYMENT

- As required by AMFI, Quantum Mutual Fund shall not accept applications for subscriptions with third party payment instruments.
- Investors may make payment by single Cheque/ DD payable locally in the city where the application form is submitted at Quantum AMC/ Karvy Collection Centers or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account
- The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
- Payment through Stock invest, outstation cheques and cash will not be accepted.  
The AMC shall bear the bank charges incurred by the investor in obtaining the demand draft; except for Liquid Schemes (As per demand draft charges prescribed by State Bank of India). In that case, the investor can obtain a draft for investment amount net of draft charges. The AMC shall not refund any demand draft charges in cash.
- The cheque should be drawn in favor of "Quantum Mutual Fund & PAN (number)" or Quantum (Scheme name) & PAN (number) and crossed "Account Payee Only".
- Returned cheques will not be presented again for collection and the accompanying application will be rejected.
- Single cheque for investments in multiple schemes and multiple cheques for investments in Single Scheme will not be accepted.
- If the dividend amount under Dividend payout facility in QLF and QDBF is less than or equal to ₹ 500/- and ₹ 100/- respectively the same will be reinvested.
- In case of demand drafts, unit holder should attach necessary supporting documents as required by the fund, like Bank certificate, self attested Bank Pass Book or statement to prove that the funds are from a Bank account held by the first Unit holder only else the fund reserves the right to reject the application or call for additional details.
- NRI/ PIO/ FII's/ FPI's  
Repatriation Basis - In the case of NRIs/ PIO, payment may be made either by inward remittance through normal banking channels or out of funds held in their Non-Resident (External) Rupee Account (NRE)/ Foreign Currency (Non-Resident) Account (FCNR). FII's / FPI's shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorized dealer with the approval of the RBI.  
Non Repatriation Basis - In the case of NRIs, payment may be made either by inward remittance through normal banking channels or out of funds held in their NRE/FCNR/Non-Resident Ordinary Rupee Account (NRO). Please indicate by ticking the relevant account from which payment is being made.

#### 11A. Payment through electronic mode RTGS/NEFT/IMPS:

- For purchase through RTGS / NEFT / IMPS, the investor needs to first complete the fund transfer formalities with the Bank.

Please note the Quantum Mutual Fund account details for the RTGS / NEFT / IMPS: (Other Bank Investors)

	EXISTING INVESTOR	NEW INVESTOR
Bank Name	HDFC Bank	HDFC Bank
Bank A/c Type	Current A/C	Current A/C
Beneficiary Account Number (QUANTUM and Folio no./PAN no.)	QUANTUM1234567	QUANTUMABCD1234F
Beneficiary Name	QUANTUM MUTUAL FUND	QUANTUM MUTUAL FUND
Branch Address	Sandaz Branch	Sandaz Branch
IFSC Code	HDFC0000240	HDFC0000240

- After completing the fund transfer through RTGS / NEFT / IMPS mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.
  - Enclose the fund transfer proof along with the application form.
- 11B. Payment through Direct Credit (DC):
- For purchase through DC, the investor needs to first complete the fund transfer formalities with the Bank. Please note the Quantum Mutual Fund account details for Direct Credit: (HDFC Bank Investors)

	EXISTING INVESTOR	NEW INVESTOR
Bank Name	HDFC Bank	HDFC Bank
Bank A/c Type	Current A/C	Current A/C
Beneficiary Account Number (QUANTUM and Folio no./PAN no.)	QUANTUM1234567	QUANTUMABCD1234F
Beneficiary Name	QUANTUM MUTUAL FUND	QUANTUM MUTUAL FUND
Branch Address	Sandaz Branch	Sandaz Branch
IFSC Code	HDFC0000240	HDFC0000240

- After completing the fund transfer through Direct Credit mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.
- Enclose the fund transfer proof along with the application form.

### Direct Credit Account Details: (Other Bank Investors)

Bank Name	Axis Bank LTD	YES Bank	State Bank of India	Kotak Mahindra Bank	ICICI Bank	IDBI Bank
Bank A/c Type	Current A/C	Current A/C	Current A/C	Current A/C	Current A/C	Current A/C
Account Number	233010200008204	000485700000293	34175547847	3511536785	000405033543	004102000028158
Account Name	Quantum Mutual Fund Collection A/C	Quantum Mutual Fund Collection A/C	Quantum Mutual Fund	Quantum Mutual Fund	Quantum Mutual Fund Collection A/c	Quantum Mutual Fund Collection A/c
Branch Address	Nariman Point	Mittal Chamber Nariman Point	Dalal House, Nariman Point	Kismet Building, Colaba, Mumbai 400005	Free Press Marg, Nariman Point	Mittal Court Nariman Point
IFSC Code	UTIB0000173	YESB0000004	SBIN0006945	KKBK0000648	ICIC0000004	IBKL0000004

CHECKLIST ( Please submit the following documents with your application (where applicable). For Investors other than Individuals please also submit declaration of Beneficial Ownership (as applicable) as per format available on [www.QuantumMF.com](http://www.QuantumMF.com) All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised signatory/ Notary Public.)

Documents	Individual	HUF	Companies/LLP	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FII's*	PIO	FPI <sup>#</sup>
Resolution/ Authorisation to invest			✓	✓	✓		✓		✓		✓
List of authorised signatories with specimen signatures			✓	✓	✓		✓		✓		✓
Memorandum & Articles of Association			✓								
Trust Deed							✓				
Declaration of Ultimate Beneficial Ownership		✓	✓	✓	✓		✓		✓		✓
Bye-laws				✓							
Partnership Deed					✓						
Certificate of Registration											✓
Notarised POA						✓					
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
PIO Card										✓	
Foreign Inward Remittance Certificate										✓	
Trigger Form (if applied)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

\* For FII's copy of SEBI registration certificate should be provided. # Certificate of registration granted by designated depository participants on behalf of SEBI.

- After completing the fund transfer through IMPS mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.
13. Please register additional banks through which you want to invest by filling and submitting Multiple Bank Mandate along with supporting documents.

### 12 NOMINATION DETAILS

Applicants while applying for Units singly/ jointly can make a nomination at the time of initial investment or during subsequent investments.

- The nomination can be made only by individuals applying for/holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney, and folios held by minor cannot nominate. If the units are held jointly, all joint holders will have to sign the nomination form.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
- Nomination in respect of the units stands rescinded upon the redemption/ transfer/ transmission of units.
- Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and Quantum AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
- Nomination is mandatory in case of single holding. In case you do not wish to nominate please tick accordingly.

### 13 DEMAT ACCOUNT

Unit holders who have provided the Demat Account details will be issued units in demat form on request. Please submit Client Master copy or any documents specifying the name and demat account no. details as a proof of demat account details furnished in the application form.

Investor can hold the Units in demat form by selecting the relevant option of Yes/No - for opting or not opting for Units in demat form. If no option is exercised, the default option will be "No". Investors have to ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/incorrect, not matching with the Depository data, then Units will be issued in Physical mode and Statement of Account will be issued to the Unit holder.

Investor have to ensure that the bank a/c through which the investment is being done matches with the bank a/c details in the demat account, otherwise the application is liable to be rejected. In case of holding in demat account the bank mandate, mode of holding, nominee details, contact details etc. will be as per the details stated in the demat account and not as per this application.

In case an investor desires to redeem, the dematerialized units have to be converted into physical form (represented by statement of account issued by Quantum AMC) by submitting an application for Re-materialization of units to the Depository Participant, in such case application for redemption would be considered on the date when physical redemption slip is provided duly signed to the Quantum AMC. As an alternative, redemption request can be placed through Depository Participants and Exchanges specified intermediaries where NSE MFSS/ BSE StAR platform is available for trading of Mutual Fund Units.

Kindly note that facility to subscribe/ hold units in demat form is not available for Quantum Liquid Fund - Daily Dividend Reinvestment Option, Switches and SWP/ STP investments.

In case of Unit holders holding units in demat (electronic) mode, a demat statement will be sent by Depository Participant to the Unit holders.

### 14 LIST OF ATTACHMENTS

You are requested to enclose the relevant documents as listed in the check list of accompanying documents depending on the applicant's status and tick in the box against the document.



**15 SMILE Facility**

1. SMILE facility enables an investor, basis a consent option; to contribute some percentage of his/her Investment in the schemes of Quantum Mutual Fund towards a charitable cause to any two NGO's (maximum)
2. Please fill this form in ENGLISH in Black/Dark colored ink in capital letters.
3. It can be availed by investors having their investments in non-demat mode only.
4. Application form to be signed as per mode of holding registered in the folio.
5. All fields mentioned in the form are mandatory.
6. Who Can Opt for the Facility:
  1. Resident
  2. HUF
  3. Companies/LLP
  4. Societies
  5. Partnership Firm
  6. Trusts
  7. NRI with terms and conditions as mentioned below

Resident / Non Resident Indian holding foreign citizenship can opt for SMILE Facility on any date through Investor Advised Option. However, contribution to NGOs can be done either on the investors getting their Indian Citizenship or on receipt of FCRA approval by HYNGO which is currently in the process for approval with the Ministry of Home Affairs.
7. Eligible Schemes:  
Quantum Long Term Equity Value Fund, Quantum Equity Fund of Funds, Quantum Dynamic Bond Fund, Quantum Multi Asset Fund, Quantum Gold Savings Fund and Quantum Liquid Fund.
8. Smile facility is available only for Growth Option.
9. Tagging of Folio to SMILE:  
Investor needs to mention the Folio Number and Scheme in case of multiple schemes in a folio in the application form which will be tagged for SMILE facility from which selected contribution will be made to HYNGO Foundation. Investor can give request for tagging or un-tagging of the folio chosen for SMILE.
10. NGO Selections for SMILE Contribution
  1. HelpYourNGO Foundation
  2. Sree Guruvayurappan Bhajan Samaj Trust - Unnati
  3. CanSupport
  4. Vidya Poshak
  5. Fight Hunger Foundation
  6. Parinaam Foundation
  7. Make-A-Wish Foundation of India

For more details on the NGO's and SMILE facility kindly visit [www.QuantumMF.com/FAQ.aspx](http://www.QuantumMF.com/FAQ.aspx) and select SMILE facility in the drop down.
11. Contribution to NGOs:  
(i) 5% or 10% of the contribution from the holding of the investor under the folio tagged for SMILE at the end of each year ended September 30, by way of automatic redemption of units subject to minimum contribution amount of Rs.100/-.  
Example for calculation :  
(a) Holding as on September 30, 2017 is Rs. 5,000 and the investor has selected an option of 5% of the Value.  
(b)  $5\% \text{ of Rs } 5,000 + \text{load (if any)} = \text{Rs. } 250$  (will be deducted as partial redemption done automatically)  
1. Investor to select from a list of 7 NGOs at the time of initial request for opting the SMILE facility.  
2. Minimum 1 and Maximum 2 NGO can be selected.  
3. If no NGO is/are selected then the default NGO will be the HYNGO Foundation. In this case the investor has the option of selecting sector(s) (maximum 2) to which investor would like to contribute. HYNGO Foundation will then remit the contributed amount to the NGO(s) selected by HYNGO Foundation in the selected sector.  
4. Investors to prescribe a percentage (%) of contribution to be distributed to each NGO in the form.  
5. Investors can change their NGOs and % of contribution any time. However, the amount will be distributed according to the latest request registered as on September 30.  
(ii) Investor Advised — Investor can contribute minimum amount of Rs. 500/- by way of redemption anytime from folio tagged with SMILE to NGOs. The details of NGOs available for donation are uploaded on the HYNGO Foundation website [www.helpyourngo.com](http://www.helpyourngo.com).  
Payout Process:  
The amount contributed by investors will be transferred based on the investor's authority to the HelpYourNGO (HYNGO) Foundation's bank account within 10 Business Days from the end of the every year ended September 30 / redemption date selected by investor in case of Investor Advised. The HYNGO Foundation in turn will contribute the amounts to respective NGO(s). The Contribution 5% or 10% or Investor Advised will be done by way of redemption of units subject to exit load. The long term / short term capital gain tax as may be applicable depend on the nature of scheme and holding period of units.
12. Transfer of Funds / Contribution to HYNGO Foundation, 80G Certificate and Reports:  
Quantum AMC will transfer redemption amount based on the investor's authority to HYNGO Foundation, which in turn will issue 80G Certificate to the investor for the amount remitted. HYNGO Foundation will then transfer 95% of funds to respective NGOs based on contribution option decided by the investors and will retain 5% of the funds as administrative and due diligence cost. HYNGO will procure a confirmation from the NGO that the donation amount has been received. HYNGO Foundation will update Reports on amount contributed through SMILE on HYNGO Foundation website [www.helpyourngo.com](http://www.helpyourngo.com).
13. What is the Investor Advised option? Who can avail of this option?  
The Investor Advised option allows an investor to:  
1. Contribute to any NGO of listed with HelpYourNGO on their website (<https://www.helpyourngo.com>), not just the NGOs mentioned in the list of NGOs mentioned above.  
2. Redeem his/her units and contribute any amount, not necessarily 5% or 10% of his/her holdings. The minimum contribution should be Rs 500/-  
3. Currently investors can opt for Investor Advised option through offline option by submitting the SMILE facility form.  
This option can be availed by all Resident Indians and NRIs — whether they are citizens of India or not.
14. Non Individual Investors (except HUF) required to submit certificate from chartered accountant. Either in the format prescribed in Annexure A or Annexure B as may be applicable.

**FATCA and CRS Details**

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information of your account with the relevant tax authority(ies). If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or Resident or Green Card Holder or Tax Resident of a country other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/ Number. If there is any change in the information provided, kindly intimate the same to us within 30 days.



# COMMON APPLICATION FORM (Continuous Offer of units at Applicable NAV)

7<sup>th</sup> Floor, Hoechst House, Nariman Point, Mumbai - 400 021.  
www.QuantumMF.com

Application No: **QMFP**

India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

## 1 INTERMEDIARY INFORMATION

Name & ARN Code	Sub-Broker Code	EUN	RIA Code	E- Code / RM code

I/We hereby confirm that the EUN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (\*) are mandatory.

## 2 EXISTING UNIT HOLDER INFORMATION (Please note that Applicant details & mode of holding will be as per existing Folio Number) (Refer Instruction No. 3)

Folio No.  Name of First Applicant

## 3 \* PAN/PEKRN (Refer Instruction no. 4A) please attach certified PAN copy CKYC Details (KIN Number )

1st Applicant /Minor		
2nd Applicant		
3rd Applicant		
Guardian		
POA Holder		

## 4 \* APPLICANT INFORMATION (Refer Instruction No. 4) (TO BE FILLED IN BLOCK LETTERS)

**Mode of Holding** ☐ Single ☐ Joint ☐ Any one or survivor(s) (Default option in case of more than one applicant)

**Name of Sole/ 1st Applicant** ☐ Mr. ☐ Ms. ☐ M/s. ☐ Others  Please Specify

**Date of Birth/ Date of Incorporation**  D  D  M  M  Y  Y  Y  Y **Proof of Date of Birth (In case of Minor)** ☐ Birth Certificate ☐ School Leaving Certificate ☐ Passport ☐ Others  Please Specify

**Guardian / Authorised Person** - (in case of Minor)/Authorised Person (in case of non individual applicant)

**Relationship with Minor** ☐ Father ☐ Mother ☐ Others  Please Specify **Relationship Proof (With specified Guardian)** ☐ Birth Certificate ☐ Passport ☐ Others  Please Specify

If the sole / first applicant is differently abled, then please tick the preferred mode of communication: ☐ Email & SMS ☐ Voice ☐ Both

**Address:** Mailing Address of Sole/First Applicant (P.O. Box alone may not be sufficient) This address will be replaced with the address as per your KYC records on validation of your KYC data. Overseas Investor must provide Indian Address

City  State  Country  I N D I A Pin code

**Contact Details of Sole/ First Applicant** Mobile No.  Email ID

Tel No - STD Code  Res.  Off.  Fax

**Overseas Address** (mandatory for NRI/FII applicant). Applications from investors residing in USA or Canada shall not be accepted Address for correspondence (for NRI applicants) ☐ Indian ☐ Overseas

City  Country  Zip code

Note: The address provided by you above will be replicated with the address as per KYC record

**Name of 2nd Applicant** ☐ Mr. ☐ Ms. ☐ M/s.

Date of Birth  D  D  M  M  Y  Y  Y  Y Mobile No.  Email ID

**Name of 3rd Applicant** ☐ Mr. ☐ Ms. ☐ M/s.

Date of Birth  D  D  M  M  Y  Y  Y  Y Mobile No.  Email ID

## 5 \* KNOW YOUR CUSTOMER (KYC) DETAILS (Mandatory. Please Tick/ Specify. The application is liable to get rejected if details not filled.)

Status details for	1 <sup>st</sup> Applicant	2 <sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant	Guardian	Occupation details for	1 <sup>st</sup> Applicant	2 <sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant	Guardian
Resident Individual	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Private Sector	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
NRI/PIO/OCI	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Public Sector	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sole Proprietorship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Government Service	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Minor through Guardian	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Business	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non Individual	<input type="checkbox"/> Company / Body <input type="checkbox"/> Trust <input type="checkbox"/> Bank	<input type="checkbox"/> Corporate <input type="checkbox"/> Society <input type="checkbox"/> AOP	<input type="checkbox"/> Partnership <input type="checkbox"/> HUF <input type="checkbox"/> FI / FII / FPI	<input type="checkbox"/>	Professional	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others ( Please Specify)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	Agriculturist	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Retired	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Housewife	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Student	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
					Other (Please specify)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Gross Annual Income Range (in Rs.)	1 <sup>st</sup> Applicant	2 <sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant	Guardian	Politically Exposed Person (PEP) details	Is a PEP	Related to PEP	Not Applicable
Below 1 lac	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1 <sup>st</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1-5 lac	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	2 <sup>nd</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5-10 lac	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3 <sup>rd</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10-25 lac	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Guardian	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25 lac-1 cr	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Authorised Signatories	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1-5 cr	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Promoters	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5-10 cr	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
> 10 cr	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Karta	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
OR Network in Rs. (Mandatory for Non Individual) (not older than 1 year)	<input type="text"/> as on <input type="text"/>	<input type="text"/> as on <input type="text"/>	<input type="text"/> as on <input type="text"/>	<input type="text"/> as on <input type="text"/>	Whole-time Directors / Trustee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Quantum Mutual Fund - 7<sup>th</sup> Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumMF.com

Application No: **QMFP**

Please scan this code, and fill in your details. Our representative will get in touch with you.



Date  D  D  M  M  Y  Y  Y  Y Received from: Mr. / Ms. / M/s

an application for allotment Scheme

vide Cheque No./ RTGS / NEFT / IMPS Reference No.

Amount (₹)

Drawn on Bank and Branch

Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)

Collection Center's Stamp  
&  
Receipt Date and Time

6

POWER OF ATTORNEY (POA) (Refer Instruction Nos. 2(i) & 7)

POA Name Mr./Ms.

Address

City

Pin code

If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

7

\* BANK ACCOUNT DETAILS (Refer Instruction No. 10)

A/c Type [please tick] ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Bank Name

IFSC

MICR Code

Account No

Branch

City

Pin code

Preferred mode of payment Electronic Credit. RTGS IFSC/NEFT code will help us transfer the amount to your bank account quicker, electronically.

\* Mandatory—Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank Statement with current entries not older than 3 months or a Certified Bank Passbook with current entries not older than 3 months or a Bank Letter/Certificate duly signed by Bank Branch Manager/Authorized Personnel.

8

\* INVESTMENT DETAILS (Please tick a) Choice of Scheme/Option/Facility (Refer Instruction No. 1)

Scheme

Plan ☐ Direct ☐ Regular

Option

Dividend Transfer to Scheme (Available only if invested scheme has Monthly Dividend Payout Option)

9

\* PAYMENT DETAILS (Refer Instruction No. 11)

Mode of Payment ☐ Cheque ☐ RTGS/NEFT ☐ IMPS ☐ Transfer Letter / Direct Credit (DC) ☐ DD

Cheque No. / RTGS/NEFT/IMPS/DC / DD Ref. No.

Date

Gross Amt (₹)

DD Charges (₹)

Net Amt (₹)

Bank/Branch & City

Account Type ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

10

\* FATCA and CRS Details for Individuals (Including Sole Proprietor) (Mandatory)

The below information is required for all applicants/guardian

Category	1 <sup>st</sup> Applicant / Guardian	2 <sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant
Place/City of Birth			
Country of Birth			
Country of Citizenship / Nationality			

Is your Tax Residency / Country of Birth / Citizenship / Nationality other than India? ☐ Yes ☐ No (Please tick a)

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below. In case of POA, the POA holder should mandatorily fill Annexure I for complete details.

Category	1 <sup>st</sup> Applicant / Guardian	2 <sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant
Country of Citizenship / Nationality			
Tax Payer Reference ID No. 1			
Country of Tax Residency 2			
Tax Payer Reference ID No. 2			

11

\* NOMINATION DETAILS (Refer instruction no. 12)

☐ I do not wish to Nominate

	1 <sup>st</sup> Nominee	2 <sup>nd</sup> Nominee	3 <sup>rd</sup> Nominee
Name (as in PAN card/KYC records)			
PAN			
Date of Birth			
Relationship with Investor			
Address			
Guardian Name (in case Nominee is a Minor)			
Allocation % (Total to be 100%)			

12

DEMAT ACCOUNT DETAILS (Please tick a)(Please refer Instruction no. 13)

☐ NSDL ☐ CDSL (Switch not allowed. Redemption Stock Exchange Platforms / Depository Participants only)

I would like to be allotted units in DEMAT mode. ☐ Yes ☐ No (Please tick a) (Non - ticking of this box would result in allotment of units in physical form).

Please ensure that the name of the investor in the application form matches with the account held with the depository participant.

NSDL ☐ I ☐ N ☐ BENEFICIARY Account No. (NSDL Only)

CDSL ☐

Enclose for Demat Option: ☐ Client Master List ☐ Transaction / Holding Statement ☐ DIS Copy

13

SMILE Facility (Please refer Instruction no. 15)

Opt for SMILE Facility ☐ Yes ☐ No Contribution to SMILE Facility : (For Indian Citizens Only\*) ☐ 5% OR ☐ 10% OR ☐ Investor Advised Contribution to SMILE Facility : ☐ Investor Advised (For Other Citizens\*)

	NGO Name	Distribution Share to each NGO (%)
NGO1 Name		
NGO2 Name		
TOTAL		100%

\*Note : Resident / Non Resident Indian holding foreign citizenship can opt for SMILE Facility on any date through Investor Advised Option. However, contribution to NGOs can be done either on the investors getting their Indian Citizenship or on receipt of FCRA approval by HYNGO Foundation which is currently in the process for approval with the Ministry of Home Affairs.

14

SOURCE OF INFORMATION

How did you come to know about Quantum Mutual Fund? ☐ Advertisement ☐ Friend/Relative ☐ Sales Team ☐ IFA / Intermediary Name & ARN Code of Intermediary Others

15

PHYSICAL COPY OF ANNUAL REPORT

☐ Opt In to receive the physical copy of Annual Report

TO COMPLETE THE FORM, PLEASE SIGN IN THE APPROPRIATE BOX AT THE BOTTOM OF THE FOLLOWING PAGE.

Contact Us

WEBSITE : [www.QuantumMF.com](http://www.QuantumMF.com)

EMAIL : [CustomerCare@QuantumAMC.com](mailto:CustomerCare@QuantumAMC.com)

TOLL FREE HELPLINE : 1800 22 3863 / 1800 209 3863

Missed Call Facility : 022-61073807

SMS : <Quantum> to 9243 22 3863

CHECK LIST: Please ensure the following:

Application form is complete in all respects and signed by all Applicants.

Enclosures:

☐ Supporting documents for bank account details furnished in the Form.

☐ For payment by Demand Draft - a certificate from the banker in the prescribed format confirming the account from which the funds have been remitted.

☐ For Third Party payment - Third Party Declaration' in the prescribed format along with the KYC acknowledgement issued by KRA for the Payer.

☐ Proof of KYC for all applicants, guardians for minors and POA

☐ Non Individuals: UBO Declaration (if applicable) & FATCA form

Enclosures (if applicable)

☐ Proof of relationship with minor

☐ Proof of identity & address

☐ Proof of DOB

☐ Multiple bank registration form

☐ Client Master list/DP statement

☐ SIP Form

**Investor Awareness:** Please ✓ to acknowledge that you have been explained the following aspects of investing by Quantum Mutual Fund and/ or its representative(s) / intermediary(s) and hereby confirm having understood the same before investing with Quantum Mutual Fund.

Name of the Invested Scheme(s): \_\_\_\_\_

- ☐ I/We have asked, and have been explained and understood to my/our satisfaction all the features of the scheme(s) from the scheme related Documents (KIM/SID/SAI) that I/We have chosen to invest in and have understood all the Terms and Conditions of the scheme(s) and addenda of Quantum Mutual Fund.
- ☐ I/We confirm that I/We have reviewed and understood the Expense Ratio, Tax Implication, Cut-off time for subscription / redemption / Switch, Turnaround time for processing of transactions, Exit Load which will be calculated on First in First Out (FIFO) basis.
- ☐ I/We am/are also aware that investing in Mutual Fund schemes come with an inherent risk which I/We have also understood from the product label and Riskometer of the Scheme(s). I/We have not been paid any incentive or have not been promised any assured returns while investing in this scheme(s).
- ☐ I/We am/are aware of my own risk appetite, my/our time horizon for investment, my/our objective for investment and the investment objective, performance of the Scheme(s) and performance of the Benchmark of the scheme(s) and it is appropriate for me/ us to undertake investment in the scheme(s). I/We confirm that the scheme(s) in which I/we are investing is appropriate for me/ us keeping in mind the investment objective and risk of the scheme(s).
- ☐ I/We am/are also aware of the Charter of Investor Rights, Privacy Policy, Grievance Redressal and Dispute Resolution Policy and procedure at Quantum Mutual Fund and am/are aware of whom to contact in case of any discrepancies.
- ☐ I/We hereby declare that I/We have understood the nature of questions in the Application Form and the importance of disclosing all the material information required. I/We declare the facts disclosed in the application and the acknowledgement forms are true and correct to the best of my/our knowledge.
- ☐ I/We hereby authorize you to verify / confirm details and documents submitted by me / us independently from my Banker and / or any source and / or through the independent third party appointed by you. In case, if any of the information / documents provided is found to be incorrect, you have the right to reject my application.

#### DECLARATION:

I/We have read and understood the terms & contents of the Scheme Information Document(s) and Key Information Memorandum(s) of the respective scheme(s) and Statement of Additional Information and Addenda thereto and I/ we have taken the decisions of investing based on my/ our investment objectives and risk appetite. I/We hereby apply to the Trustee of Quantum Mutual Fund for allotment of units of the scheme(s) as indicated above. I/ we am/ are authorized to invest the amount which is derived through legitimate sources and legally belong to me/us and not of any third party and is not held or designed for the purpose of contravention of any acts, rules, regulations, constitutional documents. I/ We have not received nor have been induced by any rebate or gifts, directly or indirectly for making this investment(s). I/ We authorise the AMC to source / disclose data / documents / information including specimen signature from third parties / intermediaries / KRA for verification / validation of my/our transactions. I/ We authorize the AMC to verify and validate my/ our registered bank accounts through its services provider including verification and validation by way of crediting a token amount to the registered bank account(s). I/We authorize the AMC to disclose, remit, share above information in any form or manner to its agents, service providers, SEBI registered intermediaries, Indian or foreign statutory / regulatory authorities. I/We authorize the AMC to refund the excess amount of investment in the scheme to bring my/ our investment below 25% of corpus of the Scheme. I/We agree to receive the dividend payout/ redemption amount to the bank details mentioned in the application form and / or to the bank account linked to AADHAAR. I/ We am / are not prohibited from accessing capital markets under any order/ ruling / judgments etc. of any Regulations including those of SEBI. I/ We hereby confirm that, I/ we have not been offered / communicated any indicative portfolio and / or any indicative yield for this investment. The ARN Holder has disclosed to me/ us all the commissions (in the form of trail commission or any other mode), payable to him for different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/ We acknowledge that the AMC / Trustees have the right to reject my / our application in accordance with the provisions of the offer documents. I/ We shall be liable and responsible for any loss, claim suffered, directly or indirectly by the AMC / Fund / RTA arising out of any false, misleading, inaccurate, incomplete information furnished by me / us at the time of investing / redeeming the units and indemnify and at all time keep indemnified, save and harmless the AMC / Fund / Trustee and their officers, directors, employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered / paid by the AMC / Fund / Trustees.

**Applicable to NRI only:** I/ We hereby confirm that I / we are Non Resident Indian(s) but not a person(s) residing in Canada or United State of America or not compliant FATF country or territory nor a “US Person” under US Securities Act, 1933 as amended from time to time and Candian persons and not residing in USA at the time of submitting the application. I/ We hereby confirm that funds for investments have been remitted from abroad through normal banking channels or from funds in my/ our Non Resident External/ Ordinary Account/ FCNR Account.

**Applicable to SMILE Facility:** I/We have read and understood the contents of the Statement of Additional Information / Scheme Information Document / Key Information Memorandum of the Scheme, addenda issued till date, terms and conditions of the SMILE Facility and abide by that. I/ We hereby authorize the Trustee of Quantum AMC / Quantum Mutual Fund for redemption of units/amount as per the terms and conditions of SMILE Facility and transfer / donate such redemption amount to the HYNGO Foundation Bank Account who, in turn, will transfer / donate such redemption amount to respective Non- Governmental Organizations (NGOs) as selected in the form. Quantum AMC / Quantum Mutual Fund shall not be held responsible or liable in any manner whatsoever for making such donations on my/our behalf to HYNGO Foundation and then in turn by HYNGO Foundation to the respective NGOs.

Applicable for Non – Individual Investors:

We are the entity formed and registered in India and does not receive foreign contribution / we are the entity formed and registered in India, receiving foreign contribution but the investments in the SMILE Facility is using Local Funds and not from the organization's FCRA Bank Account.

Signature(s)

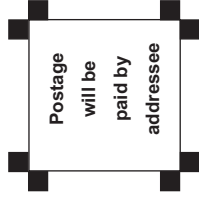
Date

Place \_\_\_\_\_

Sole/1st Applicant/Guardian / Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory	POA Signatory
--	--------------------------------------	--------------------------------------	---------------

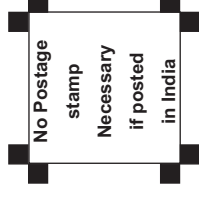


1<sup>st</sup> fold  
↑



**BUSINESS REPLY ENVELOPE**

**B.R. PERMIT NO. MBI - S - 1535**  
**Nariman point post office mumbai - 400021**



3<sup>rd</sup> fold  
U

4<sup>th</sup> fold  
C

To,  
**Quantum Asset Management Company Pvt Ltd.**  
7<sup>th</sup> Floor, Hoechst House,  
Nariman Point, Mumbai - 400 021

2<sup>nd</sup> fold  
↓

**Instructions :**

- Please use this page to make a business reply envelope for enclosing forms & / or cheque that needs to be sent to Quantum Asset Management Company Pvt Ltd.
- Please fold the envelope along the lines as per the numbering mentioned.
- Please insert the documents before the 4th numbered fold and post insertion of documents, the 4th fold needs to be done.
- Envelope to be properly sealed and dropped in any of your nearest post box.
- The stamp charges will be borne by Quantum Asset Management Company Pvt Ltd.

The terms and conditions (hereinafter referred to as **"Terms and Conditions"**) and any other information referred or linked to in these Terms and Conditions will apply to a person (hereinafter referred to as the **"User"** which expression shall, where context so requires, include more than one User) or who may execute any transaction for the purchase, sale and switching of units or for change in personal profile data (hereinafter referred to as **"Transaction(s)"**) of any scheme offered by Quantum AMC (hereinafter referred to as **"Units"**) irrespective of the commercial value via any service offered by Quantum AMC either through its website, who may access the website ('www.QuantumMF.Com/www.QuantumAMC.com' hereinafter referred to as **"Website"**) call centers, mobile phones, fax or any other electronic or other medium (hereinafter referred to as a **"Facility"**). A Facility shall be capable of transmitting or communicating any communication or information using devices having access to such media with the use of personal identification number (hereinafter referred to as **"PIN"**) or telephone personal identification number (hereinafter referred to as **"TPIN"**) or any other secure information or method provided by Quantum AMC or Karvy Computershare Private Limited, its registrar (hereinafter referred to as **"Registrar"**) or by any other entity as authorized by Quantum AMC, to the Users generated by or at any medium as aforesaid. A Facility may be provided by the Registrar and Quantum AMC, its affiliates and subsidiaries or by any outsourced service provider / partner / agent of Quantum AMC either individually, jointly or severally (hereinafter referred to as **"QUANTUM AMC"**). ANY USER ENTERING INTO ANY TRANSACTION BY USING A FACILITY IS REQUIRED TO READ THESE TERMS AND CONDITIONS AND WILL BE BOUND BY THESE TERMS AND CONDITIONS AND IS ADVISED NOT TO ENTER INTO ANY TRANSACTION IF HE HAS NOT READ OR UNDERSTOOD ANY OF THESE TERMS AND CONDITIONS. IF ANY TRANSACTION HAS BEEN ENTERED INTO BY ANY USER, THAT ACT OF ENTERING INTO THE TRANSACTION SHALL ITSELF EVIDENCE THAT THE USER HAS READ AND UNDERSTOOD THE TERMS AND CONDITIONS STATED

- ON THE WEBSITE;
  - IN THE SCHEME INFORMATION DOCUMENT ("SID") READ WITH ADDENDUM'S ISSUED FROM TIME TO TIME BY QUANTUM AMC;
  - IN THE STATEMENT OF ADDITIONAL INFORMATION ("SAI") READ WITH ADDENDUM'S ISSUED FROM TIME TO TIME BY QUANTUM AMC
  - IN THE KEY INFORMATION MEMORANDUM ("KIM") READ WITH ADDENDUM'S ISSUED FROM TIME TO TIME BY QUANTUM AMC
  - IN ANY SUCH MARKETING DOCUMENTS ISSUED FROM TIME TO TIME BY QUANTUM AMC
- AND THAT SUCH TERMS AND CONDITIONS WILL APPLY TO EVERY SUCH USE OR ACCESS TO A FACILITY. ANY USER WHO DOES NOT WISH TO ACCEPT ANY OF THE AFORESAID TERMS AND CONDITIONS HE MAY NOT USE ANY FACILITY PROVIDED BY QUANTUM AMC.

If the User is availing any Facility, then, the Terms and Conditions shall govern all dealings between the User and Quantum AMC with respect to the Transactions made by the User using such Facility as also any other Facility. If the User has already registered with Quantum AMC for utilizing a Facility then the Terms and Conditions shall be in addition to and not in derogation of the existing terms and conditions governing the use of such Facility.

#### PRE-REQUISITES

**The User acknowledges that he/she shall provide Permanent Account Number ("PAN")/Know Your Customer Compliance Letter ("KYC Letter")/Bank Account Details / Email ID / Mobile No. such other personal details like date of birth, specimen signature, mother's maiden name etc. and such other documents/supporting required for verifying the identity and the address of the User as well as required by the laws/statutes/regulatory requirements from time to time to allow a Facility to the User. The User understands that a Facility can be used only after receipt of necessary documents and to the satisfaction of Quantum AMC.**

**The user further agrees & understands that such documents/information including his specimen signature may be obtained by Quantum AMC directly from the user or from any other source to whom such documents have been submitted by the user (for any other purpose); such source may include (in addition to any other source) any R & T agent, any other Mutual Fund, Depository Participant, KRA (KYC Registration Agency), Bank(s) etc. The User authorises Quantum AMC to rely on such documents/information including his specimen signature obtained from such third party source without counter checking/validating the same with the user and the user shall be deemed to have acknowledged the validity/accuracy of the same. While the specimen signature or such other identity proof would be used by Quantum AMC while handling a transaction request from the user other than through the facility, Quantum AMC can ask for additional Documents/Information including his specimen signature validated/verified by a third source like his Default Bank, etc.**

#### REFERENCE

The User acknowledges that he/she has reviewed/studied the SID / KIM of the scheme(s), the SAI and the addendum, if any to the SID / KIM and SAI carefully and in its entirety and shall not construe the contents thereof or regard the summaries contained therein or information contained in a Facility as advice relating to legal, taxation, or financial/investment matters and is advised to consult his/her own professional advisor(s) as to the legal or any other requirements or restrictions relating to the subscription, acquisition, holding, disposal (sale, transfer, switch or redemption or conversion into money) of Units and to the treatment of income (if any), capitalization, capital gains, any distribution, and other tax consequences relevant to the subscription, acquisition, holding, capitalization, disposal (sale, transfer, switch or redemption or conversion into money) of Units within his/her jurisdiction / nationality, residence, domicile etc. or under the laws of any jurisdiction which is applicable to such User, and (also) to determine possible legal, tax, financial or other consequences of subscribing to, purchasing or holding, selling, redeeming or switching any Units before making an application for Units of Quantum AMC's fund

#### PERMISSIONS

Quantum AMC permits the User to access, use and interact with a Facility subject to the Terms and Conditions contained herein.

#### ESTABLISHING A FACILITY

Quantum AMC covenants to exercise reasonable care in establishing a Facility and make reasonable endeavours to make the Facilities available to the User at all times.

#### ASSOCIATED BANK ACCOUNT

The User can mention upto 5 bank names, address of the branch and Bank A/C numbers in the application form for facilitating payments to the User. The User agrees that the default Bank A/C or any of such 5 Bank A/C's for payment of redemption/dividend, etc. would be the Bank A/C from where the User is making payment for subscribing to the units of the fund for the purposes of investment.

The User may maintain at all times, one or more (upto 5) associated bank account and shall provide details thereof with Quantum AMC along with instructions to the respective banks to make payments to Quantum AMC on execution of a Transaction for purchasing any Units. The User undertakes to comply with the procedure defined by Quantum AMC for submission of such documents (including screenshot of online Bank A/c statement, scanned copy or cancelled original cheque leaf/copy of Bank A/C statement, etc.) to make/receive payment through the associated bank accounts. In case the units are held under Demat Form then the Bank account details should match with that in the Demat account.

#### EXCLUSIONS AND LIMITATIONS

- Quantum AMC does not represent or warrant that:-
  - access to a Facility by the User; or any part of it will be uninterrupted, reliable or fault free;
  - a Facility or any of its contents will be accurate, complete or reliable;
  - any services (whether or not provided by Quantum AMC) will be provided with due care and skill; and
  - it acknowledges receipt of any Transactions or verifies such Transactions.
- Quantum AMC shall not be liable for:-
  - any technical, factual, textual or typographical inaccuracies, errors or omissions on or relating a Facility or any information on a Facility;
  - the unavailability of a Facility (or any part of it), products or services;
  - any delay in providing, or failure to provide or make available, products or services or any negligent provision of products or services;
  - any misrepresentation on or relating to a Facility, services (other than a fraudulent misrepresentation made by Quantum AMC or on their behalf).
  - the non-execution of any Transaction where Quantum AMC has a reason to believe that the Transactions are not genuine or unclear or doubtful or otherwise cannot be put into effect.
- The User agrees and acknowledges that he/she will take full responsibility for any Transactions executed using a Facility, abide by the records of Transactions maintained by Quantum AMC and that such records shall be conclusive proof of such Transaction and shall be binding on the User and may be used as evidence in any proceedings.
- The User agrees that each of these exclusions and limitations are reasonable having regard to the nature of a Facility.
- None of the above exclusions and limitations shall affect any statutory rights which are not capable of being excluded. However, in such case the obligation of Quantum AMC, where permitted by law, will be limited to the re-supply of a Facility, products or services to the User.
- Each of the above exclusions and limitations shall be construed as a separate and severable provision of these Terms and Conditions

#### ACCESS

Quantum AMC reserves the right in its sole discretion to deny the User, access to any Facility or any part of a Facility without notice and to decline to provide the products or services to the Users who are in breach of these Terms and Conditions of use.

#### OPERATION OF USER ACCOUNT

- If the mode of holding of Users account with Quantum AMC is 'Single': a Facility will be available only to such sole User.
- If the mode of holding is 'Joint', Quantum AMC shall send/make available the PIN/TPIN/secure information for executing Transactions to the first User under the folio. If the mode of holding is 'Anyone' or 'Survivor', Quantum AMC shall send/make available the PIN / TPIN / Secure information for executing Transactions to the first User under the folio. The PIN / TPIN / secure information allotted to the first User will be sufficient for executing Transactions.
- The User of any such folios agrees and confirms that Quantum AMC shall not be in a position to verify the User of a Facility and thereby not be responsible or liable for any Transactions arising out of misuse of the PIN / TPIN / secure information by any of the joint User(s), survivor or any other person.
- If the User is a minor, his/her parents / legal guardians shall be eligible to avail of a Facility till such User attains majority. In such case, the personal details of the parents / legal guardians shall be submitted to Quantum AMC/Registrar. The parents / legal guardians hereby agree not to use a Facility on behalf of the User once he/she attains majority.
- Not more than three joint holders will be permitted.
- The Facility is not offered to those categories that are other than individuals and to those willing to transact electronically either through a Power of Attorney in their favour or through online arrangement with any broker or intermediary or by use of any third party website.

In a case, where, the mode of holding is 'Anyone' or 'Survivor' or 'Joint', any use shall be deemed to have been carried out jointly by all the joint Users. The joint Users agree and confirm that the every User has the full and absolute authority to act for and on behalf of all and each of the other joint Users in all matters relating to a Facility. Liability of all the joint Users shall be joint and several and shall be binding on each of the joint Users, their respective legal heirs, successors, executors and permitted assigns. The joint User(s) shall be deemed to have confirmed and ratified all the acts done by any other joint User(s) in relation to a Facility.

#### SUBSCRIPTION AND CHARGES

The subscription for a Facility is offered by Quantum AMC to the User at no cost. However, Quantum AMC reserves the right to levy and/or review such charges, fees, interests and costs wherever applicable at regular intervals and inform the User of the date from which such charges will be applicable, if any. The User may agree to pay such charges in order to continue using a Facility and / or Quantum AMC has the explicit authority from the Users to debit the Unit holding account of the Users to recover such charges. If the User fails at any time to pay the applicable subscription charges becoming due, Quantum AMC may, in its discretion and without prejudice to its other rights, deny such User who has not paid the applicable charges access to a Facility. Quantum AMC need not provide the Users with any advance notice in such circumstances.

#### LINKS TO OTHER SITES

Certain links, including hypertext links, in a Facility shall take the User outside the Facility. Links are provided for the Users convenience and inclusion of any link does not imply endorsement or approval by Quantum AMC of the linked site, its operator or its content. Quantum AMC is not responsible for the content of any third party which is outside a Facility.

## TERMINATION

Quantum AMC may terminate access to a Facility for any User if he/she is in breach of any of these Terms and Conditions and in particular upon any failure by such User to pay his/her subscription charges, if any, in accordance with these Terms and Conditions.

## SUSPENSION

Quantum AMC may temporarily suspend access to a Facility for the User if he/she fails to comply with the KYC norms, delay in making the payment for a Transaction, delay in receipt of documents/information etc from third party source, or fails to comply with any rules, regulations, procedures or formalities notified by SEBI or any other regulation authority with respect to a Transaction or commits breach of any of the Terms and Conditions.

## EVENT OF DEATH

In the event of death of the User (or any of them), all the Units in the name of such deceased User shall stand transferred to the nominee of such deceased User and if there are more than one nominees, then the Units shall be transferred in equal proportions to each nominee.

## TAXES

Quantum AMC has endeavored to make clear whether the prices for Units of a scheme and services available through a Facility include any relevant tax or duty or do not. Where in any case it is not clear, the User must take note that after he/she enters into any Transaction, he/she might be required to bear a liability to tax or duty imposed or which may be imposed in future by operation of law that is in addition to the price of the Units.

## PAYMENT MODES

Online transfer from the User's Bank Account or credit through RTGS/NEFT/IMPS from the Users Bank A/C is the only acceptable method of payment for executing any Transaction on any Facility. The payment must be made in full and should be derived through legitimate sources and legally belonging to the user and not of any third party. Quantum AMC may introduce such other payment modes or method of payment like Debit Card, Mobile Wallet, Virtual Currency, etc. As and when such payment modes are introduced such payment modes shall also be acceptable method of payment for executing any transaction.

## ISSUANCE OF UNITS

- According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002, Mutual Funds are required to follow enhanced Know Your Customer (KYC) norms. Accordingly, it will be mandatory for all categories of users to be KYC Compliant, irrespective of the amount of investment either through the central agency KYC Registration Agency ("KRA") or through the Aadhar UID. Users have to further ensure that the manner of name as verified in the application form matches with that on the PAN (as the same would be matched as per PMLA guidelines) Please refer to our website [www.QuantumMF.com](http://www.QuantumMF.com) and AMFI website [www.amfiindia.com](http://www.amfiindia.com) for the KYC Compliance application form and POS's of the Central Agency KYC Registration Agency ("KRA") where the completed forms and the relevant documents are to be submitted. Applications for subscriptions without a valid KYC Compliance for the relevant category of users may be rejected.

Provided further, where it is not possible to verify the KYC Compliance status of the user at the time of allotment of units, Quantum AMC shall verify the KYC Compliance status of the user within a reasonable time after the allotment of units. In case during first time purchase the user's KYC verification/ Compliance is under process, subsequent purchase shall not be permitted till the user is KYC Compliant.

In the event of non compliance of KYC requirements, Quantum AMC reserves the right to freeze the folio of the user(s) for any kind of transactions or affect mandatory redemption of unit holdings of the users at the Applicable NAV, subject to payment of exit load, if any. Users, who have obtained MIN allotment letter by submitting the PAN copy, are deemed to be KYC Compliant. Users should note that on completion of KYC Compliance all details of the user in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the user to the KRA. Any change in this details like change of Name / Address /Status /Signature, etc. should be given by user directly to KRA (POS) in the prescribed Change request form quoting the KYC acknowledgement number / PAN number. Quantum AMC reserves the right to call for any additional information from the users/applicant/reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulations from time to time.

- Quantum AMC shall issue Units to a User only when the User makes payment for the amount of Transaction executed by such User. If, however, a payment has not been made Quantum AMC shall not issue any Units to the User and the Transaction will be recorded as cancelled.
- Users who have applied for allotment of units in their Demat Account will be issued units in demat form. Those users whose KYC status is invalid/not complete would be issued units in their Demat Accounts only. Users have to ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/ incorrect, not matching with the Depository data, then Units will be issued in Physical mode and Statement of Account will be issued to the Unit holder or the allotment would be rejected.

Investors have to ensure that the Bank account through which the investment is being done matches with the bank account details in the demat account, otherwise the application is liable to be rejected. In case of holding in demat account, the bank mandate, mode of holding, nomination details, contact details, etc, will be as per the details stated in the demat account and not as per the application.

In case the user desires to redeem, the dematerialized units have to be converted into physical form (represented by statement of account issued by Quantum AMC) by submitting an application for Re-materialization of units to the Depository Participant, in such case application for redemption would be considered on the date when physical redemption slip is provided duly signed to Quantum AMC. As an alternative, redemption request can be placed through Depository Participants & Exchanges specified intermediaries where NSE MFSS/ BSE STAR platform is available for trading of Mutual Fund Units.

## USER OBLIGATIONS

- The User of a Facility shall not:-
  - violate any applicable law or encourage or provide instructions to another to do so;
  - defame, abuse, harass, stalk, threaten, or otherwise violate the legal rights (such as rights of privacy and publicity) of others;
  - post any infringing, obscene, indecent, or unlawful material or information;
  - misrepresent his/her identity;
  - collect or store personal information about any other person;
  - send "spam" messages to any other person or Quantum AMC;

- upload files that contain viruses, corrupted files, or any other similar software or applications that may damage any other persons computer;
  - falsify the meta data of the file that is uploaded;
  - download any file posted by another User;
  - legally distribute any content from a Facility; or
  - exit any Facility without logging -off at any time when he/she is not using such Facility (Email ID & Mobile No.)
- The User acknowledges and agrees that
    - he/she is aware of all security risks including possible third party interception of his/her account and the content of his/her account becoming known to third parties;
    - understands that the use of any Email ID, Mobile, Facility is not a secure method of viewing, accepting and transmitting information and that it involves security hazards and the risk of any loss of information or obtaining of information or misuse by any third party of his/her account and Quantum AMC shall, in no way, be held responsible for the same and shall not be considered as a breach of its or its constituent company's User confidentiality provisions;
    - even though his/her Mobile No. is registered under the National Do Not Call Registry (NDNC), he/she explicitly permits Quantum AMC to send and he/she would like to receive various communications (for products or otherwise), updates, alerts, notifications & Quantum AMC shall in no way be held responsible for & the same shall not be considered as a breach of such TRAI Regulations/Guidelines;
    - he/she will not use any Facility on an electronic device which belongs to any other person or which is provided to the User by his/her employer, except with such person's or, as the case may be, his/her employer's previous permission. In the event the User does not comply with this provision, Quantum AMC shall not be held responsible for any harm or loss caused to any person/User. Quantum AMC shall not be held responsible if the electronic device via which the User utilizes a Facility contains software applications, due to which any conflict which may arise resulting into loss of information or any other damage;
    - he/she is solely responsible to ensure that the PIN/TPIN/secure information is kept confidential and not revealed/disclosed to any third party, including any person claiming to represent Quantum AMC and shall take all possible care to prevent discovery of the PIN/TPIN/secure information by any third person. The User shall immediately communicate to Quantum AMC in writing on becoming aware of any loss, misuse or wrongful disclosure to any third party of the /PIN /TPIN/secure information. Quantum AMC may in its absolute discretion, issue to the User, a new PIN/TPIN/secure information on similar terms and conditions or under such terms and conditions as Quantum AMC may deem fit;
    - he/she is aware of the fact that PIN/TPIN/secure information is generated randomly at the back-office of Quantum AMC or of the Registrar or such authorized entity and shall be changed by the User when accessing a Facility for the first time;
    - Quantum AMC may require him/her to define and maintain electronic (including digital) signatures from such service providers including authentication of the electronic transaction record by "click"/"I Accept"/"Submit" affirmation etc. as Quantum AMC may require to authenticate all electronic Transactions made through the website of Quantum AMC and he/she shall be responsible for keeping the said electronic signatures confidential and secure and that Quantum AMC shall not be held responsible for any misuse of such signatures and any loss or damage arising there from;
    - He/she shall be bound by all the provisions and notifications issued by SEBI Information Technology Act 2000 or any other regulatory body. He/she shall be bound by his 'signature' that Quantum AMC may seek from KRA as part of his additional documents/information for doing any transaction outside the usage of the facility. He/she further agrees that he/she will provide such verification/validation as Quantum AMC may desire.

In relation to the Transactions entered into by the User using a Facility and any additional right or obligation created therein.

He/she shall be bound by his declarations and authority provided to KRA while seeking compliance under the KYC procedure including disclosing/sharing/remitting his/her information to the Mutual Fund.

## REPRESENTATIONS AND WARRANTIES

User represents and warrants that:

- he/she is above 18 years of age and capable of executing the Transactions using the Facilities offered by Quantum AMC;
- the requisite power and authority and all necessary permits, authorizations, consents, and approvals to execute, deliver and perform obligations by every User and to consummate the Transactions contemplated herein and to be entered into by every User under or in connection with the purchase/sale/switching of Unit have been obtained and are in full force and effect without any modification or variation;
- his/her the performance does not: (i) conflict with or result in any material breach or violation of any of the Terms and Conditions of, or constitute (or with notice or lapse of time or both constitute) a default by a User of any of the Terms and Conditions of which it is a User or by which it is bound; (ii) violate any court order, judgment, injunction, award, decree or writ against, or binding upon any of the User or upon the securities, properties or business of any User; or violate any applicable Law or any other jurisdiction in which any User maintains a residence or business presence; and (iii) each of the User has read and understood the Terms and Conditions which constitute a valid and binding obligation on part of the User being enforceable against him/her in the event of any breach by any of him/her of any of the provisions stated herein.

## SMS AND EMAIL ALERTS

SMS alerts and email notifications of the Transactions executed by a User in addition to various communications, updates etc. may be provided by Quantum AMC to the User on providing information like the email address and the mobile number (even if the user has registered his/her mobile number under the National Do Not Call Registry) of the User. The User must inform Quantum AMC immediately about any change in the email address and/or mobile number. Quantum AMC shall not be held responsible for any damage or loss of information or breach of confidentiality in relation to providing such service to the User and the User hereby acknowledges that he/she will take full responsibility with regard to SMS alert and email notification services provided by Quantum AMC.

## COPYRIGHT

All rights in the design, text, graphics, process/procedure, communication and other material on any Facility and the selection or arrangement thereof are the copyrights of Quantum AMC or other third parties. Permission is granted to the User to copy and print (to the extent permitted) in hard copy portions of any Facility solely in connection with the Transactions through a Facility. Any other use of the content on the Facility (including reproduction for purposes other than those noted above and alteration, modification, distribution, or republication) without prior written permission of Quantum AMC is strictly prohibited.

The User hereby grants to Quantum AMC, a perpetual royalty-free, irrevocable licence to copy, issue copies, communicate to the public, make publicly available and include in a cable programme service (either in whole or in part or in a modified or edited form) any material the User up-loads or posts or communicate to a Facility (whether to a chat room, bulletin board or otherwise). The User acknowledges and agrees that such material is not up-loaded or posted subject to any obligation of confidence.

## ADVERTISEMENT

Quantum AMC may advertise and promote its products & services and those of third parties to investor(s)/subscriber(s) Email ID and Mobile Nos. Any dealings that the User may have with any advertisers and other third parties found on or through the Mobile No., Email ID, Website are solely between the User and the third party. Quantum AMC disclaims all liability in connection therewith.

## NO COMMERCIAL USE

The User agrees that he/she will use any Facility only for his/her personal use and that he/she shall not exploit such Facility or any of its contents for any commercial purpose.

## THIRD PARTY RIGHTS

The User acknowledges and agrees that the representations and warranties are intended to grant rights to, and operate for the benefit of, all the service providers to a Facility and that each such service provider may rely upon and enforce such representations and warranties against the User.

## VARIATIONS

Quantum AMC reserves the right at any time without notice to revise the content of any Facility (including the products and services offered by them) and these Terms and Conditions. Any changes to these Terms and Conditions will be posted / updated on such Facility / SID / SAI / KIM and notified by an email to User on best effort basis and by continuing to use a Facility following any such change, the User will signify that he/she has read and understood the changes and would be bound by the revised Terms and Conditions of use of a Facility for any Transactions.

## EVENTS BEYOND CONTROL

Quantum AMC shall not be liable to the User for any breach of these Terms and Conditions of use or any failure to provide or delay in providing the products or services through a Facility resulting from any event or circumstance beyond reasonable control of Quantum AMC

including, without limitation, strikes, lock-outs and other industrial disputes, breakdown of systems or network access, fire, explosion or accident.

## FACILITY ACCESS LOCATION

Quantum AMC operates the Facilities from its back office currently from Mumbai (and from such other location as feasible from time to time) in India, and makes no representation that a Facility used by the User is appropriate or available for use beyond India. If the User uses any Facility from outside India, he/she would be responsible for compliance with applicable local laws.

## UNENFORCEABILITY

The enforceability or otherwise of any of the Terms and Conditions shall not affect the enforceability of the rest of the Terms and Conditions

## NO TRANSACTIONS

No Transactions on any Facility shall be executed

- by the User who is below 18 years of age; or
- by any User acting through a Power of Attorney; or
- by arrangements with brokers/Intermediaries/third party websites.
- by the user who is residing in Canada or a United States person within the meaning of Regulation(s) under the United States Security Act of 1933, as amended from time to time or of any country not compliant under the FATF Agreements.

Quantum AMC reserves the right to reject and pay the investment amount or the NAV value less load (as the case may be) (unless specifically permitted) the Transactions executed by any of the persons or methods provided in this provision.

## DISCLAIMER OF CONSEQUENTIAL DAMAGES

Quantum AMC is not responsible or liable for any damages whatsoever (including, without limitations, incidental and consequential damages, lost profits, or damage to computer hardware or loss of data information or business interruption) resulting from the use or inability to use a Facility and its content, whether based on warranty, contract, tort, or any other legal theory, and whether or not, such organisations or entities were advised of the possibility of such damages.

## NO ENDORSEMENT

The User shall not publicly represent or imply that Quantum AMC is participating in, or has sponsored, approved, or endorsed the manner or purpose of the User's use or reproduction of the contents on a Facility. Quantum AMC reserves the right to prosecute, to the fullest extent of the law, any use of Quantum AMC's Facility contents in a manner that falsifies, misrepresents, disparages, or fraudulently uses the contents.

## INVESTIGATION

Quantum AMC may investigate any suspected breaches of its Facilities security or its information technology or other systems or networks, breaches of these Terms and Conditions and may involve with law enforcement authorities in investigating such matters, prosecuting the violators to the full extent of the law, and discontinue a Facility or terminate access to it for any User at any time, without notice, for any reason and without any obligation to the User whatsoever.

## LOG FILES

Quantum AMC uses IP addresses to administer a Facility and track User movements.

## COOKIES

Facility provided by Quantum AMC uses cookies / recordings / images etc. to retrieve User details for each visit which are used in some areas of such Facility. Some of our affiliate / service partners may also use cookies.

## CONFLICT

Where any conflict or contradiction appears between the Terms and Conditions of a Facility and any other relevant terms and conditions, policies or notices, the Terms and Conditions of such Facility shall prevail.

## CESSION

Quantum AMC shall be entitled to cede, assign and delegate all or any of its rights and obligations in terms of any relevant Terms and Conditions, policies and notices to any third party. No User shall cede, assign or delegate any of his/her rights or obligations under any Facility.

## CONFIDENTIALITY

Quantum AMC shall not copy or distribute any User's information maintained by him/her for any purpose other than providing access to the User for using any Facility or to communicate with the User or to identify the User. However, the User acknowledges that Quantum AMC is authorized to provide any information or details relating to the User or his/her account to any third person so far as is necessary to give effect to any instructions or to comply with any order of Court or of any competent / statutory authority or as is required under applicable law.

## APPLICABLE LAW AND JURISDICTION

These Terms and Conditions shall be governed by the laws of India. All or any dispute or differences arising between Quantum AMC and the User, to the extent possible, be settled amicably by prompt negotiation between his/her respective representatives. In the event of any failure to settle the dispute by mutual negotiations within 30 days of the commencement of the negotiations, the same shall be referred to arbitration in accordance with the provisions for the time being in force of the Arbitration and Conciliation Act, 1996 of India or any amendment or reenactment thereof.

Quantum AMC and the User to the dispute shall jointly appoint a sole arbitrator. If within 15 days from the date of reference of the dispute to the arbitration, they are unable to jointly appoint a sole arbitrator, then, in that event, the appointment of the arbitrator shall be made in accordance with the Arbitration and Conciliation Act, 1996 of India or any amendment or reenactment thereof. The arbitration proceedings shall be conducted in the city of Mumbai and the language of the arbitration shall be English.

## COMMENTS AND QUESTIONS

Quantum AMC may be contacted if the User has any questions, comments or concerns arising from any Facility or these Terms and Conditions.

## INDEMNITY

The User agrees to defend, indemnify, and hold harmless Quantum AMC, his/her officers, directors, employees and agents, from and against any claims, actions or demands, including without limitation legal and accounting fees, alleging or resulting from

- the use of a Facility; or
- the breach or non compliance of any of the Terms and Conditions of; or
- any illegal, unauthorized, fraudulent usage or misuse of User's PIN/TPIN/secure information; or
- all requests carrying the User's PIN/TPIN/secure information as evidenced by electronic records available at Quantum AMC; or
- the use of a Facility on an electronic device which belongs to any other person or which is provided to the User by his/her employer without such person's or, as the case may be, his/her employer's previous permission.

## WAIVER

No delay, neglect or forbearance on the part of Quantum AMC in enforcing against the User any term or condition of these Terms and Conditions shall either be or be deemed to be a waiver or in any way prejudice any right of Quantum AMC under these Terms and Conditions. No right, power or remedy in these Terms and Conditions conferred upon or reserved for Quantum AMC is exclusive of any other right, power or remedy available to Quantum AMC.

## RISKS

The User acknowledges that he/she is utilizing Facilities at his/her own risk. These risks would, among others, include the following:

- Misuse of PIN/TPIN/secure information: The User acknowledges that if any third person obtains access to his/her PIN/TPIN/secure information, such third person would be able to execute Transactions using a Facility.
- Internet/Electronic Frauds: Every electronic medium per se is susceptible to a number of frauds, misuse, hacking, other actions, which could affect the Transactions. There can be no guarantee/warranty by Quantum AMC to indemnify the User from such Electronic frauds like hacking, back door entry or any such unlawful acts. The User shall separately evaluate the risks arising out of Transactions executed using a Facility.
- The technology for enabling the availability of a Facility could be affected by virus or other malicious, destructive or corrupting code, programme or macro. Besides, Data/Documents/Information sourced from third party sources may be effected by poor image quality, virus, etc including tagging of wrong information to the user, or availability of old/ unupdated data of the user. This could result in non-execution of Transactions or delays in the processing the Transactions or failure in processing of Transactions and other such failures and inabilities. The User understands that Quantum AMC disclaims all and any liability, whether direct or indirect, arising out of any failure or inability by Quantum AMC to honour the User's instructions for executing a Transaction through a Facility or not. The User understands that Quantum AMC shall not be responsible for any of the aforesaid risks. The User also understands that Quantum AMC shall disclaim all liability in respect of such risks.
- Any disclosure of PIN/TPIN/secure information by Quantum AMC to any other person, for any reason whatsoever, including for the service of viewing a family portfolio, or disclosure to any person for conducting any transactions.

## TRANSACTION DISCREPANCY

In case of any discrepancy in the details of any Transaction executed in respect of the User Accounts, the User shall be obliged to intimate such discrepancy to Quantum AMC in writing within 10 (ten) days of receipt of the Statement of Account in respect of the his/her account, failing which, such Statement will deemed to be correct and accepted by the User.

## INVESTOR GRIEVANCE

Quantum AMC has established an Investor Grievance Cell for redressal of the User grievances in relation to the Transactions executed by the Users using any Facility. The User has an option either to write an email on CustomerCare@QuantumAMC.com or call on 1800-22-3863 / 1800-209-3863 (toll free) detailing the grievance so as to allow Quantum AMC to take appropriate action on the same.





# ONE TIME MANDATE FORM

7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumMF.com

UMRN

DDMMYYYY

Tick ☒

Create: ☐  
Modify: ☐  
Cancel: ☐

Sponsor Bank Code

(Office use only)

Utility Code

(Office use only)

I/We hereby authorize

QUANTUM MUTUAL FUND

to debit (Tick ☒)

SB/ CA/ CC/ SB-NRE / SB-NRO/ Other

From Bank A/C Number:

With (Name of Destination Bank with Branch)

IFSC Code:

MICR Code:

an amount of Rupees

(in words)

₹

FREQUENCY: ☒ Mthly ☒ Qtrly ☒ H- yrly ☒ Yrly ☒ As & when presented

DEBIT TYPE

☒ Fixed Amount

☒ Maximum Amount

Folio No.

Phone No.

Schemes

ALL SCHEMES OF QUANTUM MUTUAL FUND

Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

From DDMMYYYY

To DDMMYYYY

Or Until Cancelled

1 Signature of 1st Account Holder 2 Signature of 2nd Account Holder 3 Signature of 3rd Account Holder

Name as in bank records

Name as in bank records

Name as in bank records

\*This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account based on the instruction as agreed and signed by me.

\*I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.



## SYSTEMATIC INVESTMENT PLAN AUTO DEBIT MANDATE FORM

7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumMF.com

India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

Please fill this form in ENGLISH in BLACK/DARK COLOURED INK in CAPITAL LETTERS.

☐ New Registration

(New Investors to submit duly filled and signed Common Application Form)

☐ Change in Bank Account  
(for Existing Investor)

☐ Micro SIP

☐ Cancellation of SIP

### INTERMEDIARY INFORMATION

Name & ARN Code

Sub-Broker Code

EUIN

RIA Code

E- Code / RM code

### INVESTOR DETAILS

Folio/Application No.

PAN No.\*

Sole/First Investor Name:

### INVESTMENT DETAILS (Please ☒) Choice of Scheme/Option/Facility

Scheme

Option

Facility

### Frequency Details (Please ☒)

☐ Daily

☐ Weekly

☐ Fortnightly

☐ Monthly

☐ Quarterly

All Business Days

7th, 15th, 21st,  
28th of a week

☐ 5th, 21st  
OR  
☐ 7th & 25th

☐ 5th  
OR  
☐ 21st

OR

☐ 7th  
OR  
☐ 25th

OR

☐ 15th  
OR  
☐ 28th

No of Installments:

SIP Start Date

DDMMYYYY

SIP End Date

DDMMYYYY

Cheque No.

Amount Per Installment:

Amount (in words)

I/We hereby authorize Quantum Mutual Fund and their authorized service providers to debit my/our following bank account by ECS (Debit clearing/Auto Debit) for collection of SIP payments  
Note: Please allow 30 business days for Auto Debit to register and start. \*Only monthly and quarterly SIP frequencies are available for Quantum Liquid Fund.

Bank Name

Bank Account No.

I/We wish to inform you that I/We have registered with Quantum Mutual Fund through their Authorized Service Provider(s) and representative for my/our payment to Quantum Mutual Fund by debit to my/our above mentioned bank account. For this purpose I/We authorize their Service Provider(s) and the representative to raise debit on my/our above mentioned account with your branch. I/We hereby authorize you to honor all such requests received through their authorized Service Provider(s) and representative to debit my/our account with the amount requested, for due remittance of the proceeds to Quantum Mutual Fund. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We hereby declare that the particulars given above are correct and complete. If the transactions are delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Quantum Mutual Fund or their authorized Service Provider(s) and representative responsible. If the date of debit to my/our account happens to be a non-business day as per Mutual Fund or a Bank holiday, execution of the SIP will happen on the next working day and allotment of units will happen as per the Terms and Conditions listed in Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Mutual Fund. The above mentioned bank shall not be liable for, nor be in default by reason of any failure or delay in completion of this service, where such failure or delay is caused in whole or in part by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of government policies, unavailability of banks computer system, force majeure event or any other cause of peril which is beyond the above mentioned banks reasonable control and which has the effect of preventing the performance of this service by the above-mentioned bank. I/We shall not dispute or challenge any debit, raised under this mandate, on any ground whatsoever. I/We shall not have any claim against the bank in respect of the amount so debited pursuant to the mandate submitted by me/us. I/We shall keep the bank and authorized Service Provider(s) and representative jointly and or severally indemnified from time to time, against all claims, actions, suits, for any loss, damage, costs, charges and the expenses incurred by the bank and authorized Service Provider(s) and representative, by reason of their acting upon the instructions issued by the above named authorized signatories/ beneficiaries. This request for debit mandate is valid and may be revoked only through written letter withdrawing the mandate signed by the authorized signatories/beneficiaries and giving reasonable notice to such withdrawals. I/We hereby apply for the respective units of Quantum Mutual Fund Scheme(s) at NAV based the resale price an agree to abide by terms, conditions, rules and regulations of Scheme(s). I/we hereby authorize bank to debit my account for mandate verification charges, if any.

First Account Holders Signature  
(As per bank records)

Second Account Holders Signature  
(As per bank records)

Third Account Holders Signature  
(As per bank records)

## Declaration

I/We hereby declare that the particulars given on this mandate are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the utility/service provider/participating Banks/Mutual Fund responsible. I/We have read the T&C and agree to discharge the responsibility expected of me/us as a participant/s under the scheme. I/We authorize use of above mentioned contact details for the purpose of this specific mandate instruction processing. I/We hereby confirm adherence to terms on this mandate. I/we hereby authorize the fund to utilize this form for transactions through Email / SMS / Fax/ Phone or any other electronic means.

## Instructions to fill Mandate

All fields in the form are mandatory to be filled

1. Date in DD/MM/YYYY format.
2. Tick on box to select type of action to be initiated.
3. Tick on box to select type of account to be affected.
4. Customer's bank account number, left padded with zeroes. (Maximum length - 20 Alpha Numeric Characters)
5. Name of bank and branch.
6. IFSC / MICR code of customer bank. (Maximum length - 11 Alpha Numeric Characters)
7. Amount payable for service or maximum amount per transaction that needs to be processed, in words.
8. Amount in figures, similar to the amount mentioned in words as per point 7 above.
9. Tick on box to select frequency of transaction.
10. Validity of mandate with dates in DD/MM/YYYY format.
11. Names of customer/s and signatures as well as seal of company (where required).  
(Maximum length of Name - 40 Alpha Numeric Characters)
12. Undertaking by customer.
13. 10 digit mobile number of customer. Mail ID of customer.
14. You can Tick on 'Until Cancelled' incase you do not wish to mention the 'To Date'.

# SIP ECS/AUTO DEBIT MANDATE FORM

## TERMS & CONDITIONS

1. Complete Common Application form and SIP ECS Mandate Form along with the first cheque should be submitted to the AMC / Karvy Collection Centers.
  2. New investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for ECS (Debit Clearing). Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/ branch for which ECS is to be registered.
  3. First SIP cheque and subsequent SIP installments via ECS should be of the same amount.
  4. Employee Unique Identification Number (EUIIN) - Investor investing through Intermediary shall mandatorily mention the EUIIN on the application form, irrespective whether he/she has been advised by Sales person/ Employee/ Relationship manager of the Intermediary or not. However, in case of any exception cases, where there is no interaction by Sales person / Employee / Relationship manager of the intermediary with respect to the investment / transaction, the EUIIN box may be left blank. If left blank, AMC will seek the following declaration separately from the investor, "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." It is mandatory to obtain EUIIN for every Sales person / Employee / Relationship manager of the Intermediary for dealing (irrespective whether transactions are in the nature of execution or advisory) in mutual fund products.
  5. ECS instructions will take a minimum of 30 business days for registration with the bank and hence the first auto debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in Scheme Information Document (SID).
  6. The cities mentioned above may be modified/ updated/ changed/ removed at any time in future at the discretion of the AMC without assigning any reasons or prior notice. If any city, bank/branch is removed, SIP instructions for investors in such cities, bank/branch via ECS route will be discontinued without prior notice.
  7. The SIP Enrollment will be discontinued in cases where Two consecutive SIP installments are not honored or the bank account is closed and no request for change in bank account has been submitted.
  8. Request for cancellation should be submitted 15 business days prior to the next SIP date.
  9. Request for change in bank mandate to be submitted atleast 30 business days before the due date of next SIP installment.
  10. The bank account provided for ECS (Debit) should participate in local MICR Clearing.
  11. MICR code starting and / or ending with 000 are not valid for ECS
  12. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time.
  13. The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned Scheme Information Document (SID). The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused , in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system , force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
  14. Investors will not hold Quantum AMC, its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date or if 2-3 consecutive SIP installments are debited by your bank in a day due to the local holidays or any other reason.
  15. Quantum AMC reserves the right to reject any application without assigning any reason thereof.
  16. Please refer SID for minimum SIP investment amount under each schemes.
  17. Please refer the Key Information Memorandum (KIM) and SID of the respective scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective scheme before investing.
  18. If an investor does not mention SIP Frequency and/or SIP date in the application form or multiple SIP frequency/dates are mentioned in the SIP Mandate or the details are unclear in the SIP form, the default SIP Frequency shall be treated as monthly and the default SIP date will be considered as 5th. In case of fortnightly SIP frequency, default date will be considered as 5th and 21st of the month.  
If an investor does not mention SIP start date or the SIP start date is unclear in the SIP form, the SIP date will by default start from the next subsequent month after meeting the minimum registration requirement of 30 working days.  
If an investor does not mention SIP end date or the SIP end date is unclear in the SIP form, the tenure of SIP will be treated as perpetual (subject to bank's acceptance) till instruction to the contrary is received from the investor.  
In case the investor does not provide both the start date as well as end date, then the SIP installment would be the minimum number of installments of the respective scheme as mentioned in the respective Scheme Information Document (SID).  
"Perpetual SIP" will be the default option incase end date for enrolment period is not provided. In case an investor, who has opted for Perpetual SIP, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished.
  19. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
  20. To avail of SIP in separate schemes/options via Direct Debit/ECS facility, an investor will have to fill a separate form for each scheme/ options. A single form cannot be used for different schemes simultaneously.
  21. For modification/change in SIP amount, New SIP Auto debit mandate form with revised SIP amount alongwith letter to discontinue the existing SIP amount to be submitted 30 business days prior to the Installment date.
  22. If an investor gives a request for change in bank mandate of the same amount as that of the existing SIP amount in the same folio, the existing SIP will be discontinued and only the new SIP containing new bank details will be considered. But if an investor gives a request for change in bank mandate with different SIP amount both the new SIP as well as the existing SIP would continue.
  23. In case of investments in the name of a minor, no new transactions / standing instructions / SIP / STP / SWP or cancellation of such requests will be allowed by the guardian from the date of minor attaining majority till instruction from the major is received by the AMC/Mutual Fund along with the prescribed documents for change of account status from minor to major.
  24. The allotment of units in case of SIP in Quantum Liquid Fund will be based on realization and utilization of funds only.
  25. In case an investor gives full/partial redemption request in a folio where there is an on-going SIP then redemption will be processed only for the units towards which the amount has been realized.
  26. In case of weekly and fortnightly frequencies there are restrictions on the dates to be selected due to operational constraints
- Additional instructions for Micro Systematic Investment Plan (MSIP)**
1. Micro SIP (Systematic Investment Plan) upto ` 50,000 per year per investor shall be exempted from the requirement of PAN.
  2. In case of Micro SIP also KYC is mandatory w.e.f. 1st January, 2012.
  3. In lieu of PAN card copy Investor (including joint holders) to provide the self attested valid Photo ID Proof. Please refer Point 6 below.
  4. All Micro SIPs where aggregate of installments in a financial year i.e. April to March does not exceed ` 50,000.
  5. This exemption will be applicable only investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro SIPs. The exemption is applicable to joint holders also.
  6. While making additional/subsequent Micro SIP investment, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting documents again.
  7. Photo identification documents to be submitted in case of Micro SIP Voter Identity Card, Driving License, Government / Defense identification card, Passport, Photo Ration Card, Photo Debit Card (Credit card will not be accepted), Employee ID cards issued by companies registered with Registrar of Companies, Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, Senior Citizen / Freedom Fighter ID card issued by Government, Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL), Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.
  8. Proof of address copy. It is clarified that where photo identification documents contain the address of the investor, a separate proof of address is not required.

# SYSTEMATIC TRANSACTION FORM

(PDC SIP/STP/SWP)

India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

7<sup>th</sup> Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumMF.com

Please fill this form in **ENGLISH** in **BLACK/DARK COLOURED INK** in **CAPITAL LETTERS**.

1 INTERMEDIARY INFORMATION				
Name & ARN Code	Sub-Broker Code	EUIN	RIA Code	E- Code / RM code

Please refer instruction No. 4 for EUIN. Please read the instructions carefully, before filling up the application. Fields marked with (\*) are mandatory.

☐ New Registration ☐ Cancellation

2 NEW / EXISTING UNIT HOLDER INFORMATION	
Folio / Application No. <input type="text"/>	PAN No. <input type="text"/>
Name of the Sole/1st Applicant <input type="text"/>	

3 SCHEME DETAILS (Please ✓)	
Scheme	<input type="text"/>
Option	<input type="text"/>
Facility	<input type="text"/>

4 FREQUENCY DETAILS (Please ✓)					
<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly	
All Business Days	7th, 15th, 21st, 28th of a week	<input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th	<input type="radio"/> 5th OR <input type="radio"/> 21st	<input type="radio"/> 7th OR <input type="radio"/> 25th	<input type="radio"/> 15th OR <input type="radio"/> 28th

5 SYSTEMATIC INVESTMENT PLAN (SIP) DETAILS (Please ✓)		Post Dated Cheque (PDC's) <input type="checkbox"/>
Regular SIP <input type="checkbox"/>	Change in Bank Mandate for existing SIP <input type="checkbox"/>	Micro SIP (MSIP) <input type="checkbox"/>
<b>Enrollment Details</b>		
No of Installments: <input type="text"/>		
Amount Per Installment: <input type="text"/>	Amount (in words) <input type="text"/>	
<b>1st Installment Cheque Details</b>		
Cheque/DD <input type="text"/>	Date <input type="text"/>	Amount (Rs.) <input type="text"/>
Drawn on Bank & Branch <input type="text"/>		
Photo Identification proof and Residential Proof number in case of Micro SIP of 1st Applicant <input type="text"/>		
2nd Applicant <input type="text"/>		3rd Applicant <input type="text"/>
Cheque Nos From <input type="text"/>	To <input type="text"/>	# Only monthly & quarterly SIP frequencies are available for Quantum Liquid Fund.

6 SYSTEMATIC TRANSFER PLAN (STP) DETAILS (Please ✓)		(Please allow 10 days to register STP)
To Scheme <input type="text"/>	Plan <input type="text"/>	Option <input type="text"/>
No of Installments: <input type="text"/>		
Amount Per Installment: <input type="text"/>	Amount (in words) <input type="text"/>	

7 SYSTEMATIC WITHDRAWAL PLAN (SWP) DETAILS (Please ✓)		(Please allow 10 days to register SWP)
Amount Per Withdrawal: <input type="text"/>	Amount (in words) <input type="text"/>	
No of Installments: <input type="text"/>		

8 CONTACT DETAILS	
Email ID <input type="text"/>	
Mobile No. <input type="text"/>	Tel. No. STD Code <input type="text"/>

## DECLARATION AND SIGNATURES

I/We have read and understood the terms and contents of Statement of Additional Information (SAI), Scheme Information Document (SID) of the scheme(s). I/We hereby apply to the Trustees of Quantum Mutual Fund for units of scheme(s) of Quantum Mutual Fund as indicated above and agree to abide by the terms, conditions, rules and regulations of the scheme(s). I/We hereby declare that the particulars given herein are correct and complete. I/We confirm that I/we have not received and will not receive any commission or brokerage or any other incentive in any form, directly or indirectly, for subscribing to units issued under any of the scheme(s). I/We hereby declare that the amount invested in the scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, 1961, Prevention of Money Laundering Act, 2002, Prevention of Corruption Act, 1988 or any other applicable laws enacted by the Government of India from time to time.

**For Micro SIP investors-** I/we hereby declare that the I/we do not have any existing Micro SIP's which together with current application will result in aggregate investments exceeding ₹ 50,000 in a financial year.

**For NRIs/Flis only:** I/We confirm that I am/we are Non Residents of Indian Nationality/origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our Non-resident External Account/FCNR account/NRO/NRSR/NRNR account/NRO/NRSR Account. (Including amount of transactions made in future)

1st Applicant

To be sign by all Applicants if  
mode of holding is joint

2nd Applicant

To be sign by all Applicants if  
mode of holding is joint

3rd Applicant

To be sign by all Applicants if  
mode of holding is joint

# SYSTEMATIC TRANSACTION FORM

## TERMS & CONDITIONS



### General

- Please refer to the Key Information Memorandum (KIM), Scheme Information Document (SID) of respective schemes and Statement of Additional Information (SAI) for Applicable NAV, Risk Factors, Load and other information of the Scheme before investing.
- If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
- Incorrect / Incomplete applications are liable to be rejected.
- Employee Unique Identification Number (EUIIN) - Investor investing through Intermediary shall mandatorily mention the EUIIN on the application form, irrespective whether he/she has been advised by Sales person / Employee / Relationship manager of the Intermediary or not. However, in case of any exception cases, where there is no interaction by Sales person / Employee / Relationship manager of the intermediary with respect to the investment / transaction, the EUIIN box may be left blank. If left blank, AMC will seek the following declaration separately from the investor, "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." It is mandatory to obtain EUIIN for every Sales person / Employee / Relationship manager of the Intermediary for dealing (irrespective whether transactions are in the nature of execution or advisory) in mutual fund products.

- An Account statement will be dispatched within 10 business days for the first investment through SIP. Since Common Account statement (CAS) is dispatched to all the active investors every month, SIP transactions will be reflecting in the CAS. In case of specific request received from unit holder, Mutual Fund endeavor to provide the account statement within 5 business days from the receipt of such request without any charges.
- For a new STP / SWP to start it will take minimum 10 calendar days.
- The unit holders can choose to opt out from the SIP/STP/SWP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation should be received at least 10 business days prior to the next due date of the SIP/STP/SWP.
- Quantum AMC reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP/STP/SWP.
- For mandatory requirements like Bank account details, Know Your Customer (KYC) and required documents please refer KIM/SID.
- Dividend Transfer Plan is possible only from Quantum Liquid Fund - Monthly Dividend Payout Option and Quantum Dynamic Bond Fund - Monthly Dividend Payout Option to any other scheme.
- In case of an investor who was a minor, the minor on attaining majority has to submit all the necessary documents and complete all the formalities as mentioned in the SID to start or renew a SIP / STP / SWP.

Installment options available under SIP/STP/SWP		SIP					STP					SWP			
		Daily	Weekly	Fortnightly	Monthly	Quarterly	Daily	Weekly	Fortnightly	Monthly	Quarterly	Weekly	Fortnightly	Monthly	Quarterly
Quantum Long Term Equity Value Fund / Quantum Equity Fund of Fund / Quantum Gold Savings Fund / Quantum Multi Asset Fund / Quantum Dynamic Bond Fund	Minimum SIP amount	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
	Minimum SIP Installments	132	25	13	6	4	132	25	13	6	4	25	13	6	4
Quantum Tax Saving Fund	Minimum SIP amount	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter	₹ 500/- and in multiples of ₹ 500/- thereafter
	Minimum SIP Installments	132	25	13	6	4	132	25	13	6	4	25	13	6	4
Quantum Liquid Fund	Minimum SIP amount	-	-	-	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 100/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter	₹ 500/- and in multiples of ₹ 1/- thereafter
	Minimum SIP Installments	-	-	-	6	4	132	25	13	6	4	25	13	6	4

Note: Minimum Balance to Start the STP/SWP is ₹ 5000/-

### Systematic Investment Plan (PDC)

- Existing Unit holders in scheme(s) of Quantum Mutual Fund are required to submit only the Systematic Transaction Form. Existing unit holders should note that the unit holder's details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through Auto Debit/ECS, should fill the Common Application Form & ECS Mandate Form in addition to the Systematic Transaction Form.
- First SIP cheque could be submitted on any business day.
- Investors opting for PDC SIP investment can subscribe to this facility subject to the condition that each of the SIP installments including the initial cheque should be of the same amount and uniformly dated i.e. either 5<sup>th</sup>, 7<sup>th</sup>, 15<sup>th</sup>, 21<sup>st</sup>, 25<sup>th</sup> or 28<sup>th</sup> of any month.
- Returned/Dishonored cheque Rejects will not be presented again for collection. If the 1<sup>st</sup> installment cheque is dishonored, the SIP processing/ registration will be rejected (the remaining cheques would be returned).
- SIP enrollment will be discontinued in case the SIP installment is not honored consecutively for 2 SIP installments.
- Please note that Quantum Tax Saving Fund (QTSF) has a lock-in period for 3 years.
- Additional SIP: If an investor wants to enroll in another scheme & continue for the existing folio, then the investor has to submit a duly filled & signed Systematic Transaction Form along with fresh cheques (if investment is in the new scheme).
- Top-up: After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed Systematic Transaction Form.
- Pursuant to implementation of Know Your Customer (KYC) norms under Prevention of Money Laundering Act, 2001, with effect from January 1, 2011, it is mandatory for all applicants to attach the KYC acknowledgement letter for all applicants irrespective of the amount of investment in the Application Form/ Transaction Slip / Form.
- The minimum number of installments for any frequency will include the first cheque only if the initial amount is the same as that of the remaining PDC SIP installments.
- In case an investor gives full/partial redemption request in a folio where there is an on-going SIP, then redemption will be processed only for the units towards which the amount has been realized.

### Additional instructions for Micro Systematic Investment Plan (MSIP)

- Micro SIP (Systematic Investment Plan) upto ₹ 50,000 per year per investor shall be exempted from the requirement of PAN.
- In case of Micro SIP also KYC is mandatory w.e.f. 1<sup>st</sup> January, 2012.
- In lieu of PAN card copy Investor (including joint holders) to provide the self attested valid Photo ID Proof. Please refer Point 6 below.
- All Micro SIPs where aggregate of installments in a financial year i.e. April to March does not exceed ₹ 50,000.
- This exemption will be applicable only for investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro SIPs. The exemption is

applicable to joint holders also.

- While making additional/subsequent Micro SIP investment, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not resubmit the supporting documents again.
- Photo identification documents to be submitted in case of Micro SIP  
Voter Identity Card, Driving License, Government / Defense identification card, Passport, Photo Ration Card, Photo Debit Card (Credit card will not be accepted), Employee ID cards issued by companies registered with Registrar of Companies, Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament, ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks, Senior Citizen / Freedom Fighter ID card issued by Government, Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI, Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL), Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like ESIC / EPFO.
- Proof of address copy: it is clarified that where photo identification documents contain the address of the investor, a separate proof of address is not required.

### Systematic Transfer Plan / Systematic Withdrawal Plan

- All valid requests for STP/SWP forms from one scheme to the other scheme would be treated as switch-out/redemption & switch-in/purchase at the applicable NAV of the respective scheme(s). The difference between the NAV of two schemes will be reflected in the number of units allotted.
- Minimum Investment Amount in Transfer Out scheme should be as per the respective SID/ Addendum.
- Units marked under Lien, Pledge or Lock-in Period in the Transfer Out scheme will not be eligible for STP/SWP.
- All valid applications will be processed as per the applicable uniform cut off timings on the business days as mentioned in the respective scheme SID.
- A Unit holder who has opted for STP/SWP under a specific Scheme can also redeem or switch his Units to any other eligible Scheme provided he has sufficient balance in his account on the date of such a request
- The Unit holder can make additional subscriptions in accordance to the terms and conditions of the Scheme Information Document (SID). Such additional subscription will alter the functioning of the STP/SWP.
- STP/SWP will be terminated automatically in case minimum STP/SWP installment amount is not available in the folio.
- In case of STP / SWP from Quantum Long Term Equity Value Fund before 2 years from the date of purchase of existing units, the NAV and load will be charged as applicable.
- STP and SWP for QTSF will be applicable after 3 years of lock-in period.

Mutual Fund investments are subject to market risks. Please read Statement of Additional Information (SAI) and respective Scheme Information Document (SID) carefully for detailed risk factors and other information before investing.



WEBSITE

www.QuantumMF.com



EMAIL

CustomerCare@QuantumAMC.com



TOLL FREE HELPLINE

1800 22 3863 / 1800 209 3863



SMS

<Quantum> to 9243 22 3863



CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) FOR DETERMINING U.S. PERSONS STATUS – MANDATORY FOR ALL INVESTORS INCLUDING JOINT HOLDERS, HUF, GUARDIAN IN CASE OF MINOR AND POWER OF ATTORNEY HOLDER.

Folio Number \_\_\_\_\_

	Applicant - 1	Applicant - 2	Applicant - 3	Guardian/POA Holder
PAN				
Country of Birth				
Country of Citizenship/ Nationality				
Tax Resident of any country other than India (Please ✓)	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes : Country <sup>#</sup> : _____ Tax Reference Number: _____	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes : Country <sup>#</sup> : _____ Tax Reference Number: _____	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes : Country <sup>#</sup> : _____ Tax Reference Number: _____	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes : Country <sup>#</sup> : _____ Tax Reference Number: _____

# to include USA, where investor is a Citizen / Greencard holder of USA

DECLARATION: I/We hereby declare that I/We have understood the nature of questions in the Form and the importance of disclosing all the material information required. I/We declare that the information is to the best of my/our knowledge and belief, accurate and complete. I/ We agree to notify Quantum Mutual Fund/ Quantum Asset Management Company Private Limited immediately in the event the information in the confirmation changes. I / We hereby authorise you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me / us, including all changes, update to such information as and when provided by me / us to Quantum Mutual Fund / Quantum Asset Management Company Private Limited to any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authority and other investigation agencies without obligation on advising me / us of the same.

Date           Place \_\_\_\_\_

Signature(s)

SOLE 1st Applicant/Guardian Authorised Signatory	POA Signatory	2nd Applicant Authorised Signatory	3rd Applicant Authorised Signatory
--	---------------	------------------------------------	------------------------------------

## Instructions:

Details under FATCA/Foreign Tax Laws: Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in information provided, please ensure you advise us promptly, i.e., within 30 days.

If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

## Contact Us



WEBSITE  
[www.QuantumMF.com](http://www.QuantumMF.com)



EMAIL  
[CustomerCare@QuantumAMC.com](mailto:CustomerCare@QuantumAMC.com)



TOLL FREE HELPLINE  
1800 22 3863 / 1800 209 3863

# FATCA/ FOREIGN TAX LAWS INFORMATION - NON INDIVIDUAL FORM

[Please seek appropriate advice from a tax professional on FATCA/ Foreign Tax laws related information]

India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. [www.QuantumMF.com](http://www.QuantumMF.com)

## Part I: Applicant/Investor details:

Investor Name			
Folio No.		PAN	

## Part II: Declarations

### (A) Particulars

Category			
Applicants	Country of incorporation/ constitution	Country of Tax residency	Taxpayer Identification Number
1.			
2.			
3.			

### (B) Other information:

S No	Information	Additional Information to be provided
1	We are a financial institution [including an FFI] [Refer instructions a]	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide the following information: GIIN: _____ (Global Intermediary Identification Number) If GIIN not available [tick any one]: <input type="checkbox"/> Applied for on <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="checkbox"/> Not required to apply (please describe) _____ <input type="checkbox"/> Not obtained
2	We are a listed company [whose shares are regularly traded on a recognized stock exchange]	<input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, specify the name of any one Stock Exchange where it is traded regularly: 1. BSE/NSE/Other _____ (please specify)
3	We are 'Related Entity' of a listed company [Refer instructions b]	<input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, specify the name of the listed company _____ Specify the name of any one Stock Exchange where it is traded regularly: 1. BSE/NSE/Other _____ (please specify)
4	We are an Active NFFE [Refer instructions c & d]  Note: Details of Controlling Persons will not be considered for FATCA purpose	<input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, specify the nature of business _____ Please specify the category of Active NFFE _____ (Mention code — refer instructions)
5	We are an Passive NFFE [Refer instructions f and g]  Note: Details of Controlling Persons will be considered for FATCA purpose	<input type="checkbox"/> Yes <input type="checkbox"/> No If Yes, please provide: 1. Nature of business _____ 2. For all Controlling Persons who are tax residents (including US citizens and green card holders) of countries other than India, please provide the necessary details including Taxpayer Identification Number (TIN) in the UBO form.

I/We hereby acknowledge and confirm that the information provided hereinabove is/are true and correct to the best of my knowledge and belief. I/We further agree and acknowledge that in the event, the above information and/or any part of it is/are found to be false/untrue/misleading, I/We will be liable for the consequences arising therefrom. I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, SEBI registered intermediaries for single updation/submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/We further agree to promptly intimate you in writing regarding any change/modification to the above information and/or provide additional/further information as and when required by you.

### Signature with relevant seal:

Authorised Signatory

Authorised Signatory

Authorised Signatory

Date:

Place: \_\_\_\_\_

- a. Foreign Financial Institution [FFI]— Means any non-US financial institutions that is a — (1) Depository institution — accepts deposits in the ordinary course of banking or similar business; (2) Custodian institution - as a substantial portion of its business, hold financial assets for the accounts of others; (3) Investment entity — conducts a business or operates for or on behalf of a customer for any of the activities like trading in money market instruments, foreign exchange, foreign currency, etc. or individual or collective portfolio management or investing, administering or managing funds, money or financial assets on behalf of other persons; or an entity managed by this type of entity; or (4) Insurance company — entity issuing insurance products i.e. life insurance; (5) Holding or Treasury company — entity that is part of an expanded affiliate group that includes a depository, custodian, specified insurance company or investment entity
- b. Related Entity - an entity is a “Related Entity” of another entity if one controls the other, or the two entities are under common control (where control means direct or indirect ownership of more than 50% of the vote or value in an entity)
- c. Non-financial foreign entity [NFFE] — Non-US entity that is not a financial institution [including a territory NFFE]. Following NFFEs are excluded from FATCA reporting — (a) Publicly traded corporation / listed companies; (b) Related Entity of a listed company; and (c) Active NFFE
- d. Active NFFE — Any one of the following —

Code	Sub-category
01	Less than 50 percent of the NFFE’s gross income for the preceding calendar year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income
02	The NFFE is organized in a U.S. Territory and all of the owners of the payee are bona fide residents of that U.S. Territory
03	The NFFE is a government (other than the U.S. government), a political subdivision of such government (which, for the avoidance of doubt, includes a state, province, county, or municipality), or a public body performing a function of such government or a political subdivision thereof, a government of a U.S. Territory, an international organization, a non-U.S. central bank of issue, or an Entity wholly owned by one or more of the foregoing
04	Substantially all of the activities of the NFFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes
05	The NFFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFFE
06	The NFFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution
07	The NFFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution

08	<p>Any NFFE is a ‘non for profit’ organization which meets all of the following requirements:</p> <ul style="list-style-type: none"> <li>• It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>• It is exempt from income tax in its jurisdiction of residence;</li> <li>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> <li>• The applicable laws of the NFFE’s jurisdiction of residence or the NFFE’s formation documents do not permit any income or assets of the NFFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFFE’s charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFFE has purchased; and</li> <li>• The applicable laws of the NFFE’s jurisdiction of residence or the NFFE’s formation documents require that, upon the NFFE’s liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFFE’s jurisdiction of residence or any political subdivision thereof.</li> </ul>
----	---

- e. Expanded affiliated group — One or more chains of members connected through ownership (50% or more, by vote or value) by a common parent entity if the common parent entity owns stock or other equity interests meeting the requirements in atleast one of the other members
- f. Passive NFFE - means any NFFE that is not (i) an Active NFFE, or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations
- g. Passive Income — portion of gross income that consists of dividends, interest, rents and royalties (other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE), income equivalent to interest / amount received from pool of insurance contracts, annuities, excess of gains over losses from the sale or exchange of property / from transactions (including futures, forwards or similar transactions) in any commodities but not including (i) any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation or (ii) active business gains or losses from the sale of commodities but only if substantially all the foreign entity’s commodities are property, excess of foreign currency gains over losses, net income from notional principal contracts, amounts received under cash value insurance contracts, amounts earned by insurance company in connection with its reserves for insurance and annuity contracts
- h. Controlling Persons - means the natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term “Controlling Persons” shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations.



# Declaration for Ultimate Beneficial Ownership [UBO]

(Mandatory for Non-individual Applicant/Investor)

India's 1<sup>st</sup> Direct to Investor  
Mutual Fund

7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. [www.QuantumMF.com](http://www.QuantumMF.com)

To be filled in BLOCK LETTERS (Please strike off section(s) that is/are not applicable)

## Part I: Applicant/Investor details:

Investor Name:			
Folio No,		PAN	

## Part II: Applicable for Listed Company / its subsidiary company only

(i) I/ We hereby declare that -

<input type="checkbox"/>	Our company is a Listed Company listed on recognized stock exchange in India
<input type="checkbox"/>	Our company is a subsidiary of the Listed Company
<input type="checkbox"/>	Our company is controlled by a Listed Company

(ii) Details of Listed Company ^

Stock Exchange on which listed \_\_\_\_\_

^ The details of holding/parent company to be provided in case the applicant/investor is a subsidiary company.

## Part III: Non-individuals other than Listed Company / its subsidiary company

(i) Category [ ✓ applicable category]:

<input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Limited Liability Partnership Company
<input type="checkbox"/> Unincorporated association / body of individuals / HUF	<input type="checkbox"/> Public Charitable Trust	<input type="checkbox"/> Religious Trust
<input type="checkbox"/> Private Trust	<input type="checkbox"/> Private Trust created by a Will	<input type="checkbox"/> Others _____ [please specify]

(ii) Details of Ultimate Beneficiary Owners:

(In case the space provided is insufficient, please provide the information by attaching separate declaration forms)

Name of UBO [Mandatory] Along with Designation / Position wherever applicable				
UBO Code [Refer instruction 3]				
PAN or any other valid ID proof for those where PAN is not available / applicable <sup>1</sup>				
KYC (Yes/No) <sup>2</sup>				
Country of citizenship / Nationality				
Country of Tax Residency <sup>3</sup>				
Taxpayer Identification Number <sup>3</sup>				
Country of Birth				
Country of Permanent Address				
Percentage of Holding % <sup>4</sup>				

1. If UBO is KYC compliant, KYC proof to be enclosed. If UBO is not KYC compliant then, (i) In case of individual Applicant attached PAN or if PAN is not available then attached any one of copy of the Unique Identification Number (UID) / Aadhar / Passport / Voter ID / Driving License (ii) In case of Applicant other than Individual PAN. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.
2. If UBO is not KYC compliant, request to complete KYC formalities and send the intimation to KARVY / Fund.
3. Please indicate all countries in which you are resident for tax purposes and the associate Tax Identification Number.
4. In case of HUF, please mention N.A. and provide details / attach copies of PAN of all major coparceners.

Note: Attached documents should be self-certified by the UBO and certified by the Applicant/Investor Authorized Signatory/ies.

## Part IV: Declaration

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In the event any of the above information is/are found to be false/incorrect and/or the declaration is not provided, then the AMC/Trustee/Mutual Fund shall reserve the right to reject the application and/or reverse the allotment of units and the AMC/Mutual Fund/Trustee shall not be liable for the same. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

Authorized Signatories [with Company/Trust/Firm/Body Corporate seal]

Authorised Signatory
----------------------

Authorised Signatory
----------------------

Authorised Signatory
----------------------

Date: 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Place: \_\_\_\_\_



As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No.CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

### 1. Ultimate Beneficiary Owner [UBO]:

#### A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:-
- more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;-
  - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;-
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### B. For Investors which is a trust:

The identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

#### C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client.

### 2. KYC requirements

Beneficial Owner(s) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the listed Beneficial Owner(s).

### 3. UBO Codes:

UBO Code	Sub-category
UBO-01	Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company
UBO-02	Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership
UBO-03	Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals/ HUF
UBO-04	Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO - 3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]
UBO-05	Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]
UBO-06	The settlor(s) of the trust
UBO-07	Trustee(s) of the Trust
UBO-08	The Protector(s) of the Trust [if applicable].
UBO-09	The beneficiaries with 15% or more interest in the trust if they are natural person(s)
UBO-10	Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

# MULTIPLE BANK ACCOUNTS REGISTRATION FORM



Please read terms & conditions mentioned overleaf. Strike unused section(s) to avoid unauthorised use.

## UNIT HOLDER INFORMATION (MANDATORY)

Folio No. (For Existing Unit Holders)	OR	Application No. (for New Unit Holders)	Date
Name of Sale / First Unit Holder		Permanent Account Number (PAN)	

## A - DEFAULT BANK ACCOUNT

From among the bank accounts registered with you or mentioned below, please register the following bank account as a Default Bank Account into which future redemption and/or dividend proceeds, if any for the above mentioned folio will be paid:

Bank Name	Branch Name	City	PIN code	Account type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE
Account No.				<input type="checkbox"/> NRO <input type="checkbox"/> FCNR	
IFSC Code ^ ^				MICR Code ^	
Document attached (Any one)		<input type="checkbox"/> Cancelled Cheque with name/ A/c No. pre-printed		<input type="checkbox"/> Bank statement	<input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate
^ ^ 11 digit code (with Account No., Account Holders name and address) printed on your cheque as IFSC Code.				^ 9 digit code on your cheque next to the cheque number.	

## B - ADDITION OF BANK ACCOUNTS

Please register my/our following bank accounts for all investments in my/our folio. I/we understand that I/we can choose to receive payment proceeds in any of these accounts, by making a specific request in my/our redemption request. I/We understand that the bank accounts listed below shall be taken up for registration in my/our folio in the order given below and the same shall be registered only if there is a scope to register additional bank accounts in the folio subject to a maximum of five in the case of individuals and ten in the case of non individuals.

Bank Name	Branch Name	City	PIN code	Account type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE
Account No.				<input type="checkbox"/> NRO <input type="checkbox"/> FCNR	
IFSC Code ^ ^				MICR Code ^	
Document attached (Any one)		<input type="checkbox"/> Cancelled Cheque with name/ A/c No. pre-printed		<input type="checkbox"/> Bank statement	<input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate

Bank Name	Branch Name	City	PIN code	Account type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE
Account No.				<input type="checkbox"/> NRO <input type="checkbox"/> FCNR	
IFSC Code ^ ^				MICR Code ^	
Document attached (Any one)		<input type="checkbox"/> Cancelled Cheque with name/ A/c No. pre-printed		<input type="checkbox"/> Bank statement	<input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate

Bank Name	Branch Name	City	PIN code	Account type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE
Account No.				<input type="checkbox"/> NRO <input type="checkbox"/> FCNR	
IFSC Code ^ ^				MICR Code ^	
Document attached (Any one)		<input type="checkbox"/> Cancelled Cheque with name/ A/c No. pre-printed		<input type="checkbox"/> Bank statement	<input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate

Bank Name	Branch Name	City	PIN code	Account type	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE
Account No.				<input type="checkbox"/> NRO <input type="checkbox"/> FCNR	
IFSC Code ^ ^				MICR Code ^	
Document attached (Any one)		<input type="checkbox"/> Cancelled Cheque with name/ A/c No. pre-printed		<input type="checkbox"/> Bank statement	<input type="checkbox"/> Pass book <input type="checkbox"/> Bank Certificate

SIGNATURES for Part A and Part B (Mandatory) (To be signed as per mode of holding In case of non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)

Declaration:  
I/We have read and understood the terms and conditions for registration of Bank Accounts and agree to abide by the same. I/we understand that my/our request will be executed only if it is filled properly with all details mentioned correctly and necessary documents are attached as applicable, failing which the request will be rejected. I/we would not hold Quantum Mutual Fund, the AMC / Trustee and the Registrar's liable for any loss due to delayed execution or rejection of the request.

Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder
--------------------------------------	--------------------------------	-------------------------------

## C - DELETION OF BANK ACCOUNT(S)



Please delete the following Bank Account(s) as registered accounts for my/our folio(s) mentioned in the 1st page

Folio No.											Permanent Account Number (PAN)										
Name of Sole / First Unit Holder	F I R S T N A M E										M I D D L E N A M E										
	L A S T N A M E																				
Bank Name											Bank A/c No.										
Bank Name											Bank A/c No.										
Bank Name											Bank A/c No.										
Bank Name											Bank A/c No.										

Deletion of a default bank account is not permitted unless the investor mentions another registered bank account as a default account in Part A of this Form.

SIGNATURES for Part C (Mandatory) (To be signed as per mode of holding. In case of non-Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)

Declaration:

I/We have read and understood the terms and conditions for registration of Bank Accounts and agree to abide by the same. I/we understand that my/our request will be executed only if it is filled properly with all details mentioned correctly and necessary documents are attached as applicable, failing which the request will be rejected. I/we would not hold Quantum Mutual Fund, the AMC / Trustee and the Registrar's liable for any loss due to delayed execution or rejection of the request.

Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder
--------------------------------------	--------------------------------	-------------------------------

## INSTRUCTIONS AND TERMS AND CONDITIONS

- This facility allows a unit holder to register multiple bank account details for all investments held in the specified folio (existing or new) Individuals/ HuF/ Sole Proprietor Firm can register upto 5 different bank accounts for a folio by using this form. Non-individuals can register upto 10 different bank accounts for a folio. Any more Bank account/s, even if mentioned or provided will not be registered unless accompanied by deletion request to delete any existing bank accounts.
- Unitholder(s) need to attach any one of the following mandatory documents in original, in respect of each bank account for registering the bank accounts, failing which the particular bank account will not be registered. This will help in verification and registration of the account details accurately.
  - Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque.
  - Bank Statement with current entries not older than 3 months.
  - Certified Bank Passbook with current entries not older than 3 months.
  - Bank Letter/Certificate duly signed by Bank Branch Manager/Authorized Personnel.

If photocopy of any document is submitted, the copy should be certified by the Bank Manager or self attested copy along with Original documents for verification.

Additionally the AMC may call for the below mentioned documents, wherever required on case to case basis:

Cancelled original cheque with first unit holder name and bank account number printed on the face of the cheque. OR

Original bank account statement/ Pass book. OR

Original letter issued by the bank on the letterhead confirming the bank account holder with the account details, duly signed and stamped by the Branch Manager. OR

In case such bank account is already closed, a duly signed and stamped original letter from such bank on the letter head of bank, confirming the closure of said account.

All documents submitted should clearly evidence the bank name, account number and name of all account holders.
- Bank account registration/deletion request will be accepted and processed only if all the details are correctly filled and the necessary documents are submitted. The request is liable to be rejected if any information is incomplete or incorrectly filled or if there is deficiency in the documents submitted.
- The first/sole unit holder in the folio should be one of the holders of the bank account being registered.
- The investors can change the default bank account by submitting this form. In case multiple bank accounts are opted for registration as default bank account, the mutual fund retains the right to register any one of them as the default bank account.
- A written confirmation of registration/addition/ change / deletion or inability to process the request will be dispatched to the unitholder within 10 business days of receipt of such request. The Account statement will reflect default bank mandate only and hence unitholder should preserve this letter for their reference.
- If any of the registered bank accounts are closed/ altered, please intimate the AMC by using the designated application form only.
- The Bank Account chosen as the primary/default bank account will be used for all Redemption payouts/ Dividend payouts. At anytime, investor can instruct the AMC to change the default bank account by choosing one of the additional accounts already registered with the AMC.
- If request for redemption is received along with a change of bank account or before verification of the new bank account, the redemption request would be processed to the currently registered default (old) bank account. Consequent to introduction of "Multiple Bank Accounts Facility", the existing facility of redemption with change of bank mandate will not be applicable. A cooling-off period of 10 business days is required for registering the bank account and no redemption payouts will be made into such bank accounts during the cooling-off period.
- Quantum Mutual Fund will not be responsible for sending redemption proceeds without considering unregistered bank account mentioned in the redemption request.
- If an NRI in a folio, purchases investments vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank accounts types for redemption can be SB/ NRO/ NRE.
- The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their various bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.
- Quantum Mutual Fund /AMC/ Trustee and its Registrar's shall not be held liable for any loss arising to the unit holder(s) due to the credit of redemption/ dividend proceeds into any other bank accounts registered in the folio.





As per SEBI regulations, you can assign one or more nominee(s) to the investment, to whom the amounts will be payable on death of the sole or all Unitholders as the case may be. This form is to be filled if you wish to nominate multiple nominees. If you are filling this at the time of opening a new folio with Quantum Mutual Fund, please submit this along with the Common Application Form. You may also use this for nominating multiple nominees subsequent to opening a folio, or to modify existing nomination(s)

- a) The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of HUF cannot nominate.
- b) A Unitholder can have a maximum of 3 nominees.
- c) A minor can be nominated and in that event, the name, address and signature of the guardian of such minor nominee shall be provided. Nomination may also be in favour of the Central Government, State Government, local authority, any person designated by virtue of his office or a religious or charitable trust.
- d) The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a PoA holder. A non-resident Indian can be a Nominee, subject to the exchange control regulations in force, which may change from time to time.
- e) Nomination in respect of the units stands rescinded upon the transfer/transmission of units. Transmission of units in favour of a Nominee shall be valid discharge by the AMC against the legal heir.
- f) A Non-Resident Indian can be a nominee subject to the exchange control regulations in force, from time to time.
- g) The cancellation of nomination can be made only by Unitholders on their own behalf, singly or jointly, and who have made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall be under no obligation to transfer the units in the Nominee's favour.
- h) If the unitholders have not indicated the percentage of allocation for each nominee, the AMC shall settle the claim proportionately amongst the nominees on equal basis. However in case of 3 Nominee(s), the allocation by default will be 34%, 33% & 33% respectively for each Nominee in the sequential order if the investor/s has/have failed to furnish the ratios.
- i) PoA Holders cannot execute a nomination request, it has to be executed by the Applicant(s)/Unitholder(s).
- j) Submission of fresh Nomination form if found in order will tantamount to cancellation of existing Nomination.
- k) Nominee is registered/modified/changed only at folio level and not at Scheme/Option/Facility level.



# Minor Attaining Majority - Request Form to change Status

To  
Quantum Mutual Fund

Folio No.

Investment was made in the above Folio when I was a minor and the same was represented by \_\_\_\_\_  
<Guardian Name>. As I have completed 18 years of age as on \_\_\_\_\_ <Date>, I hereby request to update, my status as Individual and remove the Guardian Name. Please also update the following details in your records for the above referred Folio.

## 1 \* INVESTOR DETAILS

Name of Sole/ 1st Applicant ☐ Mr. ☐ Ms. ☐ Others  Please Specify \_\_\_\_\_

\*PAN/PEKRN  Aadhaar Number

DOB  Tax Status ☐ Residential ☐ NRI / PIO / OCI

Contact Details Mobile No.  Email ID

Tel No - STD Code  Res.  Off.  Fax

## 2 \* BANK ACCOUNT DETAILS

A/c Type [please ✓] ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Bank Name  IFSC  MICR Code

Account No  Branch  City  Pin code

## 3 \* FATCA and CRS Details for Individuals (Mandatory)

The below information is required for all applicants

Category	1 <sup>st</sup> Applicant	2 <sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant
Place/City of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of Citizenship / Nationality	<input type="text"/>	<input type="text"/>	<input type="text"/>

Is your Tax Residency / Country of Birth / Citizenship / Nationality other than India? ☐ Yes ☐ No (Please ✓)

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below. In case of POA, the POA holder should mandatorily fill Annexure I for complete details.

Category	1 <sup>st</sup> Applicant	2 <sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant
Country of Tax Residency 1	<input type="text"/>	<input type="text"/>	<input type="text"/>
Tax Payer Reference ID No. 1	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of Tax Residency 2	<input type="text"/>	<input type="text"/>	<input type="text"/>
Tax Payer Reference ID No. 2	<input type="text"/>	<input type="text"/>	<input type="text"/>

## 4 \* NOMINATION DETAILS

☐ I do not wish to Nominate

	1 <sup>st</sup> Nominee	2 <sup>nd</sup> Nominee	3 <sup>rd</sup> Nominee
Name (as in PAN card/KYC records)	<input type="text"/>	<input type="text"/>	<input type="text"/>
PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth	<input type="text"/>	<input type="text"/>	<input type="text"/>
Relationship with Investor	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>	<input type="text"/>	<input type="text"/>
Guardian Name (in case Nominee is a Minor)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Allocation % (Total to be 100%)	<input type="text"/>	<input type="text"/>	<input type="text"/>

Signature of First Holder (Major)	Guardian's Attestation	Bank Attestation
Name:	Registered Guardian's Name:	OR Branch Seal with name, designation and employee number

## Documents attached:

- ☐ 1. KYC Confirmation Letter / KYC acknowledgment copy along with PAN Card copy.
- ☐ 2. Attach Any one of following:
- Cancelled Cheque with Name & Account number printed on it.
  - Original Bank statement / Copy of the Bank Statement showing A/c holder Name and A/c No. duly attested by the relevant Bank Manager.
  - Copy of Pass book showing A/c holder Name and A/c No. duly attested by the relevant Bank Manager.

Please note:

(You may produce the originals of the documents mentioned above, along with the photocopies, at the counter, we shall verify them and return the originals to you, or photocopies can be submitted attested by the Banker Manager, (name, designation, employee code, and seal should be affixed, clearly on the copy).)

## ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Quantum Mutual Fund-7<sup>th</sup> Floor, Hoechst House, Nariman Point, Mumbai - 400 021. [www.QuantumMF.com](http://www.QuantumMF.com)

We acknowledge the receipt of the request for change of status from minor to major from Mr. / Ms. / M/s. \_\_\_\_\_

in Folio No. \_\_\_\_\_ with Quantum Mutual Fund

Date of receipt at \_\_\_\_\_

SEAL

## INSTRUCTIONS AND TERMS & CONDITIONS :

As per SEBI regulations when the units are held on behalf of the minor, the ownership of the units rests with the minor. A guardian shall operate the account until the minor attains the age of majority.

- 1) In the event of minor attaining majority, existing standing instructions on SIP/STP/SWP shall continue to be processed until the change of status is effected in the records of the mutual fund. Prior to minor attaining majority, an advance notice will be sent to the registered Email ID /correspondence address advising the guardian to submit an application form (attached herewith) along with prescribed documents specified therein to change the status of the account to "major". The existing standing instructions shall be terminated immediately after successful change of status from Minor to Major in our records.
- 2) The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no transactions other than existing SIP, STP, SWP shall be permitted till successful change of status in our records.

7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021. www.QuantumMF.com

Please fill this form in ENGLISH in BLACK/DARK COLOURED INK in CAPITAL LETTERS.

<input type="checkbox"/> Registration / Tagging	<input type="checkbox"/> Modification	<input type="checkbox"/> Deletion / Untagging
Folio No.	<input type="text"/>	
First Unit Holder Name	<input type="text"/>	
Country of Citizenship	<input type="text"/>	
Contribution to SMILE Facility : (For Indian Citizens Only*)	<input type="checkbox"/> 5% OR <input type="checkbox"/> 10% OR <input type="checkbox"/> Investor Advised	
Contribution to SMILE Facility : (For Other Citizens*)	<input type="checkbox"/> Investor Advised	

\*Note : Resident / Non Resident Indian holding foreign citizenship can opt for SMILE Facility on any date through Investor Advised Option. However, contribution to NGOs can be done either on the investors getting their Indian Citizenship or on receipt of FCRA approval by HYNGO Foundation which is currently in the process for approval with the Ministry of Home Affairs.

## Scheme Selection (Specify the Scheme for the SMILE Facility)

Sr.No	Scheme Name with Option	Number of Units
1.		
2.		
3.		
4.		
5.		
6.		

OR

☐ All Existing Schemes enabled for SMILE facility (please refer instruction no - 7)

## Selection of NGOs for SMILE Contribution (Please refer NGO List in point no - 10)

NGO1 Name:		% Contribution	
NGO2 Name:		% Contribution	
TOTAL			100%

## Investor Advised Donation (Applicable to Investors Opting for "Investor Advised" Option; to be only Filled at the Time of Contribution)

Amount			
NGO1 Name:		% Contribution	
NGO2 Name:		% Contribution	
TOTAL			100%

## Declaration

I/We have read and understood the contents of the Statement of Additional Information/Scheme Information Document/Key Information Memorandum of the Scheme, addenda issued till date, terms and conditions of the SMILE Facility and abide by that. I / We hereby authorize the Trustee of Quantum AMC / Quantum Mutual Fund for redemption of units/amount as per the terms and conditions of SMILE Facility and transfer / donate such redemption amount to the HYNGO Foundation Bank Account who, in turn, will transfer / donate such redemption amount to respective Non-Governmental Organizations (NGOs) as selected in the form. Quantum AMC / Quantum Mutual Fund shall not be held responsible or liable in any manner whatsoever for making such donations on my/our behalf to HYNGO Foundation and then in turn by HYNGO Foundation to the respective NGOs.

### Applicable for Non – Individual Investors:

We are the entity formed and registered in India and does not receive foreign contribution / we are the entity formed and registered in India, receiving foreign contribution but the investments in the SMILE Facility is using Local Funds and not from the organization's FCRA Bank Account.

Signature with relevant seal:

Date

Place

Sole/1st Applicant/Guardian / Authorised Signatory

2nd Applicant / Authorised Signatory

3rd Applicant / Authorised Signatory

Note : Application form to be signed as per mode of holding registered in the folio.



## INSTRUCTIONS AND TERMS & CONDITIONS :

1. SMILE facility enables an investor; basis a consent option; to contribute some percentage of his/her Investment in the schemes of Quantum Mutual Fund towards a charitable cause to any two NGO's (maximum)
2. Please fill this form in ENGLISH in Black/Dark colored ink in capital letters.
3. It can be availed by investors having their investments in non-demat mode only.
4. Application form to be signed as per mode of holding registered in the folio.
5. All fields mentioned in the form are mandatory.
6. **Who Can Opt for the Facility:**
  1. Resident
  2. HUF
  3. Companies / LLP
  4. Societies
  5. Partnership Firm
  6. Trusts
  7. NRI with terms and conditions as mentioned below

Resident / Non Resident Indian holding foreign citizenship can opt for SMILE Facility on any date through Investor Advised Option. However, contribution to NGOs can be done either on the investors getting their Indian Citizenship or on receipt of FCRA approval by HYNGO which is currently in the process for approval with the Ministry of Home Affairs.

7. **Eligible Schemes:**  
Quantum Long Term Equity Value Fund , Quantum Equity Fund of Funds, Quantum Dynamic Bond Fund, Quantum Multi Asset Fund, Quantum Gold Savings Fund and Quantum Liquid Fund.

8. Smile facility is available only for Growth Option.

9. **Tagging of Folio to SMILE:**  
Investor needs to mention the Folio Number and Scheme in case of multiple schemes in a folio in the application form which will be tagged for SMILE facility from which selected contribution will be made to HYNGO Foundation. Investor can give request for tagging or un-tagging of the folio chosen for SMILE.

10. **NGO Selections for SMILE Contribution**
  1. HelpYourNGO Foundation
  2. Sree Guruvayurappan Bhajan Samaj Trust - Unnati
  3. CanSupport
  4. Vidya Poshak
  5. Fight Hunger Foundation
  6. Parinaam Foundation
  7. Make-A-Wish Foundation of India

For more details on the NGO's and SMILE facility kindly visit [www.QuantumMF.com/FAQ.aspx](http://www.QuantumMF.com/FAQ.aspx) and select SMILE facility in the drop down.

11. **Contribution to NGOs:**  
(i) 5% or 10% of the contribution from the holding of the investor under the folio tagged for SMILE at the end of each year ended September 30, by way of automatic redemption of units subject to minimum contribution amount of Rs.100/-.

Example for calculation :

(a) Holding as on September 30, 2017 is Rs. 5,000 and the investor has selected an option of 5% of the Value.

(b) 5 % of Rs 5,000 + load (if any) = Rs. 250 (will be deducted as partial redemption done automatically)

1. Investor to select from a list of 7 NGOs at the time of initial request for opting the SMILE facility.
2. Minimum 1 and Maximum 2 NGO can be selected.
3. If no NGO is/are selected then the default NGO will be the HYNGO Foundation. In this case the investor has the option of selecting sector(s) (maximum 2) to which investor would like to contribute. HYNGO Foundation will then remit the contributed amount to the NGO(s) selected by HYNGO Foundation in the selected sector.
4. Investors to prescribe a percentage (%) of contribution to be distributed to each NGO in the form.
5. Investors can change their NGOs and % of contribution any time. However, the amount will be distributed according to the latest request registered as on September 30.

(ii) Investor Advised – Investor can contribute minimum amount of Rs. 500/- by way of redemption anytime from folio tagged with SMILE to NGOs. The details of NGOs available for donation are uploaded on the HYNGO Foundation website [www.helpyourngo.com](http://www.helpyourngo.com).

### **Payout Process:**

The amount contributed by investors will be transferred based on the investor's authority to the HelpYourNGO (HYNGO) Foundation's bank account within 10 Business Days from the end of the every year ended September 30 / redemption date selected by investor in case of Investor Advised. The HYNGO Foundation in turn will contribute the amounts to respective NGO(s). The Contribution 5% or 10% or Investor Advised will be done by way of redemption of units subject to exit load. The long term / short term capital gain tax as may be applicable depend on the nature of scheme and holding period of units.

12. **Transfer of Funds / Contribution to HYNGO Foundation, 80G Certificate and Reports:**  
Quantum AMC will transfer redemption amount based on the investor's authority to HYNGO Foundation, which in turn will issue 80G Certificate to the investor for the amount remitted. HYNGO Foundation will then transfer 95% of funds to respective NGOs based on contribution option decided by the investors and will retain 5% of the funds as administrative and due diligence cost. HYNGO will procure a confirmation from the NGO that the donation amount has been received. HYNGO Foundation will update Reports on amount contributed through SMILE on HYNGO Foundation website [www.helpyourngo.com](http://www.helpyourngo.com).

13. **What is the Investor Advised option? Who can avail of this option?**

The Investor Advised option allows an investor to:

1. Contribute to any NGO of listed with HelpYourNGO on their website (<https://www.helpyourngo.com>), not just the NGOs mentioned in the list of NGOs mentioned above.
2. Redeem his/her units and contribute any amount, not necessarily 5% or 10% of his/her holdings. The minimum contribution should be Rs 500/-
3. Currently investors can opt for Investor Advised option through offline option by submitting the SMILE facility form.

This option can be availed by all Resident Indians and NRIs – whether they are citizens of India or not.

14. Non Individual Investors (except HUF) required to submit certificate from chartered accountant. Either in the format prescribed in Annexure A or Annexure B as may be applicable.

## Annexure A

(To be printed on CA Letterhead)

Date: \_\_\_\_\_

### **CERTIFICATE**

This is to certify that (enter full name of the organization) is an entity registered in India and has approval under the Foreign Contribution (Regulation) Act, 2010 (FCRA) to receive and utilize foreign contribution. However, the investment in Quantum Mutual Fund's SMILE facility is made out of the organization's Local funds and not from their FCRA bank account or funds received from 'foreign source/s', as defined under the Foreign Contribution (Regulation) Act, 2010.

Based on my/our scrutiny of the books of accounts, financial records and documents, this statement is true and correct to the best of my/our knowledge and as per the information provided to my/our satisfaction.

This Certificate should not be used for any other purpose without our prior written consent.

For (Name of Chartered Accountants Firm)  
Chartered Accountants

per (Full Name of Partner/Proprietor)  
Partner  
Membership Number: \_\_\_\_\_

Place: \_\_\_\_\_

Date: \_\_\_\_\_

## Annexure B

(To be printed on CA Letterhead)

Date: \_\_\_\_\_

### **CERTIFICATE**

This is to certify that \_(enter full name of the organization) is an entity registered in India and does not receive foreign contribution from any 'foreign source/s' as defined in the Foreign Contribution (Regulation) Act, 2010.

Based on my/our scrutiny of the books of accounts, financial records and documents, this statement is true and correct to the best of my/our knowledge and as per the information provided to my/our satisfaction.

This Certificate should not be used for any other purpose without our prior written consent.

For (Name of Chartered Accountants Firm)  
Chartered Accountants

per (Full Name of Partner/Proprietor)  
Partner  
Membership Number: \_\_\_\_\_

Place: \_\_\_\_\_

Date: \_\_\_\_\_



# Transmission Request Form

Folio Number/s  Deceased Unit Holder / Karta's Name

## 1 CLAIMANT DETAILS

Due to the sad demise of the unit holder/s, I request Quantum Mutual Fund for transmission of units and register me as the beneficial owner in respect of the investments standing in the folio/s and name of the said deceased as mentioned above.

Request Made By ☐ Registered Nominee ☐ Joint Holder/s ☐ Legal Heir(s) ☐ Survivors of HUF ☐ Successor to the Estate ☐ Administrator of the Estate

Claimant Name

Claimant PAN  Claimant DOB  KYC Compliant ☐ Yes ☐ No

Address

City  State  Country  Pin code

Name of the Guardian(Incase of Minor Only)  Relationship with Minor(Attach proof) ☐ Father ☐ Mother ☐ Court Appointed

Proof of Date of Birth (In case of Minor) ☐ Birth Certificate ☐ School Leaving Certificate ☐ Passport ☐ Others

Contact Details Mobile No.  Email ID

Tel No - STD Code :  Res.  Off.  Fax

## 2 \* KNOW YOUR CUSTOMER (KYC) DETAILS (Mandatory. Please Tick/ Specify. The application is liable to get rejected if details not filled.)

Status details ☐ Resident Individual ☐ NRI/PIO/OCI ☐ Sole Proprietorship ☐ Minor through Guardian ☐ HUF ☐ Others

Occupation details ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Business ☐ Agriculturist ☐ Housewife ☐ Student ☐ Others

Gross Annual Income Range(in Rs.) ☐ Below 1 lac ☐ 1-5 lac ☐ 5-10 lac ☐ 10-25 lac ☐ 25 lac-1cr ☐ 1-5 cr ☐ 5-10 cr ☐ >10 cr OR Network in Rs.

Politically Exposed Person (PEP) details ☐ Is a PEP ☐ Related to PEP ☐ Not Applicable

## 3 \* BANK ACCOUNT DETAILS

A/c Type [please ✓] ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Bank Name  IFSC  MICR Code

Account No  Branch  City  Pin Code

Signature of the above A/C holder as per Bank's records

Signature of Bank Manager

Bank & Branch Seal with employee name & number

\*\* Note - Banker attestation is mandatory in case of nominee and Legal Heirs.

## 4 \* NOMINATION DETAILS

☐ I do not wish to Nominate

	1 <sup>st</sup> Nominee	2 <sup>nd</sup> Nominee	3 <sup>rd</sup> Nominee
Name (as in PAN card/KYC records)	<input type="text"/>	<input type="text"/>	<input type="text"/>
PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth	<input type="text" value="D D M M Y Y Y Y"/>	<input type="text" value="D D M M Y Y Y Y"/>	<input type="text" value="D D M M Y Y Y Y"/>
Relationship with Investor	<input type="text"/>	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>	<input type="text"/>	<input type="text"/>
Guardian Name (in case Nominee is a Minor)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Allocation % (Total to be 100%)	<input type="text"/>	<input type="text"/>	<input type="text"/>

## 5 \* FATCA and CRS Details for Individual (Mandatory)

The below information is required for applicants/guardian

Place/City of Birth  Country of Birth  Country of Citizenship / Nationality

Is your Tax Residency / Country of Birth / Citizenship / Nationality other than India? ☐ Yes ☐ No (Please ✓)

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below.

Country of Citizenship / Nationality  Tax Payer Reference ID No. 1

Country of Tax Residency 2  Tax Payer Reference ID No. 2

## 6 \* Documents to be submitted by the Claimant

Please see overleaf / page 2 for the list of required documents.

### DECLARATION AND SIGNATURE

I hereby declare that the above information is given by the undersigned and the particulars given by me are correct and complete. I hereby submit the documents mentioned on following page, based on transmission case as applicable to me, in support my claim for the said investments. I will not hold the Fund/AMC/RTA for any delay in transmission due to inadequacy of the documents or due to verification of our claim in detail and agree that the Fund reserves a right to call for any additional details and/or documents. I hereby confirm that the information provided here in above is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read & understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of the same being effective and also undertake to provide any other additional information as may be required any intermediary by domestic or overseas regulators / tax authorities.

#### FATCA and CRS Details

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information of your account with the relevant tax authority(ies). If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or Resident or Green Card Holder or Tax Resident of a country other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/ Number. If there is any change in the information provided, kindly intimate the same to us within 30 days.

Claimant Signature

Date

Place



## Transmission of Units Instructions

Transmission is a process whereby units held in the name of deceased unitholder are transferred either to the surviving unitholders or to the nominee or to the legal heirs.

Mutual fund units can be held either singly or jointly (upto three joint holders), and the mode of operation could be on either or survivor or on joint basis.

In case of transmission of units, document requirements vary under different situations, such as holding basis (sole or joint holding), whether there is a valid nomination registered and the current value of investments.

The following table lists the documents required for transmission under various situations:

Transmission Documents Matrix - Ready Reckoner					
Sr. No	Documents Required for Transmission	Transmission to Surviving Joint Holders	Demise of sole/ All unit holders & Nominee/s registered	Demise of sole/ All unit holders & Nominee/s NOT registered	Demise of Karta of an HUF
<b>Basic Documents:</b>					
1	Letter from the Nominee/s or the Claimant/s or new Karta addressed to the AMC/ Fund/ Registrar	✓	✓	✓	✓
2	Death Certificate of deceased Unit Holder/s / Karta in original or Photocopy duly notarized or attested by gazetted officer/bank manager	✓	✓	✓	✓
3	KYC of Nominee/s or Claimant/s or Surviving Unit holders or HUF & New Karta, Or KYC of the Guardian (in case of nominee / claimant being a minor / of unsound mind)	✓	✓	✓	✓
4	New Bank Mandate details - duly attested by Bank Manager - (Annexure I) or Cheque copy with account number and holders' name printed on the cheque	✓	✓	✓	✓
<b>Additional Legal Documents:</b> <i>(Indemnity Bonds/Individual Affidavit to be on minimum Rs 100/- stamp paper or more and duly notarized. KYC address should match with the address mentioned in the Indemnity Bond.)</i>					
1A*	Indemnity bond signed by all legal heir/s confirming the claimant/s (Annexure II)			✓	
1B	Individual Affidavit by the Legal Heir/s (Annexure III)			✓	
2	<b>Transmission value less than ₹ 2,00,000:</b> Document evidencing relationship of the claimant/s with the deceased unitholder/s <hr/> <b>Transmission value ₹ 2,00,000/- or more :</b> (i) Notarised copy of the Probated will <b>OR</b> (ii) Legal Heir / Succession / Claimant certificate by a competent court <b>OR</b> (iii) Letter of Administration, in case of Intestate Succession			✓	
3A	Indemnity Bond signed by all the co-parceners appointing the new Karta (Annexure IV)				✓
3B	Notarized copy of Settlement deed <b>OR</b> Deed of Partition <b>OR</b> Decree of the relevant competent Court: (In case of no surviving co-parceners and the transmission value is <b>more than ₹ 2,00,000/-</b> , OR where there is an objection from any surviving members of the HUF)				✓

**In case the claimant produces any one of the documents mentioned at point no. 2 above (under Additional Legal Documents), where transmission value is ₹ 2 lacs or more, then indemnity bond as mentioned at point no. 1A would not be required.**

**Quantum Asset Management Company Pvt. Ltd.**

**Mumbai:** 7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021  
**Ghatkopar:** 610, 6th floor, Neelyog Square, Opposite Ghatkopar Railway Station, Ghatkopar (E), Mumbai - 400 077  
**Borivali:** 602, 6th floor, Siddharth Arcade, Above HSBC Bank, L.T. Road, Borivali West, Mumbai - 400 092  
**Bandra:** QTube Café, Morya House, Shop Number3, Ground Floor, S. V. Road, Opposite Parsi Colony, Bandra (west), Mumbai- 400 050  
**Chennai:** Office No.-123, DBS Business Center, 31-A, Cathedral Garden Road, Nungambakkam, Chennai - 600 034  
**Ahmedabad:** BSQUARE Office Solutions, 6th Floor Shree Krishna Centre, Nr. Mithakhali Six Roads, Navrangpura, Ahmedabad - 380 009  
**Bengaluru:** Suite No. 216, 2nd floor, DBS House, No.26, Cunningham Road, Bengaluru - 560 052  
**Pune:** Apeejay Business Centre, 101, Pride House, S. No. 108/7 Shivaji Nagar, University Road, Pune - 411 016

**Karvy Fintech Pvt. Ltd. Collection Centers**

**Adyar:** Karvy Fintech Private Limited, New No 51, Gandhi Nagar, First Main Road, Adyar, Chennai - 600020, **Agartala:** Karvy Fintech Private Limited, Jagannath Bari Road, Bidur Karta Chowmuhan, Agartala - 799001, **Agra:** Karvy Fintech Private Limited, Deepak Wasan Plaza, Behind Holiday Inn, Opp Megdoot Furnitures, Sanjay Place, Agra -282002 (U.P.), **Ahmedabad:** Karvy Fintech Private Limited, 201/202 Shail Complex, Opp: Madhusudan House, B/H Girish Cold Drink, Off C G Road, Navrangpura, Ahmedabad - 380 006, **Ajmer:** Karvy Fintech Private Limited, 302, 3rd Floor, Ajmer Auto Building, Opposite City Power House, Jaipur Road; Ajmer, Ajmer - 305 001, **Akola:** Karvy Fintech Private Limited, Yamuna Tarang Complex, Shop No 30, Ground Floor, N.H. No- 06, Murtizapur Road, Opp Radhakrishna Talkies, Akola- 444004, **Alambagh:** Karvy Fintech Private Limited, Ksm Tower, Cp-1 Sinder Dump, Near Alambagh Bus Station, Alambagh, Lucknow - 226005, **Aliganj:** Karvy Fintech Private Limited, Hig-67, Sector E, Aliganj, Lucknow - 226024, **Aligarh:** Karvy Fintech Private Limited, 1st Floor, Kumar Plaza, Aligarh - 202001; Uttar Pradesh, **Allahabad:** Karvy Fintech Private Limited, RSA Towers, 2nd Floor, Above Sony TV Showroom, 57, S P Marg, Civil Lines, Allahabad - 211001, **Alleppy:** Karvy Fintech Private Limited, 1st Floor, Jp Towers, Ksrct Bus Stand, Mullackal, Alleppy - 688011, **Alwar:** Karvy Fintech Private Limited, 101, Saurabh Tower Opp. Uit, Near Bhagat Singh Circle Road No.2, Alwar-301001, **Amaravathi:** Karvy Fintech Private Limited, Shop No. 21, 2nd Floor, Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amaravathi - 444601, **Ambala:** Karvy Fintech Private Limited, 6349, Nicholson Road, Adjacent Kos Hospital, Ambala Cantt, Ambala - 133001, **Amritsar:** Karvy Fintech Private Limited, 72-A, Taylor'S Road, Aga Heritage Gandhi Ground, Amritsar - 143 001, **Anand:** Karvy Fintech Private Limited, B-42 Vaibhav Commercial Center, Nr Tvs Down Town Show Room, Grid Char Rasta, Anand - 388 001, **Ananthapur:** Karvy Fintech Private Limited, # 15-149, 1st Floor, S.R.Towers, Opp: Lalithakala Parishat; Subash Road, Anantapur - 515 001, **Andheri:** Karvy Fintech Private Limited, 6 & 7, Andheri Industrial Estate, Veera Desai Road, Andheri (west), Mumbai - 400053, **Ankleshwar:** Karvy Fintech Private Limited, L/2, Keval Shopping Center, Old National Highway, Ankleshwar - 393 002, **Asansol:** Karvy Fintech Private Limited, 114/N, G. T. Road, Bhanga Panchil, Near Nokia Care, Asansol - 713303, **Aurangabad:** Karvy Fintech Private Limited, Ramkunj, Railway Station Road, Near Osmanpura Circle, Aurangabad-431005, **Azamgarh:** Karvy Fintech Private Limited, 1st Floor Alkal Building, Opp. Nagaripalika Civil Line, Azamgarh-276 001, **Balasore:** Karvy Fintech Private Limited, M S Das Street, Gopalgaon, Balasore - 756001, **Bangalore:** Karvy Fintech Private Limited, No. 59, Skanda puttanna Road, Basavanagudi, Bangalore - 560 004, **Bankura:** Karvy Fintech Private Limited, Ambika Market Complex (Ground Floor), Natunganj, Bankura - 722101, **Bareilly:** Karvy Fintech Private Limited, 1st Floor, Rear Side, A-Square Building, 154-A Civil Lines, Opp.D.M.Residence, Station Road, Bareilly - 243 001, **Barhampore (WB):** Karvy Fintech Private Limited, Thakur Market Complex, 72, Naya Sarak Road, Gorabazar, P.O. Berhampore, DIST. Murshidabad, Barhampore -742101, **Baroda:** Karvy Fintech Private Limited, 203, Corner point, Jetalpur Road, Baroda, Gujarat - 390007, **Begusarai:** Karvy Fintech Private Limited, Hotel Diamond Surbhi Complex, Near I.O.C Township Gate, Kapasiya Chowk, Begusarai - 851117, **Belgaum:** Karvy Fintech Private Limited, CTS No 3939/ A2 A1, Above Raymonds Show Room, Beside Harsha Appliances, Club Road, Belgaum - 590001, **Bellary:** Karvy Fintech Private Limited, Shree Gayathri Towers, #4 1st Floor, K.H.B.Colony, Gopalswamy Mudaliar Road, Gandhi Nagar, Bellary - 583103, **Berhampur (OR):** Karvy Fintech Private Limited, Opp-Divya Nandan Kalyan Mandap, 3rd Lane Dharam Nagar, Near Lohiya Motor, Berhampur- 760001, **Betul:** Karvy Fintech Private Limited, 107, Hotel Utkarsh, Awasthi Complex, J H College Road, Civil Lines, Beetul-460001, **Bhagalpur:** Karvy Fintech Private Limited, 2nd Floor, Chandralok Complex, Ghantaghar, Radha Rani Sinha Road, Bhagalpur - 812001, **Bharuch:** Karvy Fintech Private Limited, 147-148, Aditya Complex, Near Kasak Circle, Bharuch - 392 001, **Bhatinda:** Karvy Fintech Private Limited, #2047-A, 2nd Floor, Above Max New York Life Insurance, The Mall Road, Bhatinda - 151001, **Bhavnagar:** Karvy Fintech Private Limited, 303, Sterling Point, Waghawadi Road, Bhavnagar - 364001, **Bhilai:** Karvy Fintech Private Limited, Shop No-1, First Floor, Plot NO-1, Old Sada Office Block, Commercial Complex, Near HDFC Atm, Nehru Nagar- East, Post - BHILAI, Pin - 490020, **Bhilwara:** Karvy Fintech Private Limited, 27-28, 1st Floor, Heera-Panna Market (complex), Pur Road, Bhilwara-311001, **Bhopal:** Karvy Fintech Private Limited, Kay Kay Busniss Centre, 133, Zone I, M P Nagar, Above City Bank, Bhopal-462011, **Bhubaneswar:** Karvy Fintech Private Limited, A/181, Back Side Of Shivam Honda Show Room, Saheed Nagar, Bhubaneswar-751007, **Bikaner:** Karvy Fintech Private Limited, 2nd Floor, Plot No 70 & 71, Dr.Chahar Building, Panchshati Circle, Sardul Guri Scheme, Bikaner-334003, **Bilaspur:** Karvy Fintech Private Limited, Shop No -225, 226 & 227, 2nd Floor, Narayan Plaza, Link Road, Bilaspur-495001, **Bokaro:** Karvy Fintech Private Limited, B-1, 1st Floor, Near Sona Chandi Jewellers, City Centre, Sector - 4, Bokaro Steel City - 827 004, **Borivali:** Karvy Fintech Private Limited, Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali (West), Mumbai - 400 092, **Burdwan:** Karvy Fintech Private Limited, Aninda Bhavan, 1st Floor, Holding No.-42, Sreepally, G.T. Road, Burdwan - 713103, **Calicut:** Karvy Fintech Private Limited, First Floor, Savithi Building, Opp. Fathima Hospital, Bank Road, Calicut - 673 001, **Chandigarh:** Karvy Fintech Private Limited, Sco 2423-2424, Above Mirchi Restaurant, New Aroma Hotel First Floor, Sector 22-C, Chandigarh - 160022, **Chandrapur:** Karvy Fintech Private Limited, Shop No.6, Office No.2, 1st Floor, Routs Raghuvanshi Complex, Beside Azad Garden, Main Road, Chandrapur-442402, **Chembur:** Karvy Fintech Private Limited, Shop No 4, Ground Floor, Shram Safiya Bldg, N G Acharya Marg, Chembur, Mumbai - 400071, **Chennai:** Karvy Fintech Private Limited, Flat No F11, First Floor, Akshya Plaza, (Erstwhile Harris Road), Opp Chief City Metropolitan Court, # 108, Adithanar Salai, Egmore, Chennai - 600002, **Chinsura:** Karvy Fintech Private Limited, J C Ghosh Saranu, Bhanga Gara, Chinsura, Hooghly, Chinsura - 712101, **Cochin:** Karvy Fintech Private Limited, Building Nos.39 Ali Arcade, 1st floor, Near Atlantis Junction, Kizhvana Road, Panampili Nagar, Cochin-682 036, Ernakulum District, **Coimbatore:** Karvy Fintech Private Limited, 3rd Floor, 1057/1058, Jaya Enclave, Avanashi Road, Coimbatore- 641018, **Cuttack:** Karvy Fintech Private Limited, Dargha Bazar, Opp. Dargha Bazar Police Station, Buxibazar, Cuttack - 753001, **Dalhousie:** Karvy Fintech Private Limited, R N Mukherjee Road, 2nd Floor, Room no-226, Kolkata - 700001, **Darbhanga:** Karvy Fintech Private Limited, Jaya Complex, 2nd Floor, above furniture planet, Donar chowk, Darbhanga-846 003, **Davangere:** Karvy Fintech Private Limited, D No 376/2, 8th Cross, Opp Byadgishettar School, P J Extension, Davangere - 577002, **Dehradun:** Karvy Fintech Private Limited, Kaulagarh Road, Near Sirmour Marg, Above Reliance Webworld, Dehradun - 248 001, **Deoria:** Karvy Fintech Private Limited, 1st Floor, Shanti Niketan, Opp. Zila Panchayat, Civil Lines, Deoria- 274001, **Dewas:** Karvy Fintech Private Limited, 27 Rmo House, Station Road, Above Maa Chamunda Gaes Agency, Dewas-455001, **Dhanbad:** Karvy Fintech Private Limited, 208, New Market, 2nd Floor, Katras Road, Bank More, Dhanbad - 826001, **Dharwad:** Karvy Fintech Private Limited, 307/9-A 1st Floor, Elite Business Center, Nagarkat Colony, P B Road, Dharwad - 580 001, **Dhule:** Karvy Fintech Private Limited, Ground Floor Ideal Laundry, Lane No 4, Khol Galli, Near Muthoot Finance, Opp Bhavasar General Store, Dhule-244001, **Dindigul:** Karvy Fintech Private Limited, No. 9, Old No.4/B, New Agraharam, Palani Road, Dindigul - 624 001, **Durgapur:** Karvy Fintech Private Limited, MWAV-16 Bengal Ambuja, 2nd Floor, City Centre, Durgapur- 713216, **Eluru:** Karvy Fintech Private Limited, DNO-23A-7-72/73, K K S Plaza, Munukutla Vari Street, Opp Andhra Hospitals, R.r.peta, Eluru-534 002, **Erode:** Karvy Fintech Private Limited, No. 4, KMY Salai, Veerappan Traders Complex, Opp: Erode Bus Stand, Sathy Road, Erode - 638 003, **Faridabad:** Karvy Fintech Private Limited, A-2B, 1st Floor, Nehru Ground, Neelam Bata Road, Nit, Faridabad - 121 001, **Ferozpur:** Karvy Fintech Private Limited, The Mall Road, Chawla Bulding, 1st Floor, Opp. Centrail Jail, Near Hanuman Mandir, Ferozpur City -152002, **Gandhidham:** Karvy Fintech Private Limited, Shop # 12, Shree Ambica Arcade, Plot # 300, Ward 12. Opp. CG High School, Near HDFC Bank, Gandhidham - 370201, **Gandhinagar:** Karvy Fintech Private Limited, 123, First Floor, Megh Mahal Complex, Opp. Vijay Petrol Pump Sector - 11, Gandhinagar - 382011, **Gaya:** Karvy Fintech Private Limited, 54 Lal Kothi Compound, 2nd Floor - North Side, Shree Krishna Road, Near Royal Surya Hotel, Gaya-823001, **Ghaziabad:** Karvy Fintech Private Limited, 1st Floor; C-7, Lohia Nagar, Ghaziabad - 201001, **Ghaziipur:** Karvy Fintech Private Limited, Shubhra Hotel Complex, 2nd Floor, Mahabag, Ghaziipur-233 001, **Gomtinagar:** Karvy Fintech Private Limited, B-1/2, Vijay Khand, -Near Union Bank Of India, Gomti Nagar, Lucknow - 226010, **Gonda:** Karvy Fintech Private Limited, Shree Markit, Sahabgunj, Near Nuramal Mandir, Station Road Gonda, Gonda-271 001, **Gorakhpur:** Karvy Fintech Private Limited, Above V.I.P. House, Ajdacent A.D. Girls Inter College, Bank Road, Gorakpur - 273 001, **Gulbarga:** Karvy Fintech Private Limited, CTS No. 2913, First Floor, Asian Tower, Next To Hotel Aditya, Jagat Station Main Road, Gulbarga: 585105, **Guntur:** Karvy Fintech Private Limited, D No 6-10-27, 10/1, Sri Nilayam, Arundelpet, Guntur -522002, **Gurgaon:** Karvy Fintech Private Limited, Shop No. 18, Ground Floor, Sector - 14, Opp. AKD Tower, Near Huda Office, Gurgaon - 122001, **Guwahati:** Karvy Fintech Private Limited, 1st Floor, Bajrangbali Building, Near Bora Service Station, GS Road, Guwahati 781007, **Gwalior:** Karvy Fintech Private Limited, 2nd Floor, Rajeev Plaza, Jayendra Ganj, Lashkar, Gwalior - 474 009, **Haldwani:** Karvy Fintech Private Limited, Above Kapilaz Sweet House, Opp LIC Building, Pilikothi, Haldwani - 263139, Uttarakhand, **Haridwar:** Karvy Fintech Private Limited, 8, Govind Puri, Opp. LIC - 2, Above Vijay Bank, Main Road, Ranipur More, Haridwar-249 401, **Hassan:** Karvy Fintech Private Limited, SAS no-212, Ground Floor, Sampige Road 1st cross, Near Hotel Souther Star, K R Puram, Hassan - 573201, **Hissar:** Karvy Fintech Private Limited, Sco 71, 1st Floor, Red Square Market, Hissar - 125001, **Hoshiarpur:** Karvy Fintech Private Limited, 1st Floor, The Mall Tower, Opp Kapila Hospital, Sutheri Road, Near Maharaj Palace, Hoshiarpur - 146001, **Hubli:** Karvy Fintech Private Limited, CTC No.483/A1/A2Ground Floor, Shri Ram Palza Behind Kotak Mahindra Bank, Club Road, Hubli - 580029, **Hyderabad:** Karvy Fintech Private Limited, 8-2-609/K, Karvy Center, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034, **Indore:** Karvy Fintech Private Limited, 2nd floor, 203-205 Balaji Corporates, Above ICICI bank, 19/1 New Palasia, NearCurewell Hospital, Janjeerwala Square Indore, Indore - 452001, **Jabalpur:** Karvy Fintech Private Limited, 3rd floor, R.R. Tower.5, Lajpatkunj, Near Tayabali Petrol pump, Jabalpur-482001, **Jaipur:** Karvy Fintech Private Limited, S-16A, 3rd Floor, Land Mark, Opposite Jaipur Club, Mahavir Marg, C- Scheme, Jaipur - 302 001, **Jalandhar:** Karvy Fintech Private Limited, 1st Floor, Shanti Towers SCO No. 37, PUDA Complex, Opposite Tehsil Complex, Jalandhar - 144 001, **Jaigaon:** Karvy Fintech Private Limited, 269 Jaee Vishwa, 1st Floor, Above United Bank Of India Baliram Peth, Nr Kishor Agencies, Jaigaon - 425 001, **Jalpaiguri:** Karvy Fintech Private Limited, D.B.C. Road, Near Rupasree Cinema Hall, Beside Kalamandir, Po & Dist Jalapiguri, Jalpaiguri - 735101, **Jammu:** Karvy Fintech Private Limited, Gupta's Tower, 2nd Floor, CB-12, Rail Head complex, Jammu - 180012, **Jamnagar:** Karvy Fintech Private Limited, 136-137-138, Madhav Plaza, Opp SBI Bank, Lal Bungalow, Jamnagar - 361001, Gujarat, **Jamshedpur:** Karvy Fintech Private Limited, 2nd Floor, R R Square, Sb Shop Area, Near Reliance Foot Print & Hotel- Bs Park Plaza, Main Road, Bistupur, Jamshedpur - 831 001, **Jaunpur:** Karvy Fintech Private Limited, 119, R N Complex, 2nd Floor, In Front Of Pathak Honda, Near Jay Cej Crossing, Ummapur, Jaunpur-222 002, **Jhansi:** Karvy Fintech Private Limited, 371/01, Narayan Plaza, Gwalior Road, Near Jeevan Shah Chauraha, Jhansi - 284 001, **Jodhpur:** Karvy Fintech Private Limited, 203, Modi Arcade; Chupansi Road, Jodhpur - 342 001, **Junagadh:** Karvy Fintech Private Limited, 124/125, Punit Shopping Center, Ranavat Chowk, Junagadh, Gujarat 362001, **Kannur:** Karvy Fintech Private Limited, 2nd Floor, Prabhat Complex, Fort Road, Nr.Icici Bank, Kannur - 670001, **Kanpur:** Karvy Fintech Private Limited, 15/46, Ground Floor, Opp: Muir Mills, Civil Lines, Kanpur - 208001, **Karaiikudi:** Karvy Fintech Private Limited, Gopi Arcade, 100 Feet Road, Karaiikudi - 630 001, **Karimnagar:** Karvy Fintech Private Limited, Sri Projects, Door no 2-10-1298, 2nd Floor, Rathnam Arcade, Jyothi Nagar, Karimnagar-505001, **Karnal:** Karvy Fintech Private Limited, 18/369, Char Chaman, Kunjpora road, Karnal-

To Invest with Us:

- Come online for a complete paperless experience OR
- Visit your nearest Karvy Computershare Collection Center OR

- Look out for a "Quantum Branded" Drop Box (only available in Mumbai) OR
- Call our Toll Free number for a Application Pick-up

For any further details required please call 1800-22-3863 / 1800-209-3863 or visit [www.QuantumMF.com](http://www.QuantumMF.com)**WEBSITE**[www.QuantumMF.com](http://www.QuantumMF.com)**EMAIL**

CustomerCare@QuantumAMC.com

**TOLL FREE HELPLINE**

1800 22 3863 / 1800 209 3863



**Quantum Asset Management Company Pvt. Ltd.**

**Mumbai:** 7th Floor, Hoechst House, Nariman Point, Mumbai - 400 021  
**Ghatkopar:** 610, 6th floor, Neelyog Square, Opposite Ghatkopar Railway Station, Ghatkopar (E), Mumbai - 400 077  
**Borivali:** 602, 6th floor, Siddharth Arcade, Above HSBC Bank, L.T. Road, Borivali West, Mumbai - 400 092  
**Bandra:** QTube Café, Morya House, Shop Number3, Ground Floor, S. V. Road, Opposite Parsi Colony, Bandra (west), Mumbai- 400 050  
**Chennai:** Office No.-123, DBS Business Center, 31-A, Cathedral Garden Road, Nungambakkam, Chennai - 600 034  
**Ahmedabad:** BSQUARE Office Solutions, 6th Floor Shree Krishna Centre, Nr. Mithakhali Six Roads, Navrangpura, Ahmedabad - 380 009  
**Bengaluru:** Suite No. 216, 2nd floor, DBS House, No.26, Cunningham Road, Bengaluru - 560 052  
**Pune:** Apeejay Business Centre, 101, Pride House, S. No. 108/7 Shivaji Nagar, University Road, Pune - 411 016

**Karvy Fintech Pvt. Ltd. Collection Centers**

132001, **Karur:** Karvy Fintech Private Limited, No.6, Old No.1304, Thiru-Vi-Ka Road, Near G.R.Kalyan Mahal, Karur - 639 001, **Kharagpur:** Karvy Fintech Private Limited, 180 Malancha Road, Beside Uti Bank, Kharagpur-721304, **Kolhapur:** Karvy Fintech Private Limited, 605/1/4 E Ward, Near Sultane Chambers, Shahupuri 2nd Lane, Kolhapur 416001, **Kolkata:** Karvy Fintech Private Limited, Apeejay House (Beside Park Hotel), 15 Park Street, C Block, 3rd Floor, Kolkata-700016, West Bengal, India, **Kollam:** Karvy Fintech Private Limited, Sree Vigneswara Bhavan, Shastri Junction, Kadapakada, Kollam - 691001, **Koramangala:** Karvy Fintech Private Limited, Aaryaa Center; 1st Floor, Municipal No: 01; MIG KHB colony, 1 A cross, 5th block, opp: Post Office, Koramangala, Bangalore - 560095, **Korba:** Karvy Fintech Private Limited, 1st Floor, City Centre, 97 IRCC, Transport Nagar, Korba (C.G.) - 495677, **Kota:** Karvy Fintech Private Limited, Plot No. 259, 1st Floor, Shopping Centre, Lala Lajpat Rai Circle, Kota - 324007, **Kottayam:** Karvy Fintech Private Limited, 1st Floor Csiascension Square, Railway Station Road, Collectorate P.O., Kottayam - 686 002, **Kurnool:** Karvy Fintech Private Limited, Shop No.43, 1st Floor, S V Complex, Railway Station Road, Near Sbi Main Branch, Kurnool-518 004, **Lucknow:** Karvy Fintech Private Limited, 1st Floor, A. A. Complex, 5 Park Road, Hazratganj, Thaper House, Lucknow - 226001, **Ludhiana:** Karvy Fintech Private Limited, SCO - 136, First Floor, Above Airtel Show Room, Feroze Gandhi Market, Ludhiana - 141001, **Madurai:** Karvy Fintech Private Limited, Rakesh Towers, 30-C, Bye Pass Road, 1st Floor, Opp Nagappa Motors, Madurai - 625010, **Malappuram:** Karvy Fintech Private Limited, 1st Floor, Peekay's Arcade, Down Hill, Malappuram - 676519, **Malda:** Karvy Fintech Private Limited, Sahistuli Under Ward, No-6, English Bazar Municipality, No-1 Govt Colony, Malda - 732101, **Malleswaram:** Karvy Fintech Private Limited, NO.337, GF-3, Karuna Complex, Sampige Roadopp: New Vegetable Market, Malleshwaram, Bangalore - 560003, **Mangalore:** Karvy Fintech Private Limited, Mahendra Arcade Opp Court Road, Karangal Padi, Mangalore - 575 003, **Margao:** Karvy Fintech Private Limited, 2nd Floor, Dalal Commercial Complex, Opp: Hari Mandir, Pajifond, Margao-Goa -403601, **Mathura:** Karvy Fintech Private Limited, AMBEY Crown, 1Ind Floor, In Front Of BSA College, Gaushtala Road, Mathura - 281001, **Meerut:** Karvy Fintech Private Limited, 1st Floor, Medi Centre Complex, Opp. Icici Bank, Hapur Road, Meerut - 250 002, **Mehsana:** Karvy Fintech Private Limited, Ul-47, Appolo Enclave, Opp Simandhar Temple, Modhera Char Rasta; Highway, Mehshana-384002, **Mirzapur:** Karvy Fintech Private Limited, Abhay Yatri Niwas, 1st Floor, Above HDFC Bank, Dankeenganj, UP, Mirzapur-231001, **Moga:** Karvy Fintech Private Limited, 1st Floor, Dutt Road, Mandir Wali Gali, Civil Lines, Barat Ghar, Moga-142001, **Moradabad:** Karvy Fintech Private Limited, Om Arcade, Parker Road, Above Syndicate Bank, Tari Khana Chowk, Moradabad - 244 001, **Morena:** Karvy Fintech Private Limited, Moti Palace, Near Ramjanki Mandir, Jiwaji Ganj, Morena - 476 001, **Mumbai:** Karvy Fintech Private Limited, Office number: 01/04, 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bombay Stock Exchange, Fort, Mumbai - 400001, **Muzaffarpur:** Karvy Fintech Private Limited, First Floor, Shukla Complex, Near ICICI Bank, Civil Court Branch, Company Bagh, Muzaffarpur-842001, **Mysore:** Karvy Fintech Private Limited, L - 350, Silver Tower, Clock Tower, Ashoka Road, Mysore - 570 001, **Nadiad:** Karvy Fintech Private Limited, 104/105, Near Paras Cinema, City Point Nadiad, Nadiad - 387001, **Nagercoil:** Karvy Fintech Private Limited, HNO 45, 1st Floor, East Car Street, Nagarcoil - 629001, **Nagpur:** Karvy Fintech Private Limited, Plot No.2/1, House No. 102/1, Mangaldeep Apartment, Mata Mandir Road, Opp. Khandelwal Jewellers, Dharampeth, Nagpur - 440 010, **Namakkal:** Karvy Fintech Private Limited, 105/2, Arun Towers, Paramathi Street, Namakkal 637 001, **Nanded:** Karvy Fintech Private Limited, Shop No. 4, First Floor, Opp.Bank Of India, Santkrupa Market, Gurudwara Road, Nanded-431601, **Nanganallur:** Karvy Fintech Private Limited, No 155/7, Ullagaram, Medavakkam Main Road (Opp to IDBI ATM), Madipakkam, Chennai - 600 061, **Nasik:** Karvy Fintech Private Limited, S-9, Second Floor, Suyojit Sankul, Sharanpur Road, Nasik, Maharashtra - 422002, **Navsari:** Karvy Fintech Private Limited, 103, 1st Floor Landmark Mall, Near Sayaji Library, Navsari, Gujarat - 396445, **Nellore:** Karvy Fintech Private Limited, 16-2-230, Room No: 207, 2nd Floor, Keizen Heights, Gandhi Nagar, Pogathota, Nellore - 524001, **New Delhi:** Karvy Fintech Private Limited, 305 New Delhi House, 27 Barakhamba Road, New Delhi-110 001, **Nizamabad:** Karvy Fintech Private Limited, H.No-5-6-430, A Bove Bank Of Baroda First Floor, Beside Hdfc Bank, Hyderabad Road, Nizamabad-503 003, **Noida:** Karvy Fintech Private Limited, 405, 4th Floor, Vishal Chamber, Plot No. 1, Sector-18, Noida - 201301(U.P.), **Palghat:** Karvy Fintech Private Limited, 12/310, (No.20 & 21), Metro Complex, Head Post Office Road, Sultanpet, Palghat - 678001, **Panipat:** Karvy Fintech Private Limited, JAVA Complex, 1st Floor, Above Vijaya Bank, G T Road, Panipat, Panipat - 132103, **Panjim:** Karvy Fintech Private Limited, Flat No.1-A, H. No. 13/70, Timotio Bldg, Heliodoro Salgado Road, Next to Navhind Bhavan (Market Area), Panjim - 403001, **Pathankot:** Karvy Fintech Private Limited, 2nd Floor, Sahni Arcade Complex, Adj.Indra colony Gate Railway Road, Pathankot - 145001, **Patiala:** Karvy Fintech Private Limited, Sco 27 D, Chhoti Baradari, Near Car Bazaar, Patiala - 147 001, **Patna:** Karvy Fintech Private Limited, 3A, 3rd floor, Anand tower, Beside chankya cinema hall; Exhibition road, Patna - 800001, **Pollachi:** Karvy Fintech Private Limited, 146/4, Ramanathan Building, 1st Floor Near Scheme Road, Pollachi 642002, **Pondicherry:** Karvy Fintech Private Limited, First Floor, No.7, Thiagarajar Street, Pondicherry - 605 001, **Pudukottai:** Karvy Fintech Private Limited, Sundaram Masilamani Towers, Ts No. 5476 - 5479, Pm Road, Old Tirumayam Salai, Near Anna Statue, Jublie Arts, Pudukottai 622 001, **Pune:** Karvy Fintech Private Limited, Mozaic Bldg, CTS No.1216/1, Final, Plot No.576/1 TP, Scheme No.1, F C Road, Bhamburda, Shivaji Nagar, Pune - 411005, **Raipur:** Karvy Fintech Private Limited, Office No.S-13, Second Floor, Raheja Tower, Fafadih Chowk, Jail Road, Raipur - 492 001, **Rajahmundry:** Karvy Fintech Private Limited, Dr.No: 6-1-4, first floor, Rangachary street, Tnagar, Rajahmundry-533101, **Rajapalayam:** Karvy Fintech Private Limited, Sri Ganapathy Complex, 14B/5/18, T P Mills Road, Virudhunagar Dist, Rajapalayam 626 117, **Rajkot:** Karvy Fintech Private Limited, 104, Siddhi Vinayak Complex, Dr Yagnik Road, Opp Ramkrishna Ashram, Rajkot - 360 001, **Ranchi:** Karvy Fintech Private Limited, Room No. 307, 3rd Floor, Commerce Towers, Beside Mahabir Towers, Main Road, Ranchi - 834 001, **Ratlam:** Karvy Fintech Private Limited, 1 Nagpal Bhavan, Freeganj Road, Dobatti, Near Nokia Care Ratlam, Ratlam-457001, **Renukoot:** Karvy Fintech Private Limited, Radhika Bhavan, Opp. Padmini Hotel, Murdhwa, Renukoot-231 217, **Rewa:** Karvy Fintech Private Limited, 1st Floor, Angoori Building, Besides Allahabad Bank, Trans University Road, Civil Lines, Rewa-485 001, **Rohtak:** Karvy Fintech Private Limited, 1st Floor, Ashoka Plaza, Delhi Road, Rohtak - 124001, **Roorkee:** Karvy Fintech Private Limited, Shree Ashadeep Complex, 16 Civil Lines, Near Income Tax Office, Roorkee, Uttaranchal - 247 667, **Rourkela:** Karvy Fintech Private Limited, 1st Floor, Sandhu Complex, Kanchery Road, Udit Nagar, Rourkela - 769 012, **Sagar:** Karvy Fintech Private Limited, 11 floor, Above shiva kanch mandir, 5 civil lines, Sagar-470002, **Saharanpur:** Karvy Fintech Private Limited, 18 Mission Market, Court Road, Saharanpur - 247001, Uttar Pradesh, **Salem:** Karvy Fintech Private Limited, NO 3/250 ""F"" Brindavan Road, 6th Cross, Perumal kovil back side, Fairland's, Salem-636016, **Sambalpur:** Karvy Fintech Private Limited, Koshal Builder Complex, Near Gopal Bazaar Petrol pump, Sambalpur-768001, **Satna:** Karvy Fintech Private Limited, 1st Floor, Gopal Complex, Near Busstand Rewa Road, Satna (M.P)-485 001, **Secunderabad:** Karvy Fintech Private Limited, C/o Karvy Fin.Ser.Ltd, Crystal Plaza 2nd Floor, Manday Lane, Near Sunshine Hospital, P G Road, Secunderabad - 500003, **Shaktinagar:** Karvy Fintech Private Limited, 1stA-375, V V Colony, Dist. Sonbhadra, Shaktinagar-231 222, **Shillong:** Karvy Fintech Private Limited, Annex Mani Bhawan, Lower Thana Road, Near R K M Lp School, Shillong - 793 001, **Shimla:** Karvy Fintech Private Limited, Triveni Building, By Pas Chowk; Khallini, Shimla - 171 002, **Shimoga:** Karvy Fintech Private Limited, Sri Matra Naika Complex, The Mall, Above Shimoga Diagnostic Centre, Llr Road, Durgigudi, Shimoga - 577201, **Shivpur:** Karvy Fintech Private Limited, 1st Floor, M.P.R.P. Building, Near Bank of India, Shivpur-473 551, **Sikar:** Karvy Fintech Private Limited, 1st Floor, Super Towers, Behind Ram Mandir, Station Road, Sikar-332001, **Silchar:** Karvy Fintech Private Limited, 1st Floor, Chowchakra Complex, N N Dutta Road, Premtala, Silchar - 788001, **Siliguri:** Karvy Fintech Private Limited, Nanak Complex, Near Church Road, Sevoke Road, Siliguri - 734001, **Sitapur:** Karvy Fintech Private Limited, 12/12-A Surya Complex, Arya Nagar, Opp. Mal Godam, Sitapur - 261001, **Sivakasi:** Karvy Fintech Private Limited, 363, Thiruthangal Road, Opp: Tneb, Sivakasi 626 123, **Solan:** Karvy Fintech Private Limited, Sahni Bhawan, Adjacent Anand Cinema Complex, The Mall, Solan-173 212, **Solapur:** Karvy Fintech Private Limited, Block No 06, Vaman Nagar, Opp D-Mart, Jule Solapur, Solapur-413 004, **Sonepat:** Karvy Fintech Private Limited, 205 R Model Town, Above Central Bank Of India, Sonepat-131001, **Sri Ganganagar:** Karvy Fintech Private Limited, 35-E-Block, Opp., Sheela Mata Vatika, Sri Ganga Nagar - 335001, **Srikakulam:** Karvy Fintech Private Limited, D No 4-4-97, First Floor, Behind Sri Vijayaganapathi Temple, Pedda relli veedhi, Palakonda Road, Srikakulam - 532001, **Sultanpur:** Karvy Fintech Private Limited, Rama Shankar Complex, Civil Lines, Faizabad Road, Sultanpur-228 001, **Surat:** Karvy Fintech Private Limited, Office no: -516, 5th Floor, Empire State building, Near Udhna Darwaja, Ring Road, Surat-395002, **T Nagar:** Karvy Fintech Private Limited, G1, Ground Floor, No 22, Vijayaraghava RoadSwathi Court, T Nagar, Chennai - 600017, **Thane:** Karvy Fintech Private Limited, 201, 2nd Floor, ""Matru Chhaya"" BLDG, Above Rewjewel Jewellery Showroom, Opp. Bedekar Hospital, Near Gaodevi Ground, Thane (West) - 400602, **Thanjavur:** Karvy Fintech Private Limited, Nalliah Complex, No.70, Srinivasam Pillai Road, Thanjavur - 613001, **Thodupuzha:** Karvy Fintech Private Limited, First Floor, Pulimottil Pioneer, Pala Road, Thodupuzha - 685584, **Tirunelveli:** Karvy Fintech Private Limited, Jeney Building, 55/18, S N Road, Near Arvind Eye Hospital, Tirunelveli - 627 001, **Tirupathi:** Karvy Fintech Private Limited, H.No:10-13-425, 1st Floor, Tilak Road, Tilak Road, Opp: Sridevi Complex, Tirupati - 517501, **Tirupur:** Karvy Fintech Private Limited, First Floor, 224 A, Selvakumar Departmental Stores, 1st Floor, Kamaraj Road, Opp To Cotton Market Complex, Tirupur - 641 604, **Tiruvalla:** Karvy Fintech Private Limited, 2nd Floor, Erinjery Complex, Near Kotak Securities, Ramanchira, Tiruvalla - 689107, **Trichur:** Karvy Fintech Private Limited, 2Nd Floor, Brother'S Complex, Near Dhana Laxmi Bank Head Office, Naikakanal Junction, Trichur - 680 001, **Trichy:** Karvy Fintech Private Limited, Sri Krishna Arcade, 1St Floor, 60 Thennur High Road, Trichy - 620 017, **Trivandrum:** Karvy Fintech Private Limited, 2Nd Floor, Akshaya Towers, Above Jetairways, Sasthamangalam, Trivandrum - 695 010., **Tuticorin:** Karvy Fintech Private Limited, 4 B, A34, A37, Mangalmal, Mani Nagar, Opp: Rajaji Park, Palayamkottai Road, Tuticorin - 628003, **Udaipur:** Karvy Fintech Private Limited, 201-202, Madhav Chambers, Opp. G.P.O, Chetak Circle, Madhuban, Udaipur-313001, **Ujjain:** Karvy Fintech Private Limited, 101, Aastha Tower, 13/1, Dhanwantri Marg, Free Gunj, Ujjain-456010, **Valsad:** Karvy Fintech Private Limited, Shop No.2, Phiroza Corner, Opp Next Showroom; Tithal Road, Valsad - 396001, **Vapi:** Karvy Fintech Private Limited, Shop no-12, Shital Apartment, Opp K P Tower, Imran Nagar, Silvassa Road ;Vapi - 396195, **Varanasi:** Karvy Fintech Private Limited, D-64/132, KA 1st Floor, Anant Complex, Sigra, Varanasi - 221 010, **Vashi:** Karvy Fintech Private Limited, Shop No.43-A, Ground Floor, Vashi Plaza, Sector-17Near Apna Bazar, Vashi, Mumbai - 400705, **Vellore:** Karvy Fintech Private Limited, No. 6, NEXUS Towers, 2nd Floor, Officer's Line, Above Peter England & Bata Showroom, opp. To Voorhees School, Vellore - 632001, **Vijayanagar:** Karvy Fintech Private Limited, ""Soubhagya"" , 19-6-13/1, II nd Floor, Near Sbi Fort Branch, Vijayanagar-535 002, **Vijayawada:** Karvy Fintech Private Limited, 39-10-7 Opp: Municipal Water Tank, Labbipet, Vijayawada - 520 010, **Vile Parle:** Karvy Fintech Private Limited, 104, Sangam Arcade, V P RoadOpp: Railway Station, Above Axis Bank Atm, Vile Parle (west), Mumbai - 400091, **Visakhapatnam:** Karvy Fintech Private Limited, Door No: 48-8-7, Dwaraka Diamond, Ground Floor, Srinagar, Visakhapatnam - 530 016, **Warangal:** Karvy Fintech Private Limited, 5-6-95, 1 st floor, opp: B.Ed collage, Lashkar Bazar, Chandra Complex, Hanmakonda, Warangal - 506001, **Yamuna nagar:** Karvy Fintech Private Limited, Jagdhari Road, Above Uco Bank, Near D.A.V. Grils College, Yamuna Nagar-135 001.

To Invest with Us:

- Come online for a complete paperless experience OR
- Visit your nearest Karvy Computershare Collection Center OR

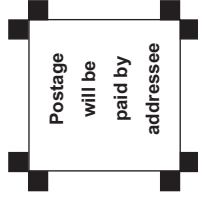
- Look out for a "Quantum Branded" Drop Box (only available in Mumbai) OR
- Call our Toll Free number for a Application Pick-up

For any further details required please call 1800-22-3863 / 1800-209-3863 or visit [www.QuantumMF.com](http://www.QuantumMF.com)**WEBSITE**[www.QuantumMF.com](http://www.QuantumMF.com)**EMAIL**[CustomerCare@QuantumAMC.com](mailto:CustomerCare@QuantumAMC.com)**TOLL FREE HELPLINE**

1800 22 3863 / 1800 209 3863

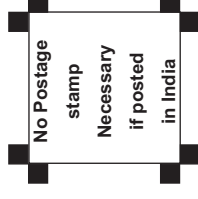


1<sup>st</sup> fold  
↑



**BUSINESS REPLY ENVELOPE**

**B.R. PERMIT NO. MBI - S - 1535**  
**Nariman point post office mumbai - 400021**



3<sup>rd</sup> fold  
↶

To,

**Quantum Asset Management Company Pvt Ltd.**  
7<sup>th</sup> Floor, Hoechst House,  
Nariman Point, Mumbai - 400 021

4<sup>th</sup> fold  
↷

**Instructions :**

2<sup>nd</sup> fold  
↓

- Please use this page to make a business reply envelope for enclosing forms & / or cheque that needs to be sent to Quantum Asset Management Company Pvt Ltd.
- Please fold the envelope along the lines as per the numbering mentioned.
- Please insert the documents before the 4th numbered fold and post insertion of documents, the 4th fold needs to be done.
- Envelope to be properly sealed and dropped in any of your nearest post box.
- The stamp charges will be borne by Quantum Asset Management Company Pvt Ltd.